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Platinum dissolution and tissue response following long-term electrical stimulation at high charge densities

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3 **Platinum dissolution and tissue response following long-term electrical**
4 **stimulation at high charge densities**
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Key words: Electrical stimulation, neural prosthesis, platinum, electrode, stimulation safety, corrosion, cochlear implant, neurotechnology.

1. Abstract:

Objective: Established guidelines for safe levels of electrical stimulation for neural prostheses are based on a limited range of the stimulus parameters used clinically. Recent studies have reported particulate platinum (Pt) associated with long-term clinical use of these devices, highlighting the need for more carefully defined safety limits. We previously reported no adverse effects of Pt corrosion products in the cochleae of guinea pigs following 4 weeks of electrical stimulation using charge densities far greater than the published safe limits for cochlear implants. The present study examines the histopathological effects of Pt within the cochlea following continuous stimulation at a charge density well above the defined safe limits for periods up to 6 months. *Approach:* Six cats were bilaterally implanted with Pt electrode arrays and unilaterally stimulated using charge balanced current pulses at a charge density of 267 $\mu\text{C}/\text{cm}^2/\text{phase}$ using a tripolar electrode configuration. Electrochemical measurements were made throughout the implant duration and evoked potentials recorded at the outset and on completion of the stimulation program. Cochleae were examined histologically for particulate Pt, tissue response, and auditory nerve survival; electrodes were examined for surface corrosion; and cochlea, brain, kidney, and liver tissue analysed for trace levels of Pt. *Main results:* Chronic stimulation resulted in both a significant increase in tissue response and particulate Pt within the tissue capsule surrounding the electrode array compared with implanted, unstimulated control cochleae. Importantly, there was no stimulus-induced loss of auditory neurons or increase in evoked potential thresholds. Stimulated electrodes were significantly more corroded compared with unstimulated electrodes. Trace analysis revealed Pt in *both* stimulated and control cochleae although significantly greater levels were detected within stimulated cochleae. There was no evidence of Pt in brain or liver; however, trace levels of Pt were recorded in the kidneys of two animals. Finally, increased charge storage capacity and charge injection limit reflected the more extensive electrode corrosion associated with stimulated electrodes. *Significance:* Long-term electrical stimulation of Pt electrodes at a charge density well above existing safety limits and nearly an order of magnitude higher than levels used clinically, does not adversely affect the auditory neuron population or reduce neural function, despite a stimulus-induced tissue response and the accumulation of Pt corrosion product. The mechanism resulting in Pt within the unstimulated cochlea is unclear, while the level of Pt observed systemically following stimulation at these very high charge densities does not appear to be of clinical significance.

2. Introduction:

Platinum (Pt) is regarded as a safe electrode material when used in combination with carefully controlled charge-balanced current pulses operating within stimulus levels that allow charge injection via reversible Faradaic reactions (1, 2). However, high charge intensities can generate irreversible electrochemical reactions including the hydrolysis of water, Pt dissolution and O₂ reduction, altering the electrolyte environment adjacent to the electrode that may result in both electrode corrosion and tissue damage (2-6).

There are useful guidelines defining the boundary between damaging and non-damaging electrical stimulation for neural prostheses using Pt electrodes. The 'Shannon limit' (7) was generated from acute stimulation studies using large surface area Pt electrodes in direct contact with cortical neurons and typically stimulated at 50 pulses per second (pps) for ~8 hours (8). This limit defines the maximum safe relationship between charge density and charge per phase for neural prostheses given by the equation: $\log(Q/A) = k - \log(Q)$; where Q is the charge per phase (μC per phase), A is the geometric surface area of the stimulating electrode (cm^2), Q/A is the charge density per phase ($\mu\text{C}/\text{cm}^2/\text{phase}$), and the variable k within the range 1.5–1.85 (1, 2, 7). However, the stimulus parameters used to derive this relationship represent a subset of the parameters used by neural stimulators operating in a clinical setting (9). Important parameters, including stimulus rate, near- versus far-field electrodes, duty cycle, electrode material and the target neural population, will influence the boundary between safe and damaging electrical stimulation (1, 9, 10). In addition to the general guidelines provided by the Shannon limit, the Association for the Advancement of Medical Instrumentation (AAMI) developed a standard for commercial cochlear implants that limits stimulation to a Shannon limit of $k = 1.75$, with a maximum charge density of 216 $\mu\text{C}/\text{cm}^2/\text{phase}$ (11).

The need for more carefully defined safe stimulation limits specifically for cochlear implants was highlighted by a series of clinical studies showing evidence of particulate Pt within the tissue capsule surrounding the electrode array in the cochleae of patients that were long-term cochlear implant users (12-15). Some of these reports also described an increased foreign body response within the cochlea associated with the particulate Pt (14, 15). Little is known about the toxicity to cochlear tissue, or the systemic distribution of these corrosion products *in vivo*.

Using a deafened guinea pig model of cochlear implantation, we recently demonstrated that chronic stimulation using stimulus parameters as high as $k = 2.0$ – well above the published guidelines – did not result in loss of primary auditory neurons (ANs) (16, 17). However, stimulation at these high charge densities did produce significant levels of particulate Pt within the tissue capsule surrounding the electrode array (16, 17). Although the extent of the foreign body response was also associated with stimulation at high charge densities,

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3 these studies concluded that there were no adverse histopathological effects of Pt corrosion
4 product on tissue within deafened cochleae.
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7 These previous studies were undertaken using continuous stimulation for 4 weeks. The
8 present study extends this work by using a deaf feline model where both cochleae were
9 implanted, and the animals unilaterally stimulated using a tripolar electrode configuration at
10 a charge density of $267 \mu\text{C}/\text{cm}^2/\text{phase}$ ($k = 1.73$) for periods of up to 6 months. These
11 stimulus parameters were selected as they are above the maximum AAMI standard for
12 cochlear implants (11), and are known to result in significant corrosion of Pt electrodes (16)
13 while being well tolerated in an awake, chronically stimulated animal. We show that long-
14 term stimulation of Pt electrodes at a charge density well above the accepted safety limits
15 induces a vigorous tissue response and Pt corrosion within the cochlea, and extensive
16 corrosion of the surface of stimulated electrodes. Increased charge storage capacity and
17 charge injection limit was associated with stimulated electrodes. Despite these changes,
18 there was no evidence of a reduction in AN density or evoked-potential threshold
19 associated with the stimulation. Finally, we report trace levels of Pt in implanted,
20 unstimulated control cochleae and in the kidney of chronically stimulated animals. The
21 clinical implications of these findings are discussed.
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29 **3. Methods:**

30 ***Experimental subjects***

31 This study was performed using a total of 6 male cats. All procedures were conducted with
32 approval from the Bionics Institute Animal Research and Ethics Committee, and were
33 performed in accordance with the Australian Code of Practice for the Care and Use of
34 Animals for Scientific Purposes (8th Edition, 2013) and followed the principles of the US
35 National Institutes of Health guidelines regarding the care and use of animals for
36 experimental procedures.
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42 ***Deafening protocol***

43 Animals were deafened as neonates using daily subcutaneous injection of neomycin
44 (neomycin trisulphate; 60mg/kg; subcutaneously [s.c.]) (18, 19). After 3 weeks of deafening
45 each animal was anaesthetised with 3-4% isoflurane and 500 ml/min oxygen, and
46 maintained at 1-2% isoflurane. Hearing status was examined by recording the auditory
47 brainstem response (ABR). ABRs were recorded differentially using subcutaneous electrodes
48 (vertex positive; neck negative and thorax ground) and responses amplified, filtered,
49 averaged, and displayed. If there was evidence of hearing in response to a 100 μs duration
50 acoustic click at 100 dB peak-equivalent Sound Pressure Level (p.e. SPL), the neomycin
51 injections were continued until no ABR response at this intensity was evident.
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56 ***Scala tympani electrode array***

57 HL14 electrode arrays (Cochlear Ltd., Australia) were used in the present study (20). Each
58 array contained 14 half-band Pt electrodes on a Polydimethylsiloxane (PDMS) silicone
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3 carrier. Each electrode had a surface area of 0.075 mm². Electrode 1 (E1) was the most basal
4 and electrode 14 (E14) the most apical electrode on the array (21). Each Pt electrode was
5 connected via a leadwire to a battery powered stimulator (details below) located in a
6 backpack.
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10 11 12 ***Cochlear implant surgery***

