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Factors Affecting Perceptual Thresholds in a Suprachoroidal Retinal Prosthesis

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#The Bionic Vision Australia Consortium consists of five member organizations (Centre for Eye Research Australia, Bionics Institute, NICTA, University of Melbourne and University of New South Wales) and three partner organizations (The Royal Victorian Eye and Ear Hospital, National Vision Research Institute of Australia and the University of Western Sydney). For this publication, the consortium members consist of (in alphabetical order): Penelope J Allen², Tamara-Leigh E Brawn⁵, Robert Briggs², Anthony N Burkitt⁵, Owen Burns¹, James B Fallon¹, Lisa Gillespie¹, Robyn H Guymer², Wilson Heriot², Nigel H Lovell⁴, Mark McCombe², Michelle McPhedran¹, Rodney Millard¹, David AX Nayagam¹, Nicholas L Opie², Matthew A Petoe¹, Alexia Saunders¹, Peter M Seligman¹, Kyle Slater¹, Robert K Shepherd^{1,3}, Gregg J Suaning⁴, Joel Villalobos¹, Chris E Williams^{1,3} and Jonathan Yeoh².

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Abstract

Purpose: The suprachoroidal location for a retinal prosthesis provides advantages over other locations in terms of a simplified surgical procedure and a potentially more stable electrode-neural interface. The aim of this study was to assess the factors affecting perceptual thresholds, and to optimize stimulus parameters to achieve the lowest thresholds in patients implanted with a suprachoroidal retinal prosthesis.

Methods: Three patients with profound vision loss from retinitis pigmentosa were implanted with a suprachoroidal array. Perceptual thresholds measured on individual electrodes were analyzed as a function of stimulus (return configuration, pulse polarity, pulse width, interphase gap and rate), electrode (area and number of ganged electrodes), and clinical (retinal thickness and electrode-retina distance) parameters.

Results: A total of 92.8% of 904 measurements made up to 680 days post-implantation, yielded thresholds (Range 44-436nC) below the safe charge limit. Thresholds were found to vary between individuals and depend significantly on electrode-retina distance, negligibly on retinal thickness, but not on electrode area, or the number of ganged electrodes. Lowest thresholds were achieved when using a monopolar return; anodic-first polarity; short pulse widths (100 μ s) combined with long interphase gaps (500 μ s); and high stimulation rates (\geq 400pps).

Conclusions: With suprachoroidal stimulation, anodic first pulses with a monopolar return are most efficacious. To enable high rates, an appropriate combination of pulse width and interphase gap must be chosen to ensure low thresholds and electrode voltages. Electrode-retina distance needs to be monitored carefully owing to its influence on thresholds. These results inform implantable stimulator specifications for a suprachoroidal retinal prosthesis.

1 **Introduction**

2 Since the research efforts of Brindley and Lewin in the 1960s to restore vision through
3 electrical stimulation of the visual cortex^{1, 2}, significant advances have been made in the
4 development of a retinal prosthesis for vision restoration in patients with retinal degeneration.
5 Retinal prostheses work via electrical stimulation of the surviving neurons and have been
6 approved by the Food and Drug Administration (USA) and the European Commission
7 (European Economic Area) as a safe and effective treatment for those suffering from
8 degenerative retinal disorders such as retinitis pigmentosa (RP). There are two devices which
9 have proceeded to commercialization, one being the Argus II™ from Second Sight Medical
10 Products (Sylmar, CA, USA), which has both CE approval in Europe, and FDA approval in
11 the United States³, and the other being the Alpha IMS™ implant from Retina Implant AG
12 (Reutlingen, Germany)⁴, which recently gained CE approval in Europe³. These devices differ
13 significantly in almost all aspects, but particularly in the location of implantation (epiretinal
14 versus subretinal), the number of stimulating electrodes (60 versus 1500) and the technology
15 used for image capturing (camera versus photodiode array). Although fundamentally
16 different in their technology, both devices have proven their effectiveness through multi-
17 center long term clinical trials^{4, 5}, with several implantees being able to recognize and
18 discriminate objects^{4, 6}, detect motion^{5, 7}, and discriminate patterns to a fairly reasonable
19 degree^{4, 5, 8}. Some patients are even able to use the devices to navigate independently^{4, 9}, read
20 large print^{4, 10} and perform tasks involving activities of daily living⁴, which is a testament to
21 the usefulness of the technology.

22 Despite the positive outcomes with the present devices, there have been reports of several
23 clinical complications found during clinical trials, including conjunctival erosion, hypotony,
24 retinal detachment, endophthalmitis in patients with epiretinal devices⁵, and a sustained
25 increase in the number of microaneurysms in patients with subretinal devices¹¹. Moreover,

26 the surgical procedures to implant these devices require multiple manipulations to the eye
27 before the intraocular device is implanted, for example a pars-plana vitrectomy for both
28 epiretinal¹² and subretinal approaches¹³, and an obligatory cataract operation¹⁴ and creation of
29 a retinal bleb¹³ with the subretinal approach. Finally, long-term device stability may become
30 an issue with the use of retinal tacks for the epiretinal approach. Despite this safety profile,
31 these devices have been approved by regulatory agencies with the benefits currently
32 outweighing the risks, and are commercially available for implantation. In order to alleviate
33 some of the complications and significantly simplify the surgical procedure, our group has
34 been developing a suprachoroidal approach whereby the electrode array component of the
35 prosthesis is inserted into the space between the sclera and choroid^{15, 16}. The most significant
36 advantage of this surgical approach is that the electrode array is held stable within the natural
37 cleavage plane of the suprachoroidal space, thus eliminating the need for external elements
38 such as retinal tacks to fixate the device to the retina. Moreover, the surgery only requires one
39 layer of the eye (the sclera) to be breached for insertion of the electrode array¹⁵, thus making
40 it less invasive and reducing the risks of adverse events compared to the other approaches.

41 It is thought that while the suprachoroidal location may provide a more stable electrode-tissue
42 interface, significantly higher levels of charge will be necessary to elicit visual percepts,
43 owing to the greater distance between the electrode array and the target retinal ganglion cells
44 (RGCs), compared to epiretinal and subretinal placements¹⁷. The increased charge may
45 further result in unwanted current spread leading to decreased resolution, and may ultimately
46 affect visual acuity and performance outcomes. This hypothesis has in part been corroborated
47 by a small clinical trial conducted by a research group in Japan¹⁸. In their study, electrodes
48 were placed within an intra-scleral pocket of two RP patients, and it was reported that
49 considerably higher charge levels were required to reach perceptual threshold compared to
50 those previously reported in epiretinal studies¹⁹. However, due to the short term of

51 implantation in that study (four weeks), it was not possible to test the effects of varying
52 stimulus parameters on thresholds, and therefore all parameters were kept fixed at a “best
53 guess” estimate based on their previous preclinical work¹⁸. For example, it is well known
54 with both cochlear²⁰ and retinal implants²¹ that high rates of stimulation result in lower
55 perceptual thresholds than low rates – this was not explored in their study. The Second Sight
56 group investigated the effects of varying stimulus parameters on thresholds with the Argus I
57 device²¹, while all threshold measurements with the Argus II device were made using a fixed
58 set of parameters¹⁹. Thus there is a lack of longitudinal data regarding the effects of stimulus
59 parameters and other factors on perceptual thresholds.

60 Through numerous preclinical studies^{16, 22-25}, our group validated the safety and efficacy of
61 suprachoroidal stimulation which led to commencement of a phase 1 clinical trial
62 (www.clinicaltrials.gov, trial #NCT01603576) in three RP patients in 2012^{17, 26, 27}. Since
63 then, we have monitored perceptual thresholds over nearly 24 months, and have therefore had
64 more time to assess the effects of varying stimulus parameters. The main goals of this study
65 were to: provide further evidence of successful stimulation of the retina via an electrode array
66 placed in the suprachoroidal space of blind humans with advanced RP; assess thresholds
67 required to elicit phosphene percepts; assess which stimulus parameters affect thresholds the
68 most; and provide insights on what combination of parameters are ideal in order to obtain the
69 lowest perceptual thresholds.

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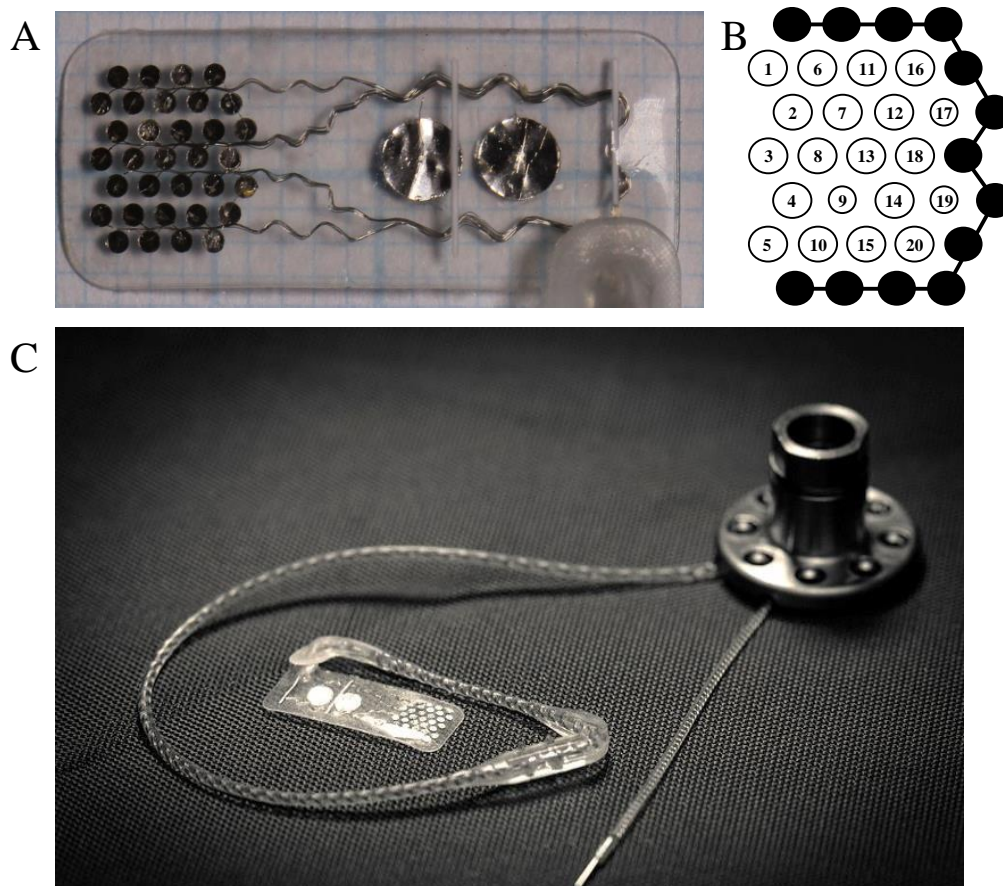
71 **Materials and Methods**