13 Animals were bilaterally implanted at 8 weeks of age using aseptic surgical techniques. Each
14 animal was pre-medicated with an intramuscular injection of Ketamine (10 mg/kg) and
15 Xylazine (1mg/kg), and anaesthesia was maintained at a surgical level using a closed circuit
16 anaesthetic machine (1-2% isoflurane and 500 ml/min oxygen). The bulla cavity was opened,
17 the round window incised and the electrode array was inserted into the scala tympani to
18 the lower middle turn (20). The round window was sealed with crushed muscle to prevent
19 perilymph leakage. The leadwire was fixed at the bulla and on the skull, and then passed
20 subcutaneously to exit the body through an incision over the scapula. During surgery, the
21 animal's respiratory rate, expired CO₂, and body temperature were monitored and
22 maintained within normal levels (respiration rate 15–30; expired CO₂ 3–5%; body
23 temperature 37 ± 1 °C). An electrolyte solution (Hartmann's solution, 5-10 ml/kg/hr, i.v.)
24 and analgesic (Temgesic, 0.01 mg/kg, s.c.) were administered and a single carprofen
25 injection (4 mg/kg s.c) administered immediately post-operatively to provide 24 hours of
26 mild analgesia. Antibiotics (Clavulox 7 mg/kg, s.c.) were administered on the day of surgery
27 then orally (25 mg/kg) for up to 21 days following surgery.
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35 ***Electrode Impedance***

36 Common ground electrode impedances measured between the electrode of interest and
37 the remaining electrodes on the cochlear array were recorded at least weekly in awake
38 animals using Custom Sound EP 5.1 (Cochlear, Ltd., Australia). Because recent stimulation
39 history can influence electrode impedance (22), these measurements were made
40 immediately following disconnection from the stimulator. If any electrode had an
41 impedance value greater than 20 kΩ, the electrode was considered open circuit and was no
42 longer used for stimulation. This prompted a change in the stimulation electrode
43 configuration from a tripolar to a bipolar configuration. However, analysis of common
44 ground impedances included electrodes with an impedance greater than 20 kΩ but less than
45 50 kΩ.
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51 ***In vivo Electrochemical Measurements***

52 Electrochemical measurements were conducted on both the stimulated and the
53 unstimulated control electrode arrays. Electrochemical impedance spectroscopy (EIS), cyclic
54 voltammetry (CV), and charge injection limit (CIL) were recorded using the tripolar or bipolar
55 configuration based on electrode availability (see below), as a standard 3-electrode cell
56 recording configuration was not feasible *in vivo*. The centre-electrode of the tripole or
57 dipole was used as the working electrode; for tripolar recordings, the flanking-electrodes
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were used as the counter electrode, and the reference electrode was an unstimulated electrode on the array; for bipolar recordings, the flanker-electrode was used as the counter and reference electrodes combined.

The electrochemical measurements were made under general anaesthesia at 3 time points post-implant: 2 weeks (i.e. before commencement of the chronic stimulation program), 3 months, and 6 months. The impedance measurements were made immediately following disconnection from the stimulator, and the sequence and duration of the electrochemical recordings were kept constant at each time point to minimize variability due to the effects of stimulation history as noted above (i. Common ground impedances (~5 min, immediately after turning the stimulation off); ii. electrophysiological recordings (~50 min); iii. EIS then CV for each configuration (~45 min); and iv. CIL (~10 min)).

EIS was measured using a potentiostat (Interface 1000E, Gamry Instruments, USA). The frequency values ranged from 100 to 100,000 Hz at 10 points/decade and an AC voltage of 50 mV RMS. The low frequency value was chosen to be 100 Hz to avoid the possibility of DC-induced tissue damage that may occur at lower frequencies (23). However, at 6 months post-implant, the low frequency value was extended to 1 Hz since this recording was immediately preceding termination.

EIS data were fitted to an equivalent circuit model to quantify the factors influencing the electrode impedance throughout the chronic stimulation program (24, 25). A common phase element (CPE) was used to represent the capacitive behavior of the impedance at the electrode-electrolyte interface according to the following equation:

$$Z_{CPE} = \frac{K}{(j\omega)^\alpha} \quad (1)$$

Where K is the magnitude scaling factor, ω is the frequency, and $0 < \alpha < 1$; where $\alpha = 0$ indicates more resistive behavior and $\alpha = 1$ indicates more capacitive behavior (Fig. 1) (25-27). The impedance of the tissue layer is represented by a modified Lapicque equivalent circuit model, which includes the resistance of the tissue layer at an infinite stimulation frequency (R_∞) in series with a parallel combination of a second CPE representing the tissue capacitance and ΔR , where $\Delta R = (R_0 - R_\infty)$ and R_0 is the resistance of the tissue layer at DC.

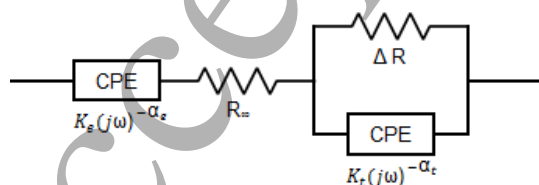


Figure 1. Equivalent circuit model used to fit EIS data and model parameters.

CV measurements were performed using the same potentiostat that was used for EIS. The electrode potential cycled between the limits of -0.6 and 0.8 V with respect to the counter electrode at a sweep rate of 150 mV/s for 5 cycles. The charge storage capacity (CSC) was computed from the CV curves by first finding the average of cycles 2 through 5, then integrating the total area of the current over time and dividing by the electrode geometric surface area.

Finally, voltage transient waveforms were measured and used to compute the CIL of each electrode (USB-6353, National Instruments, USA). The CIL was calculated by analysing the voltage response to a series of biphasic, cathodic first current pulses, with a pulse width of 100 μ s and current amplitudes ranging from 50 μ A to 2 mA in steps of 50 μ A. The maximum cathodal voltage (E_{mc}) was determined by subtracting the access voltage (V_a) from the maximum negative potential using a custom algorithm in Igor Version 8.04 (WaveMetrics, Portland, OR, USA). The CIL was calculated using the current value just below the amplitude level that caused the E_{mc} to surpass the cathodal limit of the water window (-0.6 V), up to a maximum of 2 mA (6, 28).

Electrically-evoked responses

Electrically-evoked auditory nerve compound action potentials (ECAPs) were used to monitor AN function. ECAPs were recorded in awake animals at both the onset of stimulation and at termination using neural response telemetry and Custom Sound EP 2.0 software (Cochlear Ltd., Australia) (21). ECAP recordings were obtained from electrodes on both the chronically stimulated and control electrode arrays using 25 μ s/phase biphasic pulses at 80 pulses s^{-1} in a monopolar electrode configuration. Recordings were made from below threshold to a maximum of 1600 μ A or the highest level that did not produce an aversive response using small intensity steps ($25 - 50$ μ A). ECAP threshold was defined as the lowest current amplitude required to evoke a clear ECAP with an amplitude of at least 20 μ V. Thresholds from each chronically stimulated cochlea were compared statistically with responses recorded from the contralateral implanted/deafened control cochlea.

Chronic stimulation program

One cochlea in each animal was randomly selected to be chronically stimulated while the contralateral cochlea was unstimulated and therefore served as a control. . Animals were stimulated continuously (24 hours per day, 7 days per week) over an implantation period of up to 6 months (Table 1).

The chronic stimulation program commenced 2 weeks following implant surgery immediately after recording the initial electrochemical measures under anaesthesia. Each animal was stimulated via a custom built back-pack stimulator (29) that delivered 2 mA, 100 μ s/phase charge balanced biphasic current pulses at 200 pps, developing 0.2 μ C/phase. A tripolar electrode configuration was used as it allowed high charge levels to be used while maintaining the percept within the animals' comfortable loudness range (16). A cathodic

first phase current was delivered on the centre-electrode of the tripole while the two flanker-electrodes provided the return path. All currents were then reversed in the second phase of the pulse. Charge-balance was achieved by shorting all electrodes between current pulses (30); a technique we used previously in a shorter duration study (16). Multiple (typically four) tripolar sites were stimulated across the 14 electrodes on the array (Table 1). Centre tripole electrodes generated charge densities of $267 \mu\text{C}/\text{cm}^2/\text{phase}$ while the flanker-electrodes developed approximately half this charge density. This stimulus regime was used as we had previously demonstrated significant levels of Pt corrosion at this level (16). In cases where stimulation using a tripolar configuration was not possible, the stimulating electrodes were reconfigured to bipolar electrodes and maintained the same charge density as in the tripolar configuration. Although the majority of the electrodes on a stimulated array underwent chronic stimulation there were always a number of electrodes that were not subject to stimulation (typically E1 & E2).