72 *Patient Selection and Device Description*

73

74 The research followed the tenets of the Declaration of Helsinki and informed consent was
75 obtained from all participants upon explanation of the nature and possible consequences of
76 the study. All procedures were approved by the Human Research Ethics committee of the
77 Royal Victorian Eye and Ear Hospital and the clinical trial was registered at
78 www.clinicaltrials.gov (trial #NCT01603576). Three patients with end-stage RP (one 53-year
79 old female [P1], and two males aged 50 [P2] and 63 years [P3], were selected for
80 implantation after a comprehensive screening process involving 95 people with RP. All
81 patients had between 8-10 years (P2) and 20 years (P1 and P3) of light perception only
82 vision. The worse-seeing eye was selected for implantation, and had bare light perception
83 acuity in all three patients. This was determined during three separate pre-operative clinical
84 assessments, which included a range of tests such as visual acuity, electroretinography and
85 perimetry²⁸. Between May and August 2012, all patients were implanted with a prototype
86 suprachoroidal retinal prosthesis developed by the Bionics Institute through Bionic Vision
87 Australia. The prosthesis consisted of an intraocular electrode array made of medical grade
88 silicone (19 x 8mm), containing 35 platinum disk electrodes (Fig. 1A; 3 x 400µm diameter,
89 30 x 600µm diameter and 2 x 2000µm diameter). The electrodes on the top, bottom and
90 leadwire ends of the array were shorted together to form a “guard ring” return electrode (Fig.
91 1B). The largest diameter electrodes were placed at the leadwire end of the array and acted as
92 additional return electrodes (Fig. 1A). A platinum pin implanted subcutaneously behind the
93 ear served as the fourth extraocular return electrode (Fig. 1C). Thus there were 20 stimulating
94 electrodes and 4 choices of return electrodes. Each electrode was attached to a single 20µm

95 diameter platinum-iridium wire. The helically coiled leadwire (150mm long, 1.5mm
 96 diameter) exited the eye and connected the electrode array to a titanium percutaneous plug
 97 that exited the skin behind the ear (Fig. 1C). The use of a percutaneous plug enabled direct
 98 access to the electrodes via an external stimulator, thus eliminating the need for implantable
 99 electronics and providing maximum flexibility in the use of different electrode configurations



100 and parameters for stimulation.

101

102 *Figure 1. The prototype suprachoroidal retinal prosthesis. (A) Intraocular silicone electrode*
 103 *array with 33 platinum disk electrodes (all disks were the same size but varied in exposed*
 104 *area of platinum: 3 x 400 μ m diameter and 30 x 600 μ m diameter stimulating electrodes) and*
 105 *2 x 2000 μ m diameter return electrodes. (B) Electrode numbering scheme. Note: electrodes*
 106 *filled in black were shorted together to form the “guard ring” return (electrode 21), and*
 107 *electrodes 9, 17 and 19 were smaller in exposed area. (C) The full prosthesis with*

108 *intraocular array, helical leadwire, extraocular platinum pin return electrode, and titanium*
109 *percutaneous plug. Photos provided by David AX Nayagam.*

110

111 ***Surgical Procedure***

112 The surgical procedures were similar to those performed in preclinical studies both in
113 felines¹⁶ (intraocular component only) and in cadaver humans¹⁵. Briefly, a curved incision
114 was made behind the ear through the posterior temporalis muscle to expose a flat section of
115 temporal bone. A tunnel was created beneath the temporalis fascia up to the orbital rim. After
116 performing a lateral canthotomy, disinserting the lateral rectus muscle, and making the
117 required scleral incision, the device was tunneled from behind the ear using a custom trocar
118 up to the lateral orbital margin. The percutaneous plug was secured to the temporal bone with
119 self-tapping screws. A pocket was made within the suprachoroidal space using a lens glide
120 and the electrode array was inserted. A lateral orbitomy was created using 10mm burrs to
121 stabilize the lead. Upon closure of the scleral wound through suturing of a Dacron patch,
122 stabilization of the leadwire, and re-attachment of the lateral rectus muscle, an indirect
123 ophthalmoscope was used to check the position of the electrode array in relation to the optic
124 disk and the macula. An electrode connectivity test was performed immediately after surgery
125 to confirm that all wires/electrodes were intact by passing a small test biphasic current pulse
126 (75 μ A, 25 μ s per phase) and measuring the electrode voltage. Patients remained in hospital
127 for 4-5 days post surgery and were given topical steroids, antibiotic eye drops and systemic
128 analgesia as required. More details regarding the surgical procedures will be the subject of
129 another manuscript.

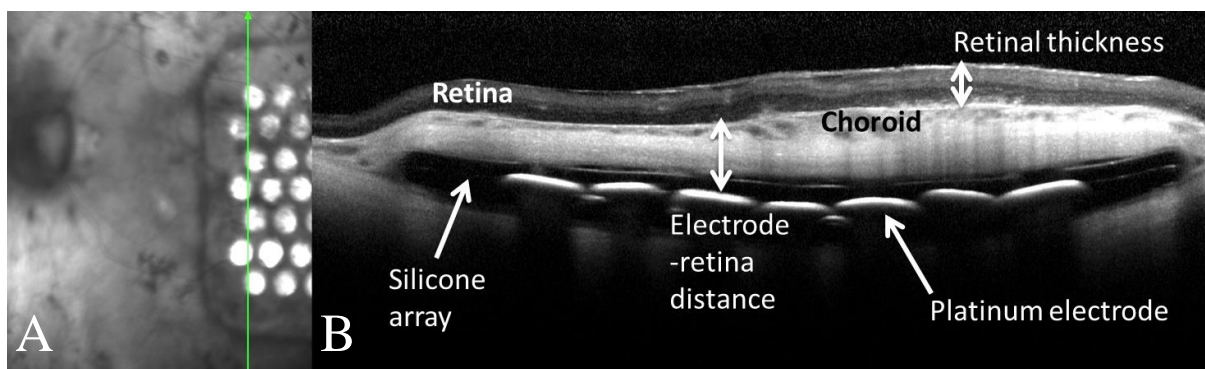
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133 ***Clinical and Psychophysical Sessions***

134 Upon discharge from the hospital, patients underwent clinical assessment every week, where
135 images were taken using color fundus photography (TRC-50Dx, Topcon Medical Systems,
136 NJ) and spectral-domain optical coherence tomography (OCT; Spectralis, Heidelberg
137 Engineering GmbH, Heidelberg, Germany). Using the images from the weekly OCT scans,
138 retinal thickness as well as the distance between individual electrodes on the array and the
139 retina (taken from the anterior surface of each disk to the retinal pigment epithelium) were
140 measured (Fig. 2). In addition, the percutaneous plug and wound were closely examined and
141 cleaned as necessary, and intra-ocular pressure measurements were made using contact
142 tonometry (TonoPen XL, Reichert Technologies, Depew, NY). Post-surgical follow-ups were
143 completed on a monthly basis to check ocular health, X-ray images were taken monthly for
144 the first six months and at 12 months, and a full-head computed tomography scan was
145 performed at 1 month and 12 months.



146
147 *Figure 2. OCT scan of the electrode array in situ. The vertical arrow on the infrared image*
148 *(A) indicates the direction of the OCT scan. The cross-sectional OCT image (B) shows the,*
149 *the retina and choroid, silicone and platinum electrodes and the methods for determining*
150 *retinal thickness and electrode-retina distance.*

151

152 All patients experienced a combined suprachoroidal and subretinal hemorrhage post surgery,
153 which resolved without a need for intervention in all cases (Fig. 3). The extent of the
154 hemorrhage was limited to the area covered by the electrode array. In P2, a fibrotic tissue
155 reaction occurred most likely due to hemorrhage at the temporal end of the implant and
156 remained following hemorrhage resolution, but this should not have affected device efficacy
157 and did not cause complications such as retinal detachment. P1 and P3 commenced weekly
158 psychophysics sessions of 2-4 hours at the Bionics Institute between 1 and 2 months post-
159 implantation. Sessions with P2 commenced approximately 3 months post-implantation, due
160 to a slower post-surgical recovery. While it was possible to start psychophysical testing much
161 earlier, the subretinal and suprachoroidal hemorrhage was not something we experienced in
162 preclinical studies and it was the first time that electrodes had been inserted into the
163 suprachoroidal space of blind humans. Whilst Fujikado et al¹⁸ also described their technique
164 as suprachoroidal, since their electrodes were in fact intra-scleral and not in direct contact
165 with the choroid, this may explain why they did not report any hemorrhage. The hemorrhage
166 seen in our study also impeded the visualization of electrodes under OCT. Therefore, as a
167 precaution it was deemed by the clinical team to begin testing only once the hemorrhage had
168 cleared.