Histology

On completion of the stimulation program all animals were euthanized with sodium pentobarbitone (300 mg/kg; intraperitoneal) and systemically perfused with heparinised normal saline at 37°C followed by 10% neutral buffered formalin at 4°C . Each electrode array was removed for examination under a scanning electron microscope (SEM; see below) and both the stimulated and contralateral control cochleae postfixed, decalcified, frozen and sectioned at $12 \mu\text{m}$ to the mid-point using a CM 1900 UV cryostat (Leica, Germany) at -22°C . The section plane used is illustrated in Figure 2. The remaining half of the cochlea was kept for trace analysis of Pt using inductively coupled plasma mass spectrometry (ICP-MS; (16); see below).

Table 1: Summary of the cohorts used in this study.

| Animal ID | Duration of stimulation (weeks) | Centre-electrode* charge density ($\mu\text{C}/\text{cm}^2/\text{phase}$) | Stimulated side | Centre-electrodes for each tripole [§] |
|--------------------|---------------------------------|---|-----------------|---|
| HCD_1 [Ⓟ] | 7 | 267 | Right | TP4; TP7; TP10; TP13 |
| HCD_2 | 24 | 267 | Right | TP3; TP6; TP9; TP12 |
| HCD_3 | 24 | 267 | Left | TP4; TP7; TP10; TP13 |
| HCD_4 | 24 | 267 | Right | TP4; TP7; TP10; TP13 |
| HCD_5 | 24 | 267 | Left | TP3; TP6; TP9; TP12 |
| HCD_6 | 26 | 267 | Right | TP4; TP7; TP10; TP13 |

Notes: *Throughout this publication charge density refers to that on the centre-electrode of the tripole unless otherwise stated. [§]In cases where stimulation using a tripolar configuration was not possible, the stimulating electrodes were reconfigured to bipolar electrodes. TP = Tripole electrode. [Ⓟ] Stimulation program terminated early due to leadwire damage. No terminal impedance, electrochemical recordings or ECAPs were made with this animal.

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A representative series of cochlear sections were stained with haematoxylin and eosin (H&E) for qualitative examination (31). AN density, and the tissue response within the scala tympani, were quantified and compared statistically with data from the contralateral implanted unstimulated control cochlea. ANs were quantified in mid-modiolar sections using a Zeiss Axioplan microscope by a single observer blinded to the experimental cohorts. ANs were identified within Rosenthal's canal and counted within the lower basal (LB), upper basal (UB), lower middle (LM), upper middle (UM) and the apical (A) cochlear regions (16, 31). Only ANs exhibiting a clear nucleus were counted. The area of Rosenthal's canal was measured using Image J software (version 1.52p) and the density of the ANs was determined. The AN density for each cochlear region was averaged from 5 sections that were spaced at least 72 μm apart, ensuring that no AN was counted more than once.

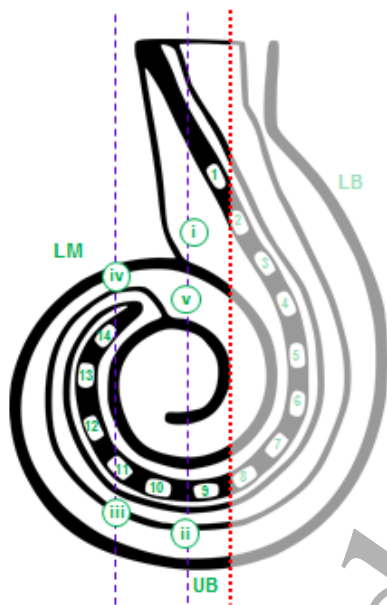


Figure 2. (a) Schematic view looking down on the axis of the cochlea spiral illustrating the section plane and the approximate location of the electrode array within the cochlea. Electrodes E1 (1) is the most basal electrode and E14 (14) the most apical electrode on the array (location 1). Half the cochlea was sectioned in the plane depicted by the dotted red line. The purple dashed lines indicate the cochlear locations used for tissue analysis. This section plane provided histological examination of the tissue adjacent to the six most apical electrodes (E9-E14; cochlear locations ii, iii & iv). The second half of each cochlea (semi-shaded), included the tissue adjacent to the most basal 8 electrodes (E1-E8), was used for Pt analysis using ICP-MS. LB, lower basal; UB upper basal, LM, lower middle turns.

In order to quantify the tissue response, the extent of fibrosis in the cochlea was measured in H&E stained sections at five locations along the electrode array (locations i-v; Fig. 2). An image of the scala tympani was captured and its area measured. The 'Triangle' algorithm in Image J was used to automatically threshold the image to quantify the tissue response (31). The area of scala tympani excluding the area of the electrode array was measured and the proportion of the scala tympani occupied by the tissue response calculated.

In addition to quantifying the extent of the foreign body response, the extent of any focal macrophage response associated with the electrode-tissue capsule was assessed by measuring its thickness in the section of each cochlea displaying the most extensive response (17). Histological images were digitized using a Zeiss AxioLab microscope and the zone thickness measured using Image J software (16).

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3 All cochleae were examined for evidence of electrode insertion trauma. Histological sections
4 were examined under a microscope by a researcher experienced in cochlear histopathology.
5 Insertion trauma was identified by fracture of the osseous spiral lamina and/or tears to the
6 basilar membrane or the outer cochlear wall. This form of trauma evokes a clear
7 histopathological signature localized to the site of trauma, including a vigorous tissue
8 response, neo-osseogenesis, loss of ANs, and an increased acute inflammatory response.
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12 Each cochlea was also examined histologically for the presence of particulate material (16).
13 The extent of this material was qualitatively graded from 0 – 4 (0, no evidence of particulate
14 material in any section; 1 possible particulate material present; 2, clear localised particulate
15 material present; 3, particulate material present in a number of sections; 4, widespread
16 particulate material present).
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20 21 22 **Scanning Electron Microscopy**

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24 Following removal from the cochlea, each electrode array was rinsed, ultrasonically cleaned
25 in distilled water then stored in 70% ethanol. A small number of electrode arrays required
26 additional cleaning in Enzol (diluted 1:40 for 1 hour at room temperature; WPI Inc., USA) to
27 remove coatings that had formed over the electrode surface. All electrodes from both
28 stimulated and control electrode arrays were examined using a FEI QUANTA 200 SEM,
29 photographed at low (x 600) and medium (x 2000) magnification. A region of each electrode
30 surface was then randomly selected and photographed at higher magnifications (x 4000 and
31 x 10,000). The surface condition of each electrode was evaluated by an experienced
32 investigator blinded to the experimental groups. The severity of Pt corrosion was graded
33 from 0 – 5 (0, no corrosion; 1, no evidence of corrosion but electrode at least partially
34 coated with organic material; 2, localized minor corrosion; 3, localized moderate corrosion;
35 4, widespread corrosion; 5, severe and extensive corrosion) (16, 21, 32).
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42 Representative unstained cochlear sections from both chronically stimulated and implanted,
43 unstimulated control cochleae were examined under the SEM to identify particulate matter
44 observed within the fibrous tissue capsule of some cochleae. Specific sites associated with
45 the tissue capsule were selected for elemental analysis using an INCA X-Act SDD EDS system
46 with an Oxford Aztec Microanalysis System (3.1). Regions of the fibrous tissue capsule
47 containing the particulate material were compared with regions only containing fibrous
48 tissue (16).
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52 **Trace analysis of platinum**

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54 The un-sectioned half of each cochlea covering the region occupied by electrodes E1-E8 (Fig.
55 1) was measured for Pt using ICP-MS by the National Measurement Institute of the
56 Australian Government (16). Both the chronically stimulated and the contralateral (control)
57 cochleae were examined for trace Pt using this technique. In addition to the cochleae, tissue
58 samples from the brain, liver, and kidney of each animal also underwent analysis for Pt.
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3 Each tissue sample was washed and stored in de-ionised water. The tissue was
4 homogenized and digested in re-distilled nitric acid and hydrochloric acid (3:1) for 60 min in
5 a DigiPrep block set (SCP Science) at 95°C and transferred to a Milestone microwave for 30
6 min to complete the digestion process. Liquid samples were micro filtered and analysed
7 using an Agilent 7700X ICP-MS system. There was sufficient brain, liver, and kidney tissue to
8 perform two sets of measurements per tissue sample and to perform an analysis on
9 combined tissue samples across all six animals. Analysis of cochlear tissue was restricted to
10 a single measurement due to the limited size of the cochlear samples. Pt trace analysis was
11 reported as the mass of Pt per half cochlea or the mean mg/kg for brain, liver, and kidney
12 tissue.
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21 **Statistical analysis**

22 All statistical analysis was performed using SigmaPlot version 13.0 (Systat Software, Inc.).
23 For all comparisons, the Shapiro-Wilk tested normality and the Brown-Forsythe tested the
24 homogeneity of variance. A $p \leq 0.05$ indicated significance for all statistical tests. The
25 common ground impedances of control electrodes (from the unstimulated control cochlear
26 array), and unstimulated, flanker, and centre-electrodes (from the chronically stimulated
27 electrode array) were compared between the day that stimulation was turned on (2 weeks
28 after surgery) and the final recorded impedances (prior to termination) using a two-way
29 repeated measures (RM) analysis of variance (ANOVA). Two-way RM ANOVA was also used
30 to compare the CSC, CIL, and EIS at 100 Hz, 1 kHz, 10 kHz, and 100 kHz of electrodes from
31 the stimulated arrays versus unstimulated arrays at 2 weeks, 3 months, and 6 months post-
32 surgery, as well as to compare the EIS equivalent circuit model parameters over time, and
33 the tissue response in unstimulated and stimulated cochleae at various locations of the
34 cochlea. If significant, all pairwise comparisons were performed using the Holm-Sidak
35 method. EIS at 1 Hz were recorded only at 6 months and was compared between electrodes
36 from stimulated and unstimulated arrays using the Welch's t-test. A Two-way RM ANOVA
37 was used to compare ECAP thresholds from stimulated and control cochleae recorded at the
38 onset and on completion of the chronic stimulation program. Corrosion scores were
39 correlated with stimulation charge density using least squares regression. Tissue response,
40 corrosion score, and final impedance were correlated using Pearson's Product-Moment
41 correlation. Analysis of AN density were made by comparing each region of the stimulated
42 cochlea with its unstimulated control cochlea using a paired t-test. Finally, trace levels of Pt
43 within cochlea were compared between stimulated and unstimulated controls using Mann-
44 Whitney Rank Sum Test. Data are presented as mean and standard error of the mean (sem).
45 All histological measurement (including AN survival, tissue response, thickness of the
46 macrophage zones and Particulate Pt), and SEM analysis of the Pt electrodes were
47 performed using appropriate blinded techniques.
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4. Results:

Stimulation Program

Five out of the six animals were stimulated for 24 - 26 weeks. In one animal (HCD_1), the stimulation program was terminated at 7 weeks due to leadwire damage. During the stimulation program, electrode configurations required updating due to several electrodes exceeding 20 k Ω or below 1.2 k Ω . At the 6 months post-surgery time point, 12 out of the 20 centre-electrodes (5 out of 6 cats) were no longer viable for stimulation or electrochemical recordings. In instances where the centre-electrode was unavailable, a bipolar configuration was used for electrochemical recordings.

Electrode Impedance

Common ground impedances for all control electrodes from the unstimulated array, and unstimulated, flanker, and centre-electrodes from the stimulated array were recorded immediately prior to the commencement of stimulation (2 weeks after surgery; $n = 131$), and were compared to the final impedances recorded on completion of each animal's stimulation program for cats HCD_2 to HCD_6 ($n = 118$; Fig. 3). There were no significant interaction effects of time versus the stimulation charge density for each electrode ($p = 0.311$), nor was there an effect of time on the electrode impedances ($p = 0.06$). However, control electrodes had a small but significant increase in impedance compared with flanker-electrodes ($p < 0.001$; Ctl = 12.6 ± 0.4 k Ω ; F = 9.5 ± 0.5 k Ω). A moving average of the impedances for control, unstimulated, flanker, and centre-electrodes over the implant duration is shown in Figure 3.

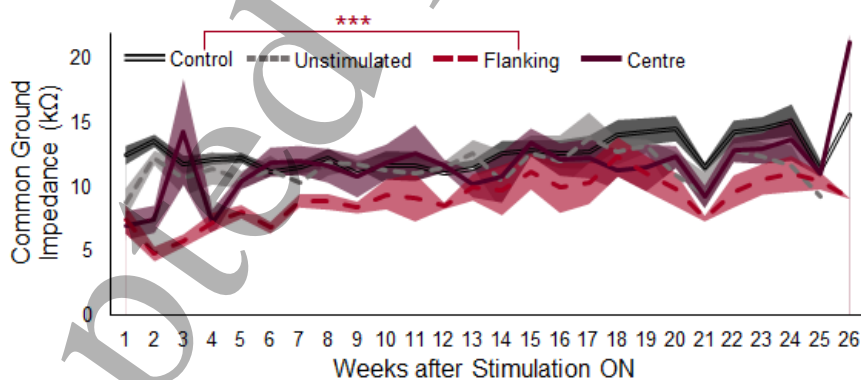


Figure 3. Common ground impedances measured throughout the stimulation program. Mean (\pm sem) impedances of control (from unstimulated array; double line), unstimulated (from stimulated array; short dashed), flanker (long dashed), and centre-electrodes (solid line). The impedances were smoothed by binning the values into 7-day increments and taking the mean of the impedances from each animal, then taking the group mean of the impedance from all animals. The shaded region indicates the sem in each bin.

In vivo Electrochemical Measurement

Statistical comparisons for all electrochemical measures excluded HCD_1 because this animal did not have recordings from the 3- and 6-month time points. At 2 weeks, 3 months, and 6 months post-surgery, there were 18, 17, and 15 electrode configurations recorded from unstimulated control cochleae and 20, 15, and 12 electrode configurations recorded from the stimulated electrodes, respectively.

The mean (\pm sem) magnitude and phase of the impedance from EIS are illustrated in Figure 4a and 4b. Comparisons of the impedance magnitude over time at select frequency values are shown in Figure 4c. The low-frequency spectra (1-100 Hz) were only measured at the 6-month termination. The impedance magnitude at 1 Hz was not different between stimulated ($EIS_{1Hz_S} = 605 \pm 12$ k Ω) and unstimulated control electrodes ($EIS_{1Hz_{Ctl}} = 600 \pm 38$ k Ω) ($p = 0.97$). At 100 Hz, the impedance magnitude of stimulated electrodes ($EIS_{100Hz_S} = 25.1 \pm 2.4$ k Ω) was significantly lower than unstimulated control electrodes ($EIS_{100Hz_{Ctl}} = 31.1 \pm 2.2$ k Ω) according to two-way RM ANOVA ($p = 0.049$) but not post-hoc tests ($p = 0.071$). The impedance magnitude at 10 kHz increased and was significantly different over time for stimulated electrodes only ($p < 0.001$ for 2 weeks [$EIS_{10kHz_{S2W}} = 2.7 \pm 0.5$ k Ω] compared to 3 months [$EIS_{10kHz_{S3M}} = 8.7 \pm 0.7$ k Ω] and 6 months [$EIS_{10kHz_{S6M}} = 7.2 \pm 0.8$ k Ω]). Furthermore, the impedance magnitude of stimulated electrodes was significantly different from unstimulated control electrodes at 2 weeks and 3 months ($p < 0.03$; $EIS_{10kHz_{Ctl2W}} = 4.7 \pm 0.5$ k Ω ; $EIS_{10kHz_{Ctl3M}} = 5.0 \pm 0.7$ k Ω). The impedance magnitude of stimulated electrodes at 100 kHz increased with time, where significant differences were found between 2 weeks ($EIS_{100kHz_{S2W}} = 1.7 \pm 0.2$ k Ω) and 3 months ($EIS_{100kHz_{S3M}} = 5.6 \pm 0.3$ k Ω) and 6 months ($EIS_{100kHz_{S6M}} = 4.5 \pm 0.4$ k Ω) months ($p < 0.001$). There was also a significant difference between 3 and 6 months ($p = 0.032$). Finally, stimulated electrodes were significantly different from unstimulated control electrodes at 3 months only ($p < 0.001$; $EIS_{100kHz_{Ctl3M}} = 2.8 \pm 0.3$ k Ω). All other comparisons were not significant ($p > 0.05$).