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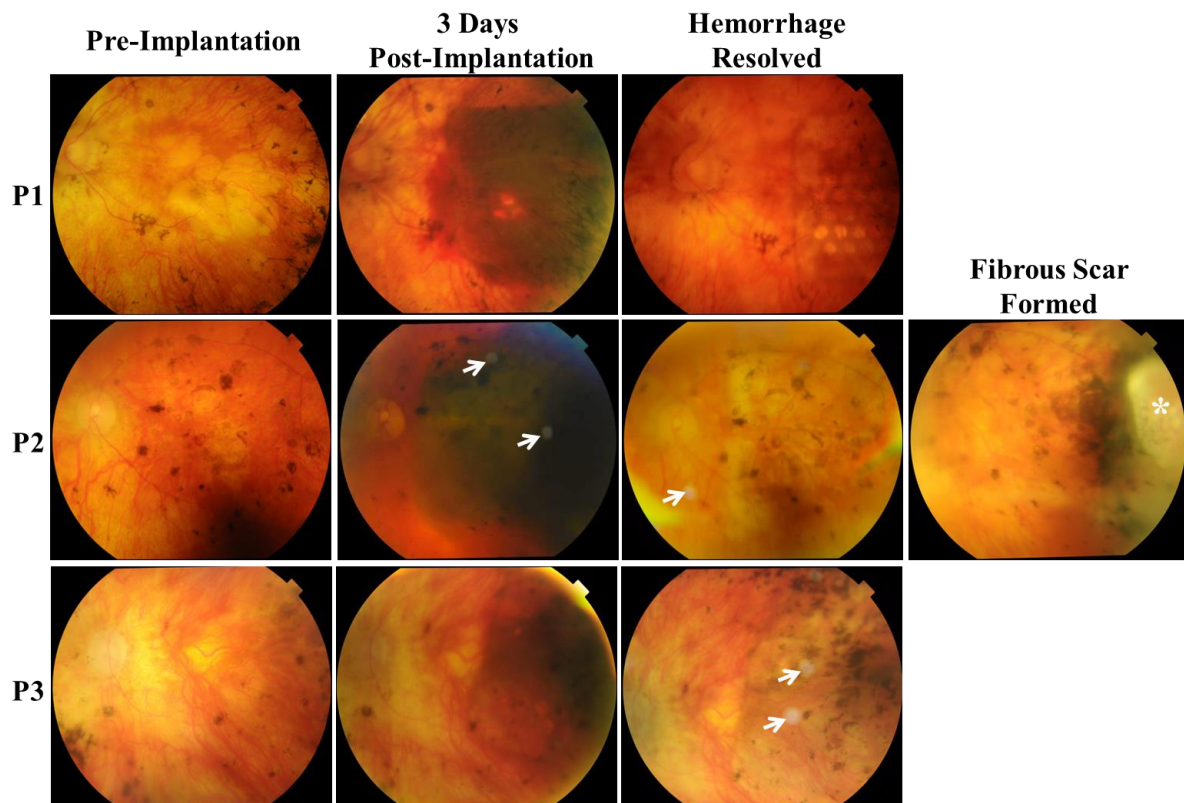
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178 *Figure 3. Color fundus images for all three patients at pre-implantation, at detection of*
 179 *hemorrhage post-implantation and at resolution of hemorrhage. White arrows in P2 and P3*
 180 *indicate lens reflection artifacts. In P2, once the hemorrhage was resolved, a fibrous scar (*)*
 181 *was detected at the temporal end of the array which should not have affected any device*
 182 *efficacy.*

183

184 At each psychophysics session, the patient was connected to an in-house designed and
 185 manufactured external bench-top stimulator (neuroBi™) using a custom flexible cable. The
 186 neuroBi™ stimulator is completely electrically isolated via a USB connection to a laptop
 187 computer and consists of a single current source. The current source is routed through a
 188 multiplexer to allow flexible stimulation of any single or ganged combination of electrodes
 189 on the array. The stimulator is capable of delivering charge-balanced symmetric biphasic
 190 pulses using currents up to 10mA, and pulse widths ranging from 20 to 3000μs per phase, at

191 frequencies of up to 6,250 pulses per second (pps), within a 40V voltage compliance. To
192 ensure that overstimulation above safe charge and charge density levels were not performed,
193 the charge on each electrode was capped to 447nC and 298nC (equating to an upper limit of
194 charge density of $158\mu\text{C}/\text{cm}^2$ and $237\mu\text{C}/\text{cm}^2$), for the 600 μm and 400 μm diameter
195 electrodes respectively. This charge limit was based on the data presented in Merrill et al.²⁹,
196 and calculated using the well-accepted Shannon equation³⁰, with a conservative value of
197 $k=1.85$. In addition to being connected to the stimulator, the patient also wore a pair of
198 glasses that contained an eye tracker (Arrington Research Inc., AZ) and head motion
199 detection system (Ascension Technology Corp, VT). Finally, to avoid any static discharge,
200 the patient and the operator wore elastic wrist bands that were grounded to static shielded
201 floor mats, which were in turn connected to earth. More details regarding the flexible
202 psychophysics system will be the subject of another manuscript.

203

204 ***Threshold Measurement Technique***

205 The threshold measurement technique was based on a well-established adaptive up-down
206 staircase procedure that has been used extensively for psychophysics³¹. The procedure
207 involved presenting multiple trials and detecting turning points (Fig. 4). For any given
208 threshold measurement, the electrode being stimulated, return configuration, pulse polarity,
209 pulse width, interphase gap, stimulation rate and duration of each trial were kept constant
210 with only the charge per phase allowed to vary. The threshold procedure began with selecting
211 an initial value of charge (100nC by default) to be delivered. Each trial was a train of biphasic
212 pulses with a total duration of two seconds. The duration between trials was kept to
213 approximately 3 seconds.

214 Patients were told when the threshold procedure began and were asked to respond “yes”
215 whenever they saw a phosphene. However, with the exception of P3 (details below), patients

216 were not told explicitly when each trial exactly began within the procedure. Upon
217 presentation of each trial, the operator would pause for approximately 3 seconds and if there
218 was no response from the patient, the operator would enter a “no” response. Each “yes” or
219 “no” response automatically determined a charge per phase to be delivered for the next trial.
220 During the “up” phase, the charge per phase was increased until a “yes” response was
221 encountered. The next trial following the first “yes” response during the “up” phase was
222 presented using the same charge per phase as the previous trial. If two consecutive “yes”
223 responses were encountered, the “down” phase of the procedure began where the charge per
224 phase was decreased until a “no” response was encountered. Turning points were defined as
225 either the second of two consecutive trials with “yes” responses using the same charge per
226 phase during the “up” phase, or the first trial at which a “no” was encountered during the
227 “down” phase. The step size used to increase or decrease the charge was 1dB (approximately
228 12%) until the first turning point, and 0.33dB (approximately 4%) thereafter. The procedure
229 was completed and deemed successful after 8 turning points were detected and the final
230 threshold value was recorded as the average charge per phase delivered in the last 6 turning
231 points. If the charge per phase to be delivered reached the defined safe charge limit before
232 achieving 8 turning points, then the procedure was aborted and it was recorded that no
233 threshold was obtainable for that combination of electrode and stimulus parameters. Each
234 threshold measurement on average, took approximately 5-6 minutes but could sometimes
235 take longer (up to 10 mins). The procedure proved to be very reliable in determining a
236 threshold, which could subsequently be confirmed by presenting a stimulus at threshold and
237 getting a “yes” response and presenting a slightly sub-threshold stimulus and getting a “no”
238 response. Also, both P1 and P2 gave consistent responses (i.e. perception or no perception
239 agreed with stimulus strength), and false positives were not explicitly measured. This was not
240 the case however, for P3 where it was necessary to explicitly test for false positives.

241 For P3, at the first few sessions, the standard threshold procedure was used. This procedure
 242 turned out to be very difficult for the patient, and often resulted in false positives and
 243 negatives. It was learnt later that the patient was seeing spontaneous phosphenes during the
 244 procedure that occurred independent of electrical stimulation, and were the reason for the
 245 false positives and negatives. Due to these difficulties, the threshold procedure was altered
 246 for P3 to a three-alternative forced choice protocol. This was similar to the standard
 247 procedure with the exception that each trial consisted of three pulse train presentations (each
 248 2 secs) separated by 0.5s intervals. Two randomly selected pulse trains were delivered using
 249 zero charge per phase and the remaining pulse train was presented with the desired charge per
 250 phase. Each pulse train also coincided with an audio tone so the patient would hear 3 beeps
 251 on each trial and was able to identify which presentation elicited a phosphene when the
 252 charge per phase delivered was at or above perceptual threshold. This procedure turned out to
 253 be much more reliable for the patient and allowed valid thresholds to be measured along with
 254 accurate detection of false positives.



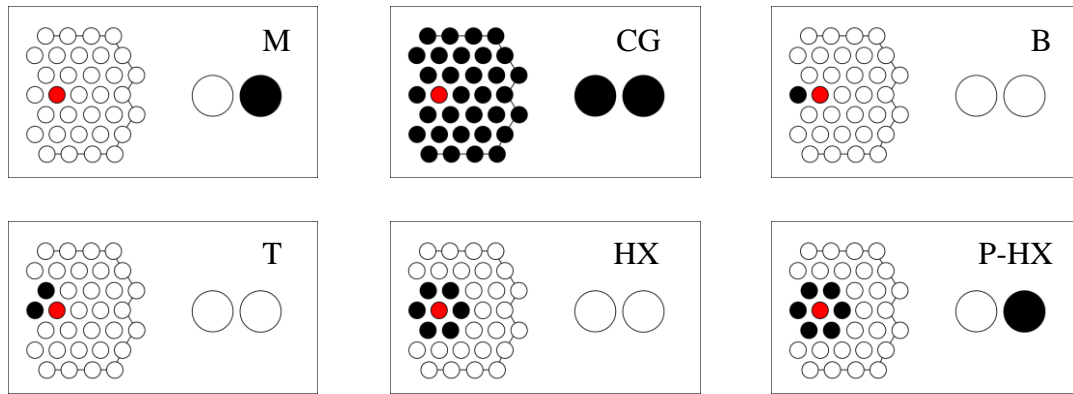
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 256 *Figure 4. An example of the up-down staircase procedure used for threshold measurements.*
 257 *Each trial consisted of a pulse train lasting 2 secs. The subject was asked to verbally respond*

258 “Yes” (green bars) if they saw anything. If the subject did not respond within a few seconds
259 after each trial, a response of “No” (red bars) was entered. Turning points (*) were defined
260 as either the second of two consecutive “yes” responses using the same charge per phase
261 during the “up” phase, and the first “no” response during the “down” phase. Threshold
262 (yellow line) was defined as the average charge per phase across the last six turning points
263 (in this case 140nC).

264

265 ***Electrode Configurations and Stimulus Parameters***

266 Stimulus parameters were systematically varied to assess their effects on thresholds. One of
267 six return configurations (Fig. 5) was chosen in decreasing order of the likelihood of current
268 spread: monopolar using one of the 2mm disks as a return; common ground, where the return
269 electrode consisted of all electrodes shorted together except the stimulating electrode; bipolar,
270 where an electrode adjacent to the stimulating electrode was the return; tripolar, where two
271 electrodes adjacent to the stimulating electrode were shorted to form the return; and
272 hexagonal, where five or six electrodes surrounding the active electrode were shorted
273 together as the return. A special case of pseudo-hexagonal was also tested where one of the
274 2mm disks was shorted to the six electrodes surrounding the stimulating electrode, thus
275 approximating a 50% monopolar and 50% hexagonal situation. In addition, the pulse polarity
276 (cathodic-first or anodic-first, CF or AF), pulse width (PW, up to 500 μ s per phase),
277 interphase gap (IPG, up to 500 μ s) and stimulation rate (up to 500pps) were varied.