Equivalent circuit modelling of the EIS data was performed to help provide a physical basis for the impedance behavior at each time point (Fig. 4d). The model chosen was the one determined by Lempka and colleagues as the best fit from a range of models investigated in their work (25). K_e and α_e are components of the CPE for the electrode-electrolyte interface, and K_t and α_t are components of the CPE for the tissue layer according to equation 1 (26, 27). R_∞ and ΔR are the resistive components of the tissue layer at different frequencies. K_e displayed a time effect only, where the value at 2 weeks ($K_{e2wk} = 2.0 \pm 0.2 \times 10^6 \Omega s^{-\alpha}$) was significantly different and higher than at 3 months ($K_{e3mo} = 1.2 \pm 0.2 \times 10^6 \Omega s^{-\alpha}$; $p = 0.016$) and the value at 3 months was significantly different and lower than at 6 months ($K_{e6mo} = 2.3 \pm 0.2 \times 10^6 \Omega s^{-\alpha}$; $p = 0.007$). α_e also only displayed a time effect where the value at 2 weeks ($\alpha_{e2wk} = 0.64 \pm 0.02$) was significantly different from 3 months ($\alpha_{e3mo} = 0.57 \pm 0.02$; $p = 0.009$) and 6 months ($\alpha_{e6mo} = 0.73 \pm 0.02$; $p = 0.011$), and the value at 3 months was also different from 6 months ($p < 0.001$). R_∞ did not have any interaction effects. Overall, the unstimulated control electrodes ($R_{\infty_{Ctl}} = 0.70 \pm 0.08$ k Ω) had a significantly lower R_∞ than stimulated

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3 electrodes ($R_{\infty S} = 1.01 \pm 0.08 \text{ k}\Omega$; $p = 0.011$). Additionally, R_{∞} at 2 weeks ($R_{\infty 2wk} = 0.63 \pm 0.09$
4 $\text{k}\Omega$) at 3 months ($R_{\infty 3mo} = 0.78 \pm 0.11 \text{ k}\Omega$) were significantly lower than at 6 months ($R_{\infty 6mo} =$
5 $1.16 \pm 0.12 \text{ k}\Omega$; $p = 0.003, 0.049$, respectively). For stimulated electrodes, K_t was significantly
6 higher at 3 months ($K_{tS3mo} = 189.4 \pm 50.1 \times 10^6 \Omega s^{-\alpha}$) and 6 months ($K_{tS6mo} = 186.5 \pm 57.0$
7 $\times 10^6 \Omega s^{-\alpha}$) compared to 2 weeks ($K_{tS2wk} = 9.0 \pm 1.9 \times 10^6 \Omega s^{-\alpha}$; $p = 0.009, 0.014$, respectively).
8 Furthermore, stimulated electrodes had a significantly higher K_t than unstimulated controls
9 at 3 ($p = 0.026$) and 6 months ($p = 0.061$). At 6 months post-implantation ($\alpha_{tS6mo} = 0.72 \pm$
10 0.04), α_t was significantly higher than at 2 weeks ($\alpha_{tS2wk} = 0.57 \pm 0.03$; $p = 0.005$) and 3
11 months ($\alpha_{tS3mo} = 0.70 \pm 0.03$; $p = 0.003$) for stimulated electrodes. Additionally, there was a
12 significant difference between unstimulated control and stimulated electrodes at 3 ($p =$
13 0.036) and 6 months ($p = 0.009$). Finally, ΔR for unstimulated control electrodes had a large
14 increase at 6 months ($\Delta R_{U6mo} = 15.2 \pm 1.9 \text{ k}\Omega$) that was significantly higher than at 2 weeks
15 ($\Delta R_{U2wk} = 4.2 \pm 1.4 \text{ k}\Omega$; $p < 0.001$) and 3 months ($\Delta R_{U3mo} = 4.7 \pm 1.8 \text{ k}\Omega$; $p < 0.001$), as well as
16 significantly higher than ΔR for stimulated electrodes at 6 months ($p = 0.015$). For
17 stimulated electrodes, ΔR increased significantly at 3 ($\Delta R_{S3mo} = 7.7 \pm 2.0 \text{ k}\Omega$) and 6 months
18 ($\Delta R_{S6mo} = 7.9 \pm 2.3 \text{ k}\Omega$) compared to 2 weeks post-implantation ($\Delta R_{U3mo} = 1.5 \pm 1.5 \text{ k}\Omega$; $p =$
19 $0.05, 0.045$, respectively).
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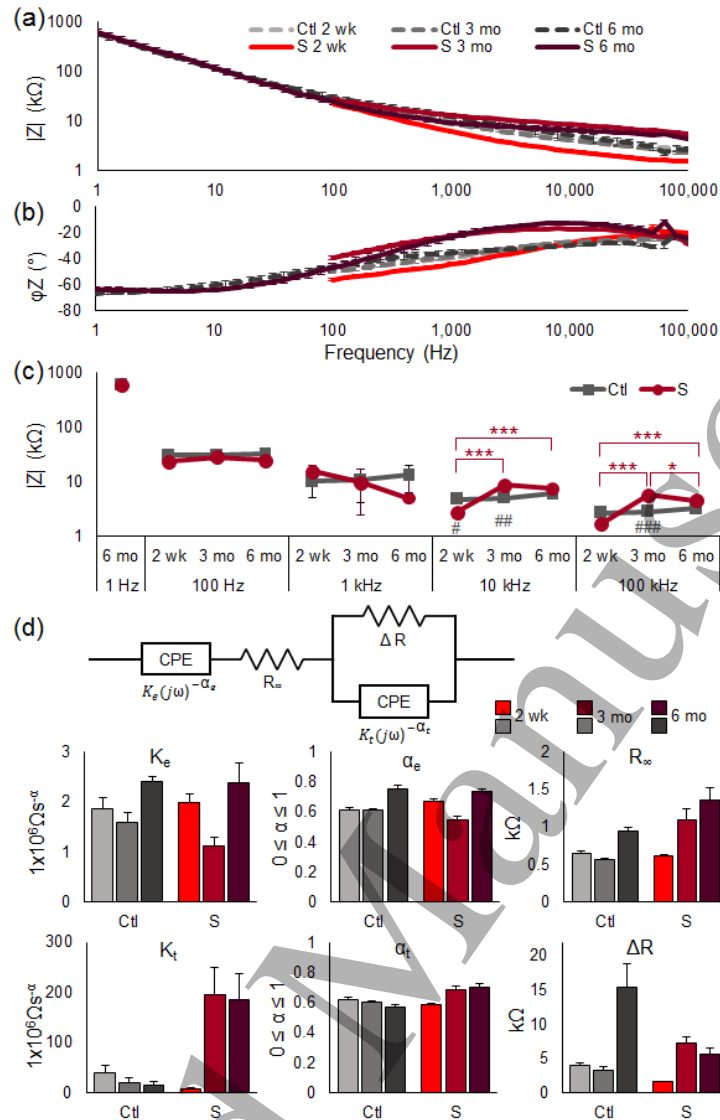


Figure 4. Mean (\pm sem) impedance (Z) magnitude (a) and phase (b) from electrochemical impedance spectroscopy (EIS) for unstimulated control (grey dashed) and stimulated (red solid) electrodes at 2 weeks, 3 months, and 6 months post-implantation plotted over the frequency range of 1 Hz-100 kHz. (c) Comparison of impedance magnitude for unstimulated control (grey square) and stimulated (red circle) electrodes across various frequencies at 2 weeks, 3 months, and 6 months post-implantation. (d) Equivalent circuit model used to fit EIS data and model parameters at 2 weeks, 3 months, and 6 months post-implantation. * significant difference for stimulated electrodes across different time points; # significant difference between stimulated and unstimulated values at that time point. * or # $p \leq 0.05$, ** or ## $p \leq 0.01$, and *** or ### $p \leq 0.001$. S = stimulated; Ctl = unstimulated control. Note: statistical differences in (d) are not displayed for clarity.

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The mean CV curve for unstimulated control electrodes and stimulated electrodes at 2 weeks, 3 months, and 6 months is shown in Figure 5a. The CSC of unstimulated control electrodes ($CSC_{CtI} = 54.7 \pm 7.7 \text{ mC/cm}^2$) did not significantly change over time ($p > 0.95$) and were similar to the CSC of stimulated electrodes at 2 weeks – prior to the stimulation program commencing ($CSC_{S2W} = 58.6 \pm 5.4 \text{ mC/cm}^2$; $p = 0.841$; Fig. 5b). The CSC of stimulated electrodes increased significantly between 2 weeks and 3 months ($p < 0.001$; $CSC_{S3M} = 96.2 \pm 7.9 \text{ mC/cm}^2$) and remained high at 6 months ($CSC_{S6M} = 92.7 \pm 8.9 \text{ mC/cm}^2$; $p = 0.774$ for comparison between 3 and 6 months; $p = 0.004$ for comparison between 2 weeks and 6 months). Additionally, the CSC of stimulated electrodes significantly differed from unstimulated control electrodes at 3 months ($CSC_{CtI3M} = 52.0 \pm 7.2 \text{ mC/cm}^2$; $p = 0.005$) and 6 months ($CSC_{CtI6M} = 55.8 \pm 7.7 \text{ mC/cm}^2$; $p = 0.031$).

For both stimulated and unstimulated control electrodes, the CIL increased significantly between 2 weeks and 6 months ($CIL_{S2W} = 31.7 \pm 4.7 \mu\text{C/cm}^2$; $CIL_{S6M} = 51.8 \pm 4.5 \mu\text{C/cm}^2$; $CIL_{CtI2W} = 25.2 \pm 4.8 \mu\text{C/cm}^2$; $CIL_{CtI6M} = 35.1 \pm 4.4 \mu\text{C/cm}^2$; $p = 0.005$; Fig. 5c). Stimulated electrodes had a larger CIL that was significantly different from the CIL of unstimulated control electrodes ($CIL_S = 43.9 \pm 3.4 \mu\text{C/cm}^2$; $CIL_{CtI} = 29.8 \pm 3.4 \mu\text{C/cm}^2$; $p = 0.006$). There were no interaction effects of stimulation states (stimulated or unstimulated control) with time ($p = 0.341$); therefore, further pairwise comparisons were not made.

Electrically-evoked responses

There was no statistically significant difference in ECAP threshold between chronically stimulated and control cochleae at either the onset or on completion of the stimulation program (Fig. 6). Furthermore, there was no significant interaction between electrode and side (p 's > 0.1), indicating the chronically stimulated electrodes did not exhibit an increase in threshold. Finally, there was a significant effect of electrode at first recording ($p < 0.001$), that just failed significance at the last recording ($p = 0.053$); reflecting the lower thresholds associated with more apically located electrodes due to the tapered nature of the scala tympani.(19).

Cochlear pathology

General cochlear pathology

There was evidence of minor electrode insertion trauma in the UB turn of three of the stimulated cochleae in the present study (HCD_3, left; HCD_5, left; HCD_6, right); therefore, while the great majority of the tissue response reported here was associated with the chronically implanted electrode array and/or the effects of electrical stimulation, in these cochleae localized trauma also contributed to the response.

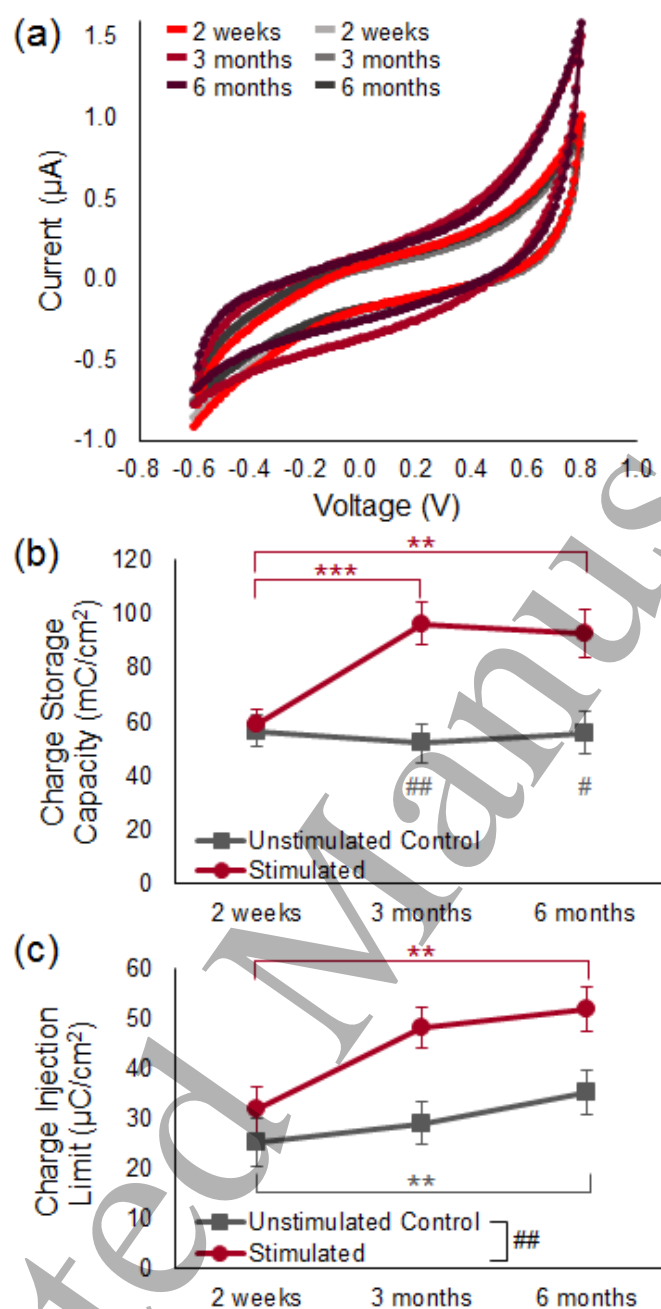


Figure 5. Charge storage capacity (CSC) and charge injection limits (CIL). (a) Mean cyclic voltammetry (CV) curves for stimulated (red) and unstimulated control (grey) electrodes at 2 weeks, 3 months, and 6 months post implantation. (b) Mean (\pm sem) CSC for unstimulated (grey square) and stimulated (red circle) electrodes at 2 weeks, 3 months, and 6 months post implantation. (c) Mean (\pm sem) CIL for unstimulated (grey square) and stimulated (red circle) electrodes at 2 weeks, 3 months, and 6 months post implantation. * = comparisons between indicated time points; # = comparisons between unstimulated control and stimulated electrodes. * or #: $p \leq 0.05$, ** or ##: $p \leq 0.01$, *** or ###: $p \leq 0.001$.