278

279 *Figure 5. Illustration of the various return configurations tested. In each return configuration*
 280 *shown, the red electrode represents the active stimulating electrode and the black electrodes*
 281 *were shorted together to form the return electrode. M = Monopolar, CG = Common Ground,*
 282 *B = Bipolar, T = Tripolar, HX = Hexagonal, P-HX = Pseudo-Hexagonal.*

283

284 ***Statistical Analyses***

285 All thresholds were expressed in nanocoulombs charge per phase per pulse. Statistical
 286 analysis was performed in Minitab (State College, PN). The effects of number of days post-
 287 implantation, electrode-retina distance and retinal thickness on perceptual thresholds were
 288 assessed using linear regression analyses. The effects of all other factors on thresholds were
 289 tested using separate general linear models (GLM) with number of days post-implantation
 290 designated as a co-variate and the patient number designated as a random factor. Data from
 291 P1 and P2 were included in most analyses. Data from P3 were analyzed separately due to
 292 previously mentioned issues and the inability to test a large range of stimulus parameters.
 293 When assessing the effect of one factor on thresholds, all the other parameters were kept
 294 constant. As the distribution of thresholds was found to violate normality (Anderson-Darling
 295 test, $p < 0.01$), data were logarithmically transformed before performing GLM and regression
 296 analyses. Most data were plotted using box plots, where outliers were defined as threshold

297 values that were at least 1.5 times above or below the interquartile range (defined as the
298 difference between the third and first quartiles). Outliers were only marked on the plots for
299 display purposes and not removed from the dataset prior to statistical analyses.

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Results

Threshold Yield

The threshold yield was defined as the percentage of electrodes where a reliable threshold was reached below the safe charge limit (447nC for 600µm diameter electrodes, 298nC for 400µm diameter electrodes). Table 1 summarizes the efficacy of eliciting phosphenes on individual electrodes and indicates stimulus parameters which were most effective in obtaining a valid threshold. Most data were collected using a stimulation rate of 50pps using a 500µs per phase pulse width and a 500µs interphase gap. Using these parameters, valid thresholds could be obtained on all electrodes tested in P1 using both pulse polarities, with the exception of hexagonal CF stimulation where only 50% of the electrodes tested yielded a threshold within the safe charge limit. When P2 commenced psychophysics sessions, there were some difficulties in obtaining thresholds on 100% of electrodes, even with monopolar stimulation. Moreover, although a valid threshold could be measured with P2 using a stimulation rate of 50pps, he would describe the phosphene as two quick flashes, one appearing at the onset of stimulation and the other appearing at the offset with no percept in between. In addition, the onset and offset flashes were described to be in different locations which made it very confusing for the patient. This led us to explore high rate stimulation with P2. Using high rate stimulation (500pps) with the same pulse width and interphase gap not only made P2's phosphenes appear complete, but also enabled a 100% success rate of valid thresholds. When the pulse width and interphase gap were considerably shortened to 148µs per phase and 20µs respectively, and the rate was slightly decreased to 400pps to allow for sequential stimulation of multiple electrodes during other psychophysical tests (details not included in present manuscript), the yield in P2 was reduced with a valid threshold obtained in 85% of electrodes tested when using AF stimulation (Table 1).

325 We first began testing high rate stimulation on P3 as it was already shown to be of benefit to
326 P2. Although thresholds could be measured on 12 of 14 single electrodes tested with AF
327 stimulation, the threshold values were found to be very high ($>300\text{nC}$) leaving only $\sim 100\text{nC}$
328 of headroom below the safe charge limit. In order to alleviate this problem, we switched to
329 testing ganged pairs of adjacent electrodes, where two adjacent electrodes were shorted
330 together as the stimulating active electrode, as opposed to single electrode stimulation. Using
331 ganged pairs increased the maximum safe charge per phase because of the larger total
332 electrode area, thus increasing the available headroom, and the yield compared to stimulation
333 of single electrodes. With stimulation of ganged pairs, we were able to obtain thresholds in
334 P3 with 100% success for the pairs tested, both with $200\mu\text{s}$ and $500\mu\text{s}$ per phase pulse widths,
335 $200\mu\text{s}$ and $500\mu\text{s}$ interphase gaps, and at stimulation rates of 200pps and 500pps (Table 1).
336 A total of 565 threshold measurements from P1 (up to 680 days post-implantation), 304
337 measurements from P2 (up to 619 days post-implantation) and 129 measurements (35
338 measurements from single electrodes, 94 measurements from ganged pairs) from P3 (up to
339 539 days post-implantation) were made. Of these, 96.1% of measurements for P1, 89.8% for
340 P2 and 90.7% for P3 (65.7% for single electrode stimulation, 100% for ganged pair
341 stimulation), yielded a threshold value below the safe charge limit. Threshold values for
342 single electrode stimulation ranged from 44-402nC in P1, 53-436nC in P2, and 188-414nC in
343 P3. Threshold values for ganged pair stimulation in P3 ranged from 185-691nC. Based on the
344 yield data for each patient and after working with each one of them for several sessions, a
345 working set of stimulus parameters were established where phosphenes were reliable,
346 thresholds were low, yield was high, and a suitable balance was achieved between PW, IPG
347 and rate to allow for appropriate sequential stimulation of electrodes during other
348 psychophysical tests. More importantly, in each patient, the most threshold data were
349 collected using these working stimulus parameters and thus threshold values could be

350 monitored over an extended duration, but these parameters were not necessarily the
 351 parameters required to obtain the lowest thresholds in each patient. The working stimulus
 352 parameters for each patient were determined as;

353 P1: single electrodes, monopolar, CF, 500 μ s PW, 500 μ s IPG, 50pps

354 P2: single electrodes, monopolar, AF, 148 μ s PW, 20 μ s IPG, 400pps

355 P3: ganged pairs, monopolar, AF, 200 μ s PW, 200 μ s IPG, 200pps

		Electrode Threshold Yield		
		P1	P2	P3
RETURN CONFIGURATION	Monopolar 500μs PW, 500μs IPG, 50pps	20/20 (CF) 20/20 (AF)	4/4 (CF) 18/20 (AF)	- (CF) - (AF)
	Common Ground 500μs PW, 500μs IPG, 50pps	19/19 (CF) 19/19 (AF)	2/3 (CF) 18/20 (AF)	- (CF) - (AF)
	Hexagonal 500μs PW, 500μs IPG, 50pps	4/8 (CF) 8/8 (AF)	- (CF) 1/8 (AF)	- (CF) - (AF)
HIGH RATE, LONG PULSES	Monopolar 500μs PW, 500μs IPG, 50pps	6/6 (CF) - (AF)	- (CF) 20/20 (AF)	- (CF) 12/14 (AF)
HIGH RATE, SHORT PULSES	Monopolar 148μs PW, 20μs IPG, 400pps	- (CF) 20/20 (AF)	2/2 (CF) 17/20 (AF)	- (CF) - (AF)
HIGH RATE GANGED PAIRS	Monopolar Ganged Pairs 500μs PW, 500μs IPG, 50pps	- (CF) - (AF)	- (CF) - (AF)	- (CF) 9/9 (AF)
	Monopolar Ganged Pairs 200μs PW, 200μs IPG, 200pps	- (CF) - (AF)	- (CF) - (AF)	- (CF) 21/21 (AF)

356

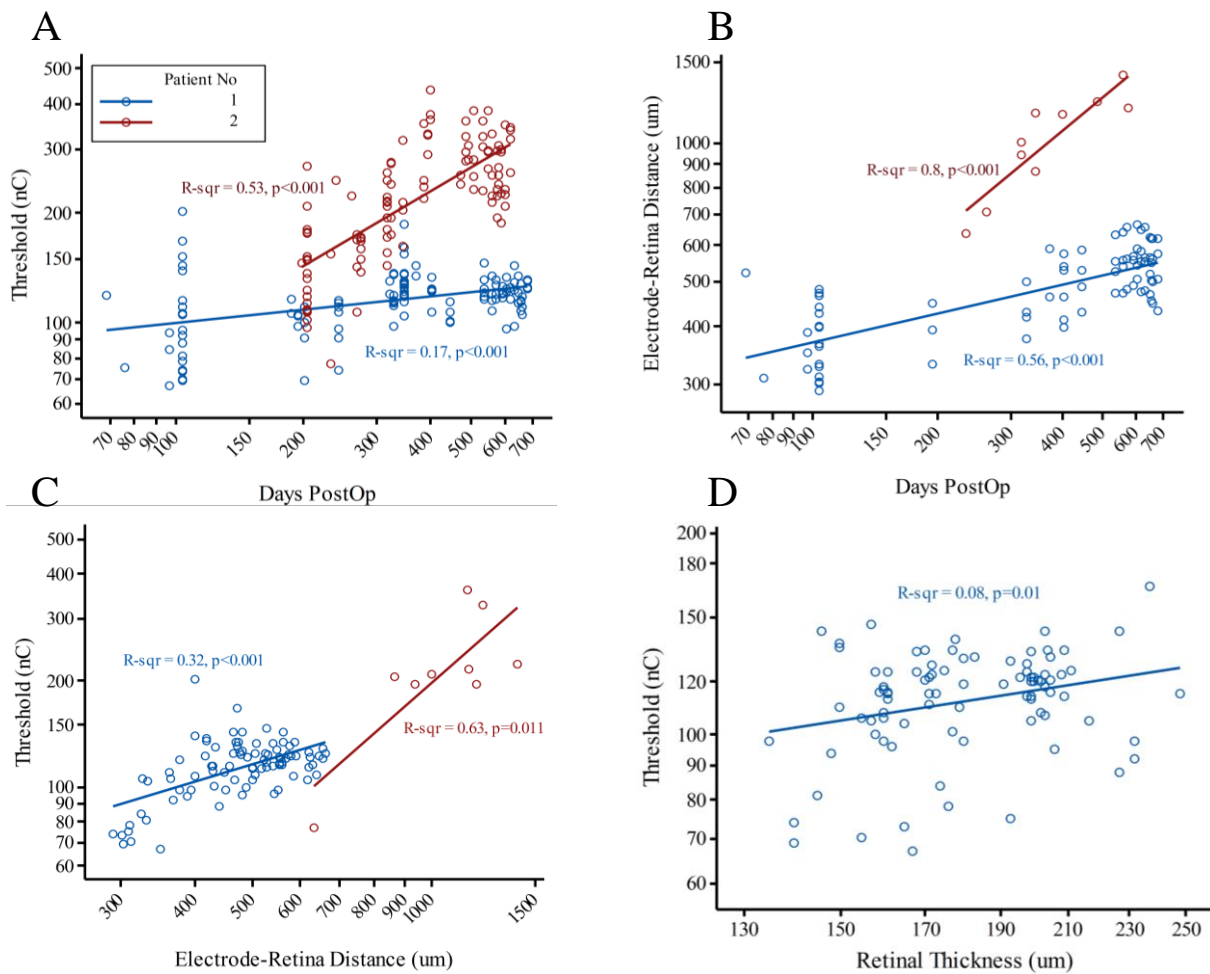
357 *Table 1. For each combination of stimulus pulse parameters and in each of the three patients,*
 358 *table shows the number of single electrodes or ganged pairs for which a valid threshold was*
 359 *measured, out of the total number of single electrodes or ganged pairs tested (Note:*
 360 *maximum of 20 possible single electrodes). PW = Pulse Width; IPG = Interphase Gap; CF =*