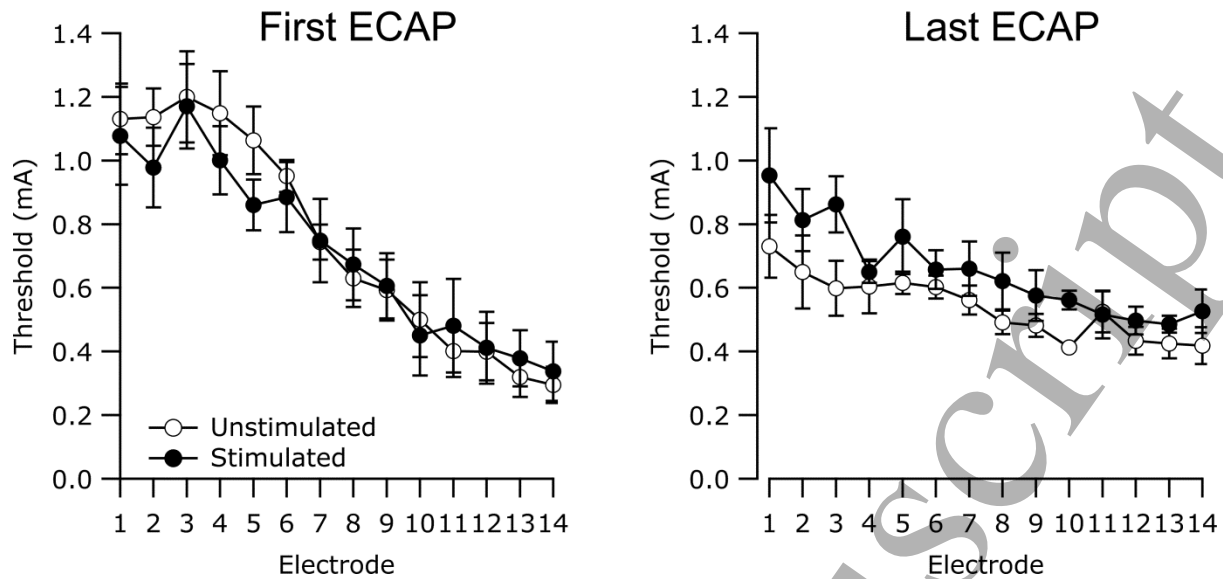


Figure 6. Mean (\pm sem) ECAP thresholds recorded at (a) onset of stimulation and (b) on completion of the stimulation program for each of the 14 electrodes on the unstimulated control and chronically stimulated electrode arrays in this study. There was no statistically significant difference in ECAP threshold between chronically stimulated and control cochleae at either the onset or on completion of the stimulation program (onset: $p = 0.82$, $n = 157$; completion: $p = 0.2$, $n = 78$).

Tissue response

Figure 7 illustrates the typical tissue response observed in the UB turn adjacent to the electrode array in both chronically implanted unstimulated control, and chronically stimulated cochleae. Control cochleae exhibited a relatively minimal tissue response that usually included a fine tissue capsule surrounding the electrode array together with some loose areolar tissue (e.g. Fig. 7g). A more extensive tissue response was always evident in chronically stimulated cochleae. This typically took the form of a thick, mature tissue capsule surrounding the electrode array forming an electrode tract (ET; e.g. Fig. 7b), with loose vascularized fibrous tissue occupying much of the remainder of the scala tympani.

We quantified the tissue response in each chronically implanted cochlea by measuring the area of the tissue occupying the scala tympani in each of the 5 cochlear locations illustrated in Figure 2 ($n = 12$ for locations i, ii, and v; $n = 6$ for locations iii and iv; for both unstimulated control and stimulated cochleae). The data demonstrated a significant difference in the level of tissue response associated with the chronically stimulated cochlea compared to the implanted unstimulated control ($p < 0.05$; Fig. 8). Although there is variation due to orientation of the section plane, electrode insertion distance, and cochlear anatomy, cochlear locations can be generally related to electrode position; location i is at the base of the cochlea near the unstimulated electrodes E1 and E2; location ii is near electrodes 9-10 and would be receiving stimulation; location iii is also near stimulating electrodes (~E11,

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3 E12); location **iv** is close to the most apical electrode (E14) and subject to reduced levels of
4 stimulation; finally, location **v** is typically apical to the electrode array.
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7 Implanted, unstimulated control cochleae did not exhibit a significantly different tissue
8 response across the cochlear regions examined ($p > 0.2$; Fig. 8). In stimulated cochleae, the
9 most extensive tissue response was in location **ii** (66 ± 5.5 % of area of the scala tympani;
10 Fig. 8), and was significantly higher than locations **i** ($p < 0.001$), **iv** ($p < 0.001$), and **v** ($p <$
11 0.001). The second largest tissue response was associated with location **iii** (54 ± 12.9 % of
12 area of the scala tympani; Fig. 8); this location also exhibited significantly greater tissue
13 response than locations **i** ($p = 0.012$), **iv** ($p = 0.003$), and **v** ($p < 0.001$). Locations **ii** and **iii** are
14 proximal to both flanker and centre-electrodes, and would be the two sites subject to the
15 most extensive stimulation in this analysis. The tissue response in locations **i** ($p = 0.044$), **ii** (p
16 $= 0.001$), and **iii** ($p = 0.006$) were significantly larger in the stimulated compared to the
17 unstimulated control cochleae; although, locations **iv** and **v** also showed a trend towards an
18 increased tissue response in the stimulated cochlea compared to the unstimulated cochlea.
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25 There was no evidence of necrosis associated with the Pt-tissue interface in either
26 stimulated or control cochleae. However, there was often a region of macrophages located
27 between the electrode array and the mature fibrous tissue response (e.g. Fig. 7h). The mean
28 thickness of this zone was significantly greater in stimulated (86.7 ± 31.9 μm) than
29 implanted control cochleae (22.4 ± 36.2 μm ; $p = 0.03$, $n = 6$).
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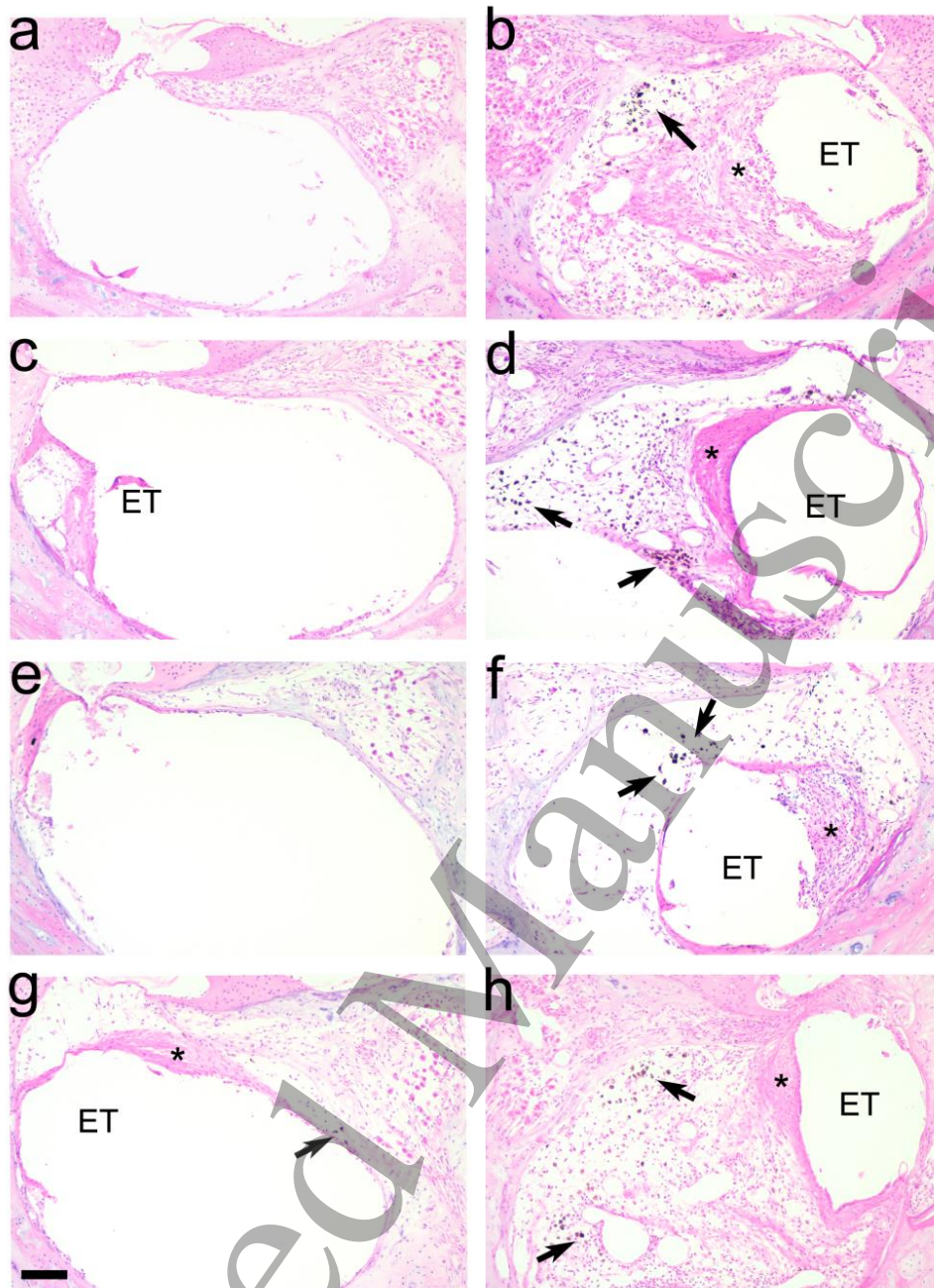


Figure 7. Representative micrographs illustrating the typical tissue response within the UB turn scala tympani of implanted unstimulated control (left), and chronically stimulated cochleae (right). The control cochleae exhibited a relatively minimal tissue response with some cochleae showing small amounts of particulate material within the scala tympani (arrow). In contrast, all stimulated cochleae exhibited an extensive tissue response, including a zone of macrophages (*) and clear evidence of particulate material in the tissue response (arrows). (a) HCD_1 control; (b) HCD_1 stimulated; (c) HCD_2 control; (d) HCD_2 stimulated; (e) HCD_4 control; (f) HCD_4 stimulated; (g) HCD_6 control; (h) HCD_6 stimulated. ET = electrode tract. Arrows show examples of particulate material within the tissue capsule. Scale bar = 100 μm .