361 *Cathodic-First; AF = Anodic-First. Stimulus duration was fixed at 2secs in all cases. “-”*
362 *symbol indicates those parameters where no electrodes were tested. Note: For patient 3, the*
363 *threshold procedure had to be altered to a three-alternative forced choice method (see text*
364 *for details).*

365

366 ***Effect of Number of Days Post-implantation, Electrode-Retina Distance and Retinal***
367 ***Thickness***

368 Figure 6 shows the effect of time after implantation on thresholds (Fig. 6A) and electrode-
369 retina distance (Fig. 6B) in two patients. Both thresholds and corresponding electrode-retina
370 distance were significantly dependent on, and increased with the number of days post-
371 implantation. For P2, absolute thresholds were not only higher than those for P1 but his
372 threshold increases over time occurred at a much faster rate compared to P1 (less than 100nC
373 increase for P1 over almost 700 days versus more than doubled for P2 over approximately
374 400 days of measurements). Electrode-retina distance increases over time were also higher
375 for P2 and nearly doubled in a span of 3-4 months, compared to P1, in which there was only
376 ~100-150 μ m increase in just under two years. However, it should be noted that P2 had
377 significant nystagmus, which led to increased difficulty in obtaining high quality OCT scans,
378 and hence a smaller number of data points were available for P2 than for P1. Since both
379 thresholds and electrode-retina distance were found to increase with time, thresholds were
380 found to correlate significantly with electrode-retina distance (Fig. 6C). There was also a
381 significant but negligible correlation between thresholds and retinal thickness in P1 (Fig. 6D).
382 When analyzing the effects of all other parameters on thresholds, the number of days post-
383 implantation was chosen as a co-variate.

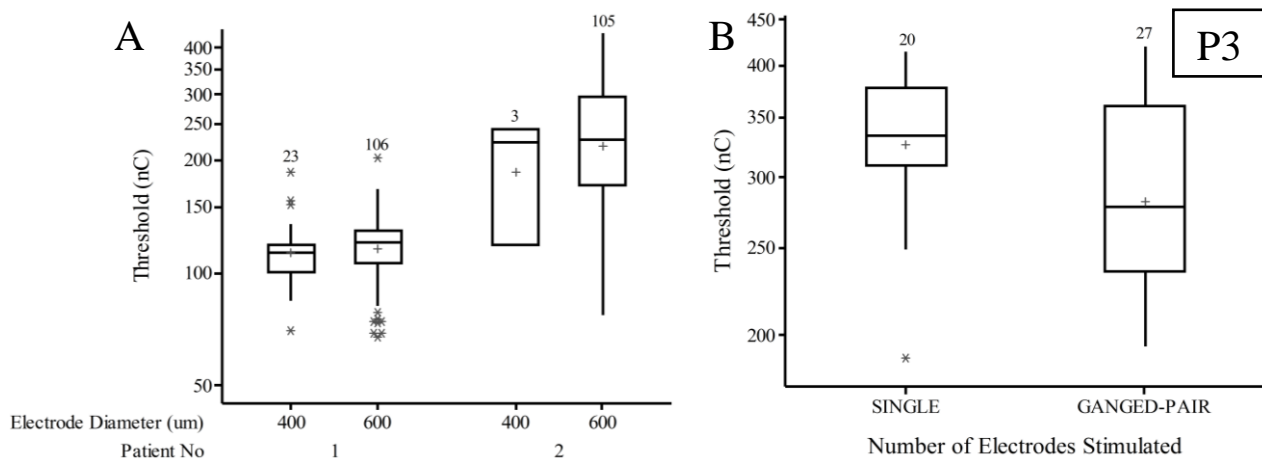


385

386 *Figure 6. (A & B) Effect of number of days post-implantation on thresholds and*
 387 *corresponding electrode-retina distances. Available data from only those electrodes where*
 388 *thresholds were measured in panel A were included in panel B. (C) Significant correlation*
 389 *between electrode-retina distance and thresholds in P1 and P2. Note: Due to fewer data*
 390 *points available for P2, correlation was not significant. (D) Negligible correlation between*
 391 *retinal thickness and thresholds in P1. Available data from only those electrodes where*
 392 *thresholds were measured in panel A were included in panel C. No retinal thickness data*
 393 *available for P2 due to his nystagmus. For all panels, data were only included for working*
 394 *parameters (P1: 500μs PW, 500μs IPG, 50pps, CF; P2: 148μs PW, 20μs IPG, 400pps, AF).*
 395 *Data are shown on a log-log scale for all panels.*

396 **Effect of Electrode Area and Number of Electrodes Stimulated**

397 Surprisingly, thresholds were not dependent on the electrode area or the number of ganged
 398 electrodes stimulated. A GLM analysis using data from P1 and P2 (Fig. 7A) showed that
 399 thresholds for single electrodes were not dependent on the electrode diameter (400 μ m, \bar{x} =
 400 124.2nC, n=26 versus 600 μ m, \bar{x} = 174.2nC, n=211, p = 0.266), after accounting for
 401 differences due to the number of days post-implantation. Further, while there was a trend of
 402 lower thresholds with ganged pairs compared to single electrodes, a separate GLM analysis
 403 (Fig. 7B) for P3 revealed that thresholds for single electrodes (\bar{x} = 331.3nC, n=20), were not
 404 significantly different (p = 0.781) to those for ganged pairs (\bar{x} = 288.7nC, n=27), after
 405 accounting for the number of days post-implantation. When further analyzing thresholds for
 406 P1 and P2, data from both electrode diameters were pooled together.



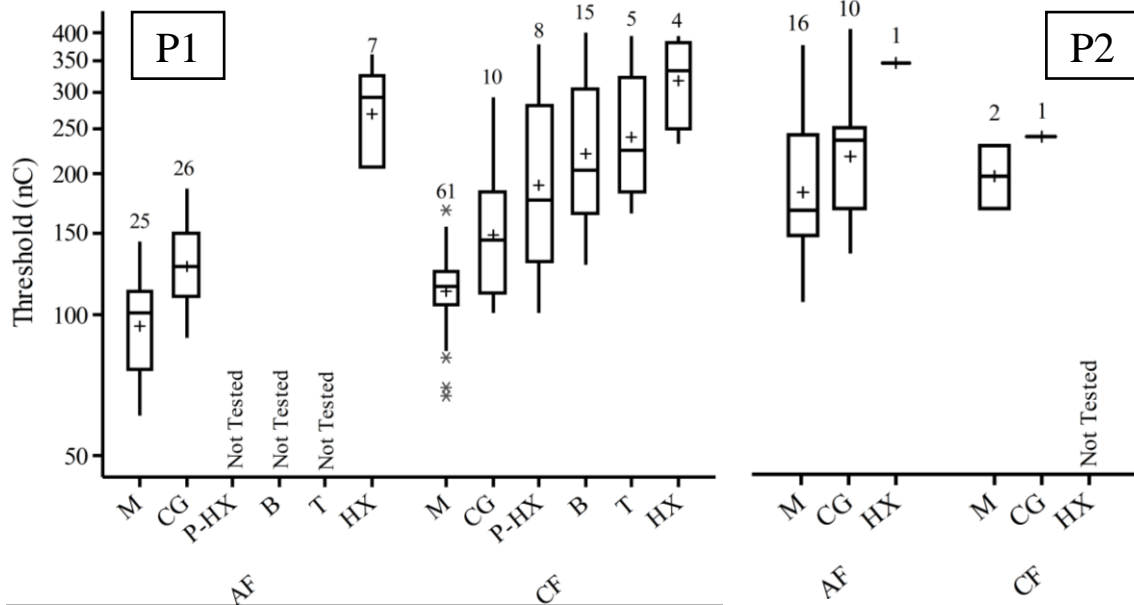
407
 408 *Figure 7. (A) Effect of electrode diameter on thresholds in P1 and P2. Data were included*
 409 *from working parameters (P1: 500 μ s PW, 500 μ s IPG, 50pps, CF; P2: 148 μ s PW, 20 μ s IPG,*
 410 *400pps, AF) and from all 20 stimulating electrodes. (B) Effect of retinal area stimulated on*
 411 *thresholds in P3 using either single electrodes or ganged pairs (GP). Pulse parameters were*
 412 *500 μ s PW, 500 μ s IPG, 500pps. For both panels, '+' symbols indicate group means and '*'*

413 symbols indicate outliers. Numbers above whiskers indicate number of data points for each
414 configuration. Note threshold data are plotted on a log axis.

415

416 ***Effect of Return Configuration***

417 As expected, the monopolar return configuration was found to elicit phosphenes with the
418 lowest thresholds regardless of the pulse polarity used, for patients P1 and P2 (Fig. 8). For the
419 same return configuration, thresholds were higher for P2 compared to P1, and generally
420 higher for CF stimulation compared to AF stimulation. Also, in a small number of
421 measurements, changing the monopolar return to the extraocular pin (electrode 24) did not
422 significantly change threshold (data not shown). Compared to monopolar stimulation,
423 thresholds were found to increase when using the common ground configuration, followed by
424 the pseudo-hexagonal, bipolar, tripolar and finally the hexagonal configuration which elicited
425 phosphenes with the highest thresholds. Interestingly, threshold values using the pseudo-
426 hexagonal configuration, (where approximately each half of the current would flow through
427 the monopolar and hexagonal return electrodes) were approximately half-way between those
428 values using the pure monopolar and pure hexagonal configurations. The yield of threshold
429 values being below the safe charge limit also largely depended on the return configuration. A
430 GLM analysis revealed that after accounting for number of days post-implantation and
431 differences between patients, thresholds using the monopolar ($\bar{x} = 119.4\text{nC}$) and common
432 ground ($\bar{x} = 151.4\text{nC}$) configurations were significantly different ($p < 0.001$) to those using
433 all other configurations. The only other significant difference ($p < 0.001$) found was between
434 thresholds using the pseudo-hexagonal configuration ($\bar{x} = 206.5\text{nC}$) and those using the pure
435 hexagonal configuration ($\bar{x} = 292.3\text{nC}$). When further analyzing the effects of other
436 parameters on thresholds, only data using the monopolar configuration were included.



437 *Figure 8. Effect of return configuration on thresholds in P1 and P2. Pulse parameters were*
 438 *fixed at 500 μ s PW, 500 μ s IPG, and 50pps rate. ‘+’ symbols indicate group means, ‘*’*
 439 *symbols indicate outliers. Numbers above whiskers indicate number of data points for each*
 440 *configuration. Data is only included from electrodes 2, 7, 12, 3, 8, 13, 4, 9, and 14 (i.e.*
 441 *Electrodes where a hexagonal return would not include the guard ring). Note threshold data*
 442 *are plotted on a log axis.*

443

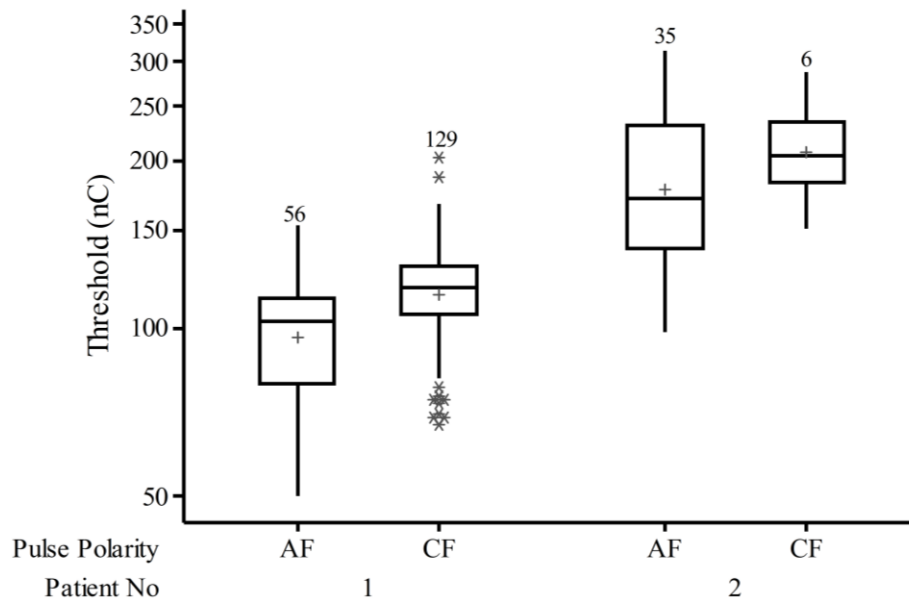
444 ***Effect of Active Electrode and Pulse Polarity***

445 A GLM analysis was conducted with active electrode and pulse polarity as the factors,
 446 number of days post-implantation as the co-variate and patient number as the random factor.
 447 There was no significant interaction between active electrode and pulse polarity ($p = 0.765$),
 448 while the main effects of both active electrode and pulse polarity were significant ($p <$
 449 0.001). Post-hoc analyses revealed that thresholds using AF stimulation were significantly
 450 lower (~20-30nC on average) than those using CF stimulation (Fig. 9).

451

452

453



455

456 *Figure 9. Effect of pulse polarity on thresholds in P1 and P2. Pulse parameters were fixed at*
 457 *500 μ s PW, 500 μ s IPG, 50pps rate. '+' symbols indicate group means, '*' symbols indicate*
 458 *outliers. Numbers above whiskers indicate number of data points for each polarity. Data is*
 459 *included from all 20 stimulating electrodes. Note threshold data are plotted on a log axis.*

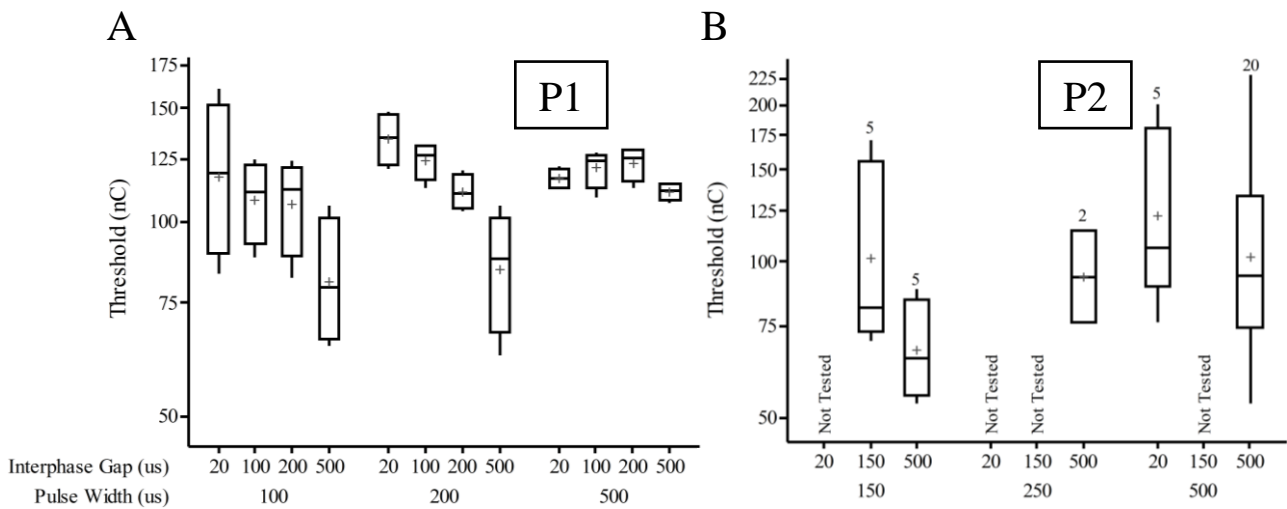
460

461 ***Effect of Pulse Width and Interphase Gap***

462 Figure 10 summarizes the effects of varying PW and IPG on thresholds in P1 (Fig. 10A) and
 463 P2 (Fig. 10B). Generally, larger interphase gaps resulted in lower perceptual thresholds, but
 464 this was more evident at shorter values of PW. A GLM analysis with PW and IPG as factors
 465 and number of days post-implantation as a co-variate was conducted only for data from P1 as
 466 this dataset was more complete. Results showed no significant interaction between PW and
 467 IPG ($p = 0.164$). A post-hoc analysis of PW revealed that thresholds using a PW of 100 μ s per
 468 phase (“narrow”) were marginally lower (~ 20 nC on average, $p < 0.05$) than those using a PW
 469 of 500 μ s per phase (“wide”). Thresholds using a 200 μ s per phase PW were not different to
 470 narrow or wide pulses ($p > 0.05$). Post-hoc analysis of IPG revealed that only thresholds

471 using an IPG of 500 μ s were marginally lower (\sim 20-30nC on average, $p < 0.05$) than those
 472 using shorter IPGs (20, 100 and 200 μ s).

473



474

475 *Figure 10. Effect of pulse width and interphase gap on thresholds. (A) Data from P1, pulse*
 476 *parameters were fixed at CF, 50pps rate. Each box contains 4 data points from four*
 477 *electrodes 1, 2, 9 and 10. (B) Data from P2, pulse parameters were fixed at AF, 500pps rate.*
 478 *Numbers above whiskers indicate number of data points for each PW/IPG combination. '+'*
 479 *symbols indicate group means. Note: GLM analysis was only conducted for P1 due to a more*
 480 *complete dataset. Note threshold data are plotted on a log axis.*

481

482 **Effect of Stimulation Rate**

483 Along with the return configuration, stimulation rate was found to have the most significant
 484 influence on perceptual thresholds out of all stimulus pulse parameters, with decreasing
 485 thresholds as a function of increasing stimulation rate (Fig. 11). In all three patients, the
 486 highest rate tested resulted in significantly reduced perceptual thresholds by 34-43% on
 487 average compared to a rate of 50pps. Interestingly, in both P1 and P2, there was a trend for

488 single pulses to induce phosphene percepts with lower thresholds compared to a 5pps pulse
 489 train, but this was not significant after accounting for number of days post-implantation.

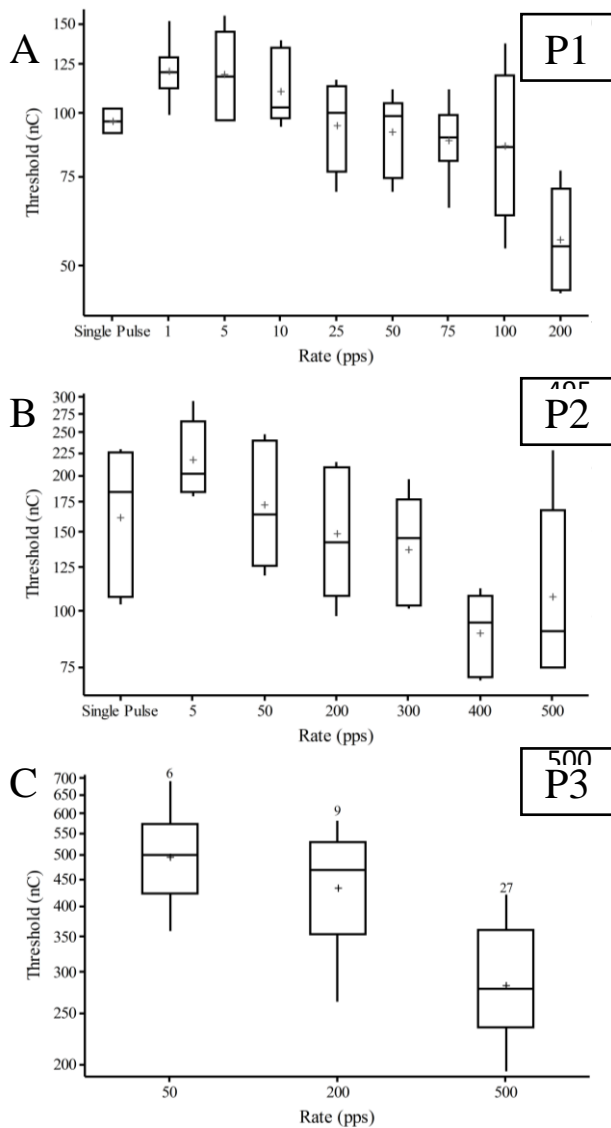


Figure 11. Effect of stimulation rate on perceptual thresholds. (A) Data from P1, pulse parameters were fixed at CF, 500 μ s PW, 500 μ s IPG. Each box contains 6 data points from six electrodes 1, 2, 3, 8, 9 and 10. (B) Data from P2, pulse parameters were fixed at AF, 500 μ s PW, 500 μ s IPG. Each box contains 5 data points from five electrodes 1, 5, 8, 16, and 20. (C) Data from P3 using ganged pairs, pulse parameters were fixed at AF, 500 μ s PW, 500 μ s IPG. Numbers above whiskers indicate number of data points for each rate tested. '+' symbols indicate group means in all panels. Note threshold data are plotted on a log axis.

505

506 **Discussion**

507 The main goals of this study were to provide evidence of successful stimulation of the retina
508 via an electrode array placed in the suprachoroidal space of profoundly vision impaired
509 humans, assess thresholds required to elicit phosphene percepts, and discover what stimulus
510 parameters and other associated factors affected thresholds the most. Our study monitored
511 thresholds over a duration of just under two years in three patients with profound vision loss
512 from RP. We found that apart from electrode area, the number of ganged electrodes
513 stimulated, and retinal thickness, all factors tested had a significant influence on perceptual
514 thresholds. Charge per phase thresholds were found to be lowest when using anodic-first
515 biphasic pulses with a long interphase gap, in a monopolar return configuration, at high rates
516 of stimulation. Other factors that affected thresholds were the individual patient, active
517 electrode chosen for stimulation, time after implantation, and electrode-retina distance.

518

519 ***Threshold Range and Patient Influence on Thresholds***

520 The perceptual thresholds for single electrodes recorded in this study were ranged widely
521 from as low as 44nC per phase in P1 (Monopolar, CF, 500 μ s PW, 500 μ s IPG, 500pps) to as
522 high as 436nC per phase in P2 (Monopolar, AF, 148 μ s PW, 20 μ s IPG, 400pps). This type of
523 variability and the large range of thresholds across patients seen in our study has also been
524 seen with epiretinal stimulation^{32, 33}. Presumably, the factor likely responsible for the greatest
525 variability amongst patients in our study was the electrode-retina distance (over the duration
526 of the study, electrode-retina distances ranged between 253-780 μ m in P1, 422-1420 μ m in P2
527 and 279-1296 μ m in P3), but there could be other unknown factors involved, including the
528 density and health of surviving neurons in the retina. Nevertheless, the fact that we were able
529 to obtain thresholds in all 3 patients through careful optimization of stimulus parameters,

530 provides a proof of concept that suprachoroidal stimulation is a viable option for a retinal
 531 prosthesis.

532 When compared with the thresholds reported for chronic epiretinal stimulation in humans
 533 using similar stimulus parameters, the thresholds in our study were found to be higher (Table
 534 1). However, the difference was not as extreme as previously expected, based on an *in vitro*
 535 study which showed that suprachoroidal stimulation required ~15 times on average higher
 536 charge to reach the threshold of cortical activity compared to subretinal stimulation³⁴. When
 537 compared to a previous study testing stimulation of the retina via intra-scleral electrodes,
 538 thresholds in our study were found to be significantly lower (Table 2).

539

STUDY	DURATION & IMPLANT LOCATION	STIMULUS PARAMETERS FOR THRESHOLD MEASUREMENTS	REPORTED MEAN THRESHOLD	PRESENT STUDY CLOSEST MATCHED STIMULUS PARAMETERS	PRESENT STUDY MEAN THRESHOLD (600µm electrodes)
de Balthasar et al ³⁵ (Argus I) – Subjects S4, S5 & S6	CHRONIC EPIRETINAL	Monopolar, 975µs PW, 975µs IPG, Single Pulse, CF	98.87nC & 186.2 µC/cm ² for 260µm electrodes; 95.87nC & 45.1 µC/cm ² for 520µm electrodes	Monopolar, 500µs PW, 500µs IPG, Single Pulse, CF (P1), AF (P2)	165.6nC & 58.6µC/cm ² (n = 11)
Ahuja et al ¹⁹ (Argus II)	CHRONIC EPIRETINAL	Monopolar, 450µs PW, 0µs IPG, 20pps, CF	92.925nC & 295.8µC/cm ² (n = 703)	Monopolar, 500µs PW, 20µs IPG, 50pps, CF	164.2nC & 58.1µC/cm ² (n = 42)
Fujikado et al ¹⁸	SEMI-CHRONIC SUPRACHOROIDAL-TRANSRETINAL	Monopolar, 500µs PW, 500µs IPG, 20pps, CF	332.5nC & 79.8 µC/cm ² (n = 10)	Monopolar, 500µs PW, 500µs IPG, 25pps, CF	108.2nC & 38.3 µC/cm ² (n = 6)
Rizzo et al ³²	ACUTE EPIRETINAL	Monopolar, 250µs PW, 10µs IPG, 20pps, CF	4100µC/cm ² (100µm) & 300µC/cm ² (400µm)	Monopolar, 200µs PW, 20µs IPG, 50pps, CF	135nC & 47.8µC/cm ² (n = 4)
Keseru et al ³³	ACUTE EPIRETINAL	Monopolar, Asymmetric Pulses	Not Comparable		
Klauke et al ³⁶	SEMI-CHRONIC EPIRETINAL	Interleaved Stimulation of Bipolar Pairs	Not Comparable		

540

541 *Table 2. Comparison of perceptual thresholds recorded in this study against thresholds*
 542 *recorded in previous retinal prosthesis studies in blind humans. Only similar parameters*

543 *used in each study were compared if available. Note, in the comparison with Argus I subjects,*
544 *direct mean data from S1, S2 and S3 were not available. Also, data from P3 were excluded*
545 *from the table due to the fact that most data were collected using ganged pair stimulation*
546 *(which has not been used by any other clinical study) and using different stimulus*
547 *parameters.*

548

549 ***Stimulus Parameter Influence on Thresholds***

550 **Effect of Return Configuration:**

551 The influence of return configuration has been well documented in both animal³⁷⁻³⁹ and
552 clinical⁴⁰⁻⁴⁴ studies with cochlear implants. Narrower electric fields in the cochlea using
553 common ground, bipolar and tripolar stimulation result in higher thresholds of either recorded
554 brain activity or perception, and the monopolar return configuration has been shown to
555 produce percepts with the lowest thresholds. An important difference to note between
556 cochlear and retinal implants is the latter makes use of a two-dimensional electrode array as
557 opposed to a linear array, thus allowing one to test even more spatially restricted
558 configurations compared to bipolar and tripolar stimulation. One such configuration we
559 assessed was the hexagonal return, first proposed by Lovell et al⁴⁵. Perceptual thresholds in
560 our study with the hexagonal return were found to be on average 2.5 times higher than those
561 using the monopolar return. In addition to being the first report of hexagonal stimulation in
562 humans, this result is consistent with what has been seen in preclinical retinal implant studies,
563 where between 2 times²³ to 6 times^{46, 47} higher thresholds for hexagonal stimulation compared
564 to monopolar stimulation have been reported. Although our preclinical study showed that
565 return configurations such as common ground and hexagonal produce a narrower spread of
566 activation in the retina compared to monopolar stimulation²³, the benefits of using such return
567 configurations for retinal stimulation are at present unclear. Apart from the threshold rise, our

568 subjects did not report any systematic or significant noticeable effects on phosphene percepts
569 as the return configuration was varied but this was not quantified. Perhaps the benefits of
570 return configurations with spatially restricted fields of excitation will be more evident when
571 performing simultaneous or sequential stimulation of electrodes, where electrode interactions
572 will significantly influence the resultant percept, albeit with the known limitation of requiring
573 2.5 times higher charge levels. However, there is a possibility that because of the higher
574 current levels required, the group of neurons stimulated by these narrow return configurations
575 may actually be very similar to those when using monopolar stimulation.

576

577 **Effect of Pulse Polarity:**

578 Clinically, compared to the return configuration, the influence of pulse polarity on perceptual
579 threshold is still debatable. Cochlear implants typically have been shown to produce
580 activation with similar thresholds for CF and AF stimulation, during both electrophysiology⁴⁸,
581 ⁴⁹ and psychophysics testing^{48, 50}. However, there are also studies using pulses with long
582 interphase gaps or monophasic pulses, which have shown that anodic currents result in more
583 efficient activation of the cochlea⁵¹⁻⁵³, but this can also be species dependent⁵⁴. In the retinal
584 implant field, studies by Jensen and Rizzo using subretinal stimulation showed that AF pulses
585 resulted in lower thresholds for activation of RGCs compared to CF pulses⁵⁵, but the opposite
586 was true for epiretinal stimulation^{56, 57}. Our preclinical study using suprachoroidal
587 stimulation²⁵ showed that for the same amount of cortical activation, AF stimulation required
588 less charge per phase compared to CF stimulation, which is consistent with the results
589 reported in this study. The most likely explanation for the relatively small benefit seen with
590 AF stimulation in our study, comes from the notion that anodic currents tend to excite
591 neurons that are distal to the stimulating electrode⁵⁸, which would most likely be the case
592 with our electrodes being suprachoroidal, and the RGCs being hundreds of microns away.

593 This notion is further supported by a study that performed recordings in both superficial and
594 deeper layers of the motor cortex in response to electrical stimulation of the cortical surface,
595 where they found neurons in deeper layers to be more sensitive to AF stimuli⁵⁹. Thus
596 clinically, at least for suprachoroidal prostheses, AF stimulation is recommended when using
597 symmetric biphasic pulses.

598

599 **Effect of Pulse Width, Interphase Gap and Stimulation Rate:**

600 Our study showed a marked reduction in perceptual threshold when using short-duration
601 pulse widths combined with long interphase gaps compared to other pulse width-interphase
602 gap combinations. While this result is well established in the cochlear implant literature^{60, 61},
603 recent reports with epiretinal stimulation^{62, 63} and our preclinical study using suprachoroidal
604 stimulation²⁵ have also demonstrated the beneficial effects of using an interphase gap with
605 biphasic pulses compared to pulses without any interphase gap. In fact, the study by Weitz et
606 al⁶³ showed an improvement of ~10% in perceptual threshold when using interphase gaps of
607 $\geq 500\mu\text{s}$ compared to no gap with the pulse width set to $450\mu\text{s}$ per phase, a result very closely
608 matched with what we found when using a PW of $500\mu\text{s}$ per phase. The effect of PW alone
609 on threshold is also well established, where longer PWs have been shown to increase
610 threshold charge per phase and decrease threshold current (strength-duration curve), both
611 with cochlear implants⁶⁴ and retinal implants^{25, 32}. In our study, although we only tested a
612 limited number of PW and IPG combinations due to time constraints, our data support the
613 present literature.

614 While the benefit of high stimulation rates on perceptual threshold is well known with
615 cochlear implants^{20, 65, 66}, it has not been extensively reported in humans with retinal
616 stimulation. A study by Horsager et al²¹ showed some promise for high rate epiretinal
617 stimulation, with significantly reduced threshold currents for rates up to 135pps using long

618 duration pulse trains, and up to 3,000pps using short duration pulse trains. However, when
619 their data were converted to total charge delivered over a 500ms pulse train and fitted using
620 their model, they predicted that high stimulation rates required more total charge to reach
621 threshold for a given PW. These results led to their conclusion that the most effective rate for
622 epiretinal stimulation is 50pps. It is likely that the duration of stimulation will govern the
623 most effective stimulus rate in terms of lower total charge delivered due to differences in the
624 number of pulses. At longer durations, a 50pps pulse train may require less total charge
625 compared to a 400pps pulse train, however for short duration stimulation (more likely to
626 occur when using a camera-based strategy), a higher rate will be preferential as the charge per
627 pulse is significantly reduced.

628 A problem to consider with high rate stimulation is the possibility of phosphene fading,
629 occurring mostly within a few seconds after stimulation onset, but sometimes much quicker.
630 There have been reports with both epiretinal⁶⁷ and subretinal⁶⁸ stimulation, that higher
631 stimulation rates cause faster fading than lower rates, but the opposite can also be true, so the
632 data are not consistent across subjects⁶⁷. However, the typical rates used to test phosphene
633 fading have only been up to tens of pps as opposed to hundreds of pps that we tested. Our
634 patients reported no major fading of phosphenes and were able to see the phosphene for the
635 total duration of stimulation (2 secs in this study), but we did not systematically quantify the
636 effects of fading. P3 was an exception, who only saw a quick flash at the onset of stimulation,
637 but this was not stimulus or electrode dependent.

638 There are two mechanisms which may be responsible for the lower thresholds seen when
639 using high rates. There have been several in-vitro studies using epiretinal and subretinal
640 stimulation, showing that most types of RGCs are typically “desensitized” at high stimulation
641 rates (beyond 200pps) where they may fail to “follow” each pulse within a high rate pulse
642 train, or produce no spiking at all⁶⁹⁻⁷¹. However, there are some types of RGCs that can even

643 follow 600pps stimulation⁷², and a recent study performing epiretinal stimulation showed
644 robust responses in ON-OFF directionally sensitive RGCs when stimulation was applied at
645 2000pps⁷³. It is possible that higher rates may provide exclusive activation of RGCs as
646 opposed to the remaining neural network, thus bringing down thresholds and eliciting clearer
647 phosphenes. Alternatively, the reason for high rate stimulation to work well could simply be a
648 central mechanism, where integration of multiple pulses within a short temporal window
649 could significantly increase detectability of the stimulus, a feature prominent in both the
650 visual⁷⁴ and auditory⁷⁵ systems.

651 Ultimately, the decision to use high rates will be partly governed by the threshold charge
652 level required, electrode impedances and voltage and current limitations of the stimulator.
653 High rates will likely require short PWs for sequential stimulation of multiple electrodes and
654 therefore, large currents and voltages to evoke percepts. In addition, one must bear in mind
655 that the prosthesis in normal operation is expected to be stimulating at levels of up to 1.5-2
656 times above threshold, so the stimulator design would need to supply large currents and
657 voltages, a challenge when using miniature components. In such situations, perhaps a low
658 stimulation rate with long pulses would be more suitable.

659

660 *Influence of Other Factors on Thresholds*

661 Apart from stimulus parameters, we found that thresholds depended significantly on
662 electrode-retina distance but negligibly on retinal thickness. A study using the Argus I
663 epiretinal prosthesis also found that retinal thickness was not a determinant factor of
664 threshold³⁵. One reason for the weak correlation could be that while visual acuity in RP
665 patients depends on the preservation of macular photoreceptors, the level of preservation may
666 not be proportional to the overall retinal thickness, as it has been shown that visual acuity and
667 foveal retinal thickness do not correlate in all RP patients⁷⁶. Also, the macular volume does

668 not highly correlate with remaining residual function in a degenerate retina⁷⁷, so in some
669 ways one might expect no correlation between retinal thickness and threshold. Furthermore,
670 the Argus I study found that electrode-retina distance was a significant determinant of
671 threshold, similar to the findings of this study. Interestingly, in our study the electrode-retina
672 distance was highly variable, not only across patients but even amongst electrodes within
673 each patient. Therefore, it is also possible that the high variability in electrode-retina distance
674 across the electrode array was responsible for the weak correlation between threshold and the
675 retinal thickness directly above each electrode due to confounding measurements between
676 electrode-retina distance and retinal thickness. The variability seen within each patient was
677 partly attributed to limitations with the measurement tool on the OCT equipment and partly
678 due to the location of the electrodes on the array. Measurement error could easily be 10-
679 20 μ m, and this would lead to fluctuations in measurement values. In addition, the electrodes
680 on the outer edge of the array always tended to be closer to the retina, as the area of presumed
681 fibrosis overlying the array was thickest in the middle, causing more elevation of the retina
682 compared to the edges. However, there was a clear trend for increasing electrode to retina
683 distance with time, despite these limitations.

684 The electrode diameter or the number of ganged electrodes stimulated also did not affect
685 thresholds, seen when comparing thresholds from single electrodes (two different electrode
686 diameters) in P1 and P2, and when comparing single electrodes versus ganged pairs (600 μ m
687 diameter only) in P3. The finding related to electrode area is consistent with what we have
688 previously reported in a preclinical study measuring evoked potential thresholds⁷⁸, and is also
689 consistent with findings from patients with epiretinal implants³⁵. While larger electrodes may
690 be expected to result in higher thresholds⁷⁰, it is possible that the 600 μ m diameter electrodes
691 in our study have more uneven current distribution across the electrode surface compared to
692 the 400 μ m diameter electrodes, with more current density around the edges, thus accounting

693 for similar thresholds³⁵. It must be noted however, that the only way to change the electrode
694 diameter was to change the active electrode on the array being stimulated, therefore electrode
695 diameter and electrode location always co-varied. Therefore, it is also possible that we were
696 not able to isolate the effects of electrode diameter alone on threshold. The second finding
697 may be a little surprising at first, as one may expect a larger retinal area covered by two
698 ganged 600 μ m electrodes to elicit a lower perceptual threshold than a single electrode if one
699 assumes the higher probability of exciting more neurons with ganged-pair stimulation.
700 However, if edge effects of current distribution play a role, then ganging two electrodes may
701 not have the same effect as increasing the area of retina being stimulated. When taking into
702 account the above two findings, it may be beneficial for a suprachoroidal retinal prosthesis to
703 use larger diameter electrodes as opposed to smaller electrodes to take advantage of the
704 significantly lower impedances and lower charge densities that larger electrodes offer. Also,
705 as learnt from the experience with P3, ganged pairs of electrodes can be used as a fallback in
706 case single electrode stimulation is unable to elicit phosphenes, since the effects of stimulus
707 parameters on thresholds are likely to be similar with both single electrode and ganged pair
708 stimulation.

709 The increase in thresholds over time is of some concern. With the Argus I prosthesis,
710 thresholds also increased with time, and this was suggested to be a result of the electrode
711 lifting off the retina after surgery, thus increasing the electrode-retina distance³⁵. It is well
712 known that threshold is strongly correlated with electrode-retina distance, both *in vitro* and *in*
713 *vivo*. In our study, we also observed an increase in electrode-retina distances over time, and
714 therefore this may explain the increase in threshold over time. At this stage, it is unclear as to
715 what exactly caused the longitudinal increase in electrode-retina distances, and this is
716 currently under further investigation. Many factors could be responsible but it is likely to be
717 associated with the formation of fibrous tissue around the array. The rate of increase varied

718 between patients, which may be related to the time taken for the initial hemorrhage to resolve
719 in each patient or the level of nystagmus. For example, P2 had the largest electrode-retina
720 distances and the most severe nystagmus, while P1 had almost no nystagmus and the smallest
721 change in distance. The rate of fibrous growth could also have possibly depended on stimulus
722 levels used (P2 and P3 had higher thresholds compared to P1). Studies are currently being
723 conducted to identify the exact cause of the increase observed, but it highlights the need to
724 carefully monitor electrode-retina distances over the long-term when using suprachoroidal
725 stimulation, as this is likely to be an important factor governing the success of this implant.

726

727 ***Conclusion***

728 Our study is the first of its kind to report the factors affecting perceptual thresholds with
729 suprachoroidal stimulation over long-term implantation. Firstly, we showed that through
730 careful optimization of stimulus parameters, it was possible to obtain reliable thresholds and
731 phosphene percepts in all three patients, thus proving that suprachoroidal stimulation can be
732 effective. Secondly, we showed that for suprachoroidal stimulation, using the monopolar
733 return configuration and AF polarity, along with short pulse widths combined with long
734 interphase gaps and high stimulation rates, we can maximally reduce perceptual thresholds.
735 Lastly, future investigations will need to carefully monitor electrode-retina distances and
736 attempt to elucidate the cause of increasing thresholds over time.

737

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