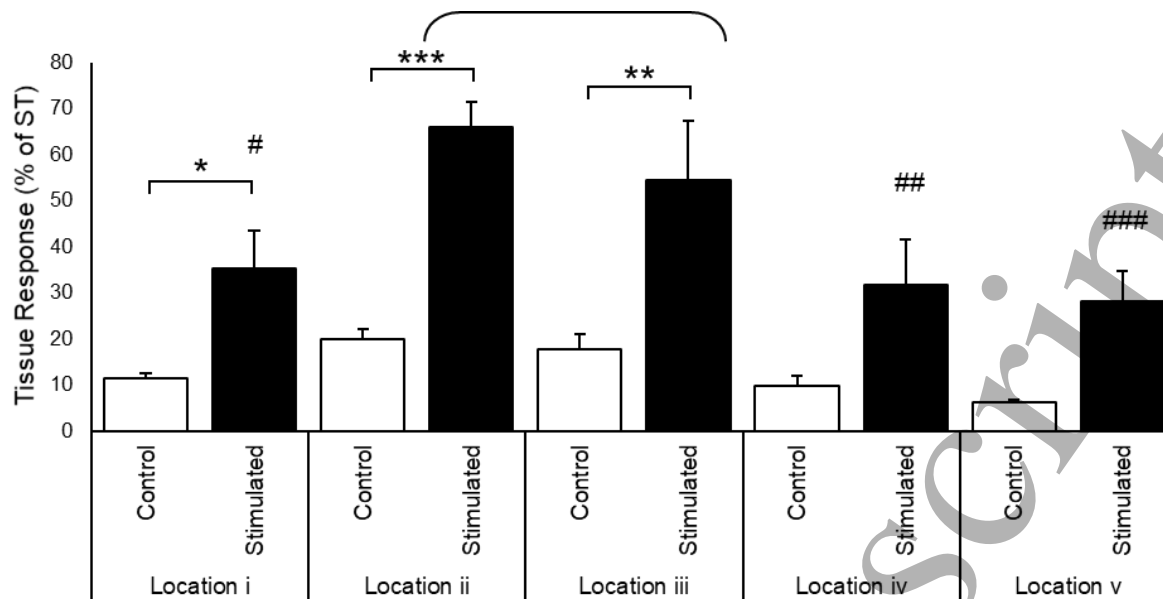


Figure 8. Quantifying the tissue response to high charge density stimulation. Tissue response in specific cochlear locations, illustrated in Figure 2, for both stimulated and unstimulated control cochleae. Rounded bar indicates statistical comparison between locations ii and iii versus i, iv, and v for stimulated cochleae. * = comparisons between unstimulated control and stimulated cochlea at each location; # = comparisons between the tissue response at locations ii and iii (inside rounded bar) and the other locations for stimulated cochleae. * or # $p \leq 0.05$, ** or ## $p \leq 0.01$, *** or ### $p \leq 0.001$.

AN survival

Figure 9 illustrates the typical AN survival in the UB turn (i.e. in zone ii-iii adjacent to stimulating electrodes E9-E11) in the present study. The relatively low packing density of ANs, particularly animals HCD_2-HCD_6 (Figs. 9c-9l), reflects the long duration between deafening and termination associated with the present study (> 6 months). In contrast, animal HCD_1 (Figs. 9a & b) was deafened for a considerably shorter period (~12 weeks) and displayed greater AN survival in both cochleae. There is close symmetry in AN survival between the stimulated (Fig. 9b, d, e, h, i, l) and the implanted, unstimulated control cochleae (Fig. 9a, c, f, g, j, k).

Quantitative analysis of AN density showed close bilateral symmetry of AN survival in all cochlear sectors except for the apical region (Fig. 10; LB, n = 24 & 29; UB, n = 29 & 30; LM, n = 29 & 30; UM, n = 25 & 27; A, n = 21 & 22, for implanted, unstimulated control and chronically stimulated cochlea respectively). Importantly, there was no statistically significant difference in AN density between stimulated and control cochleae in the region of the cochlea subject to electrical stimulation (i.e. LB, UB & LM; Fig. 10). There was a significant difference in AN density between stimulated and implanted control cochleae in the apical region (A turn; $p < 0.001$; Fig. 10).

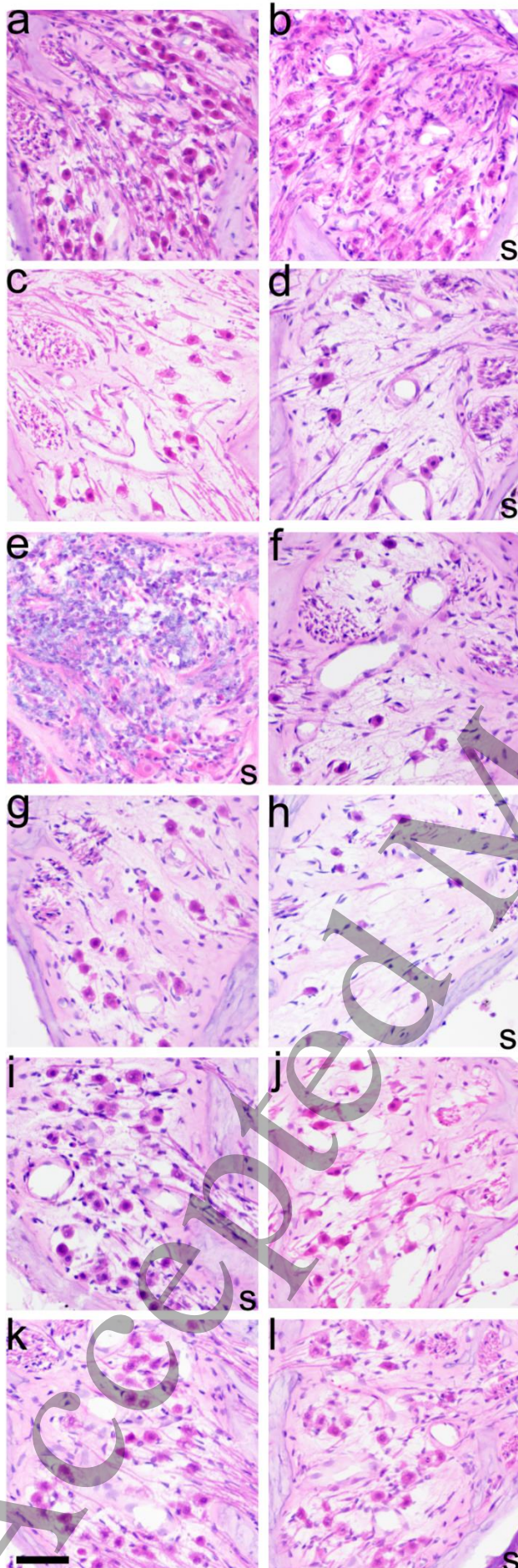


Figure 9. Representative micrographs of Rosenthal's canal from the UB turn illustrating the extent of AN survival in the left (a, c, e, g, i, k) and right (b, d, f, h, j, k, l) cochlea in the 6 animals in this study. HCD_1 (a, b); HCD_2 (c, d); HCD_3 (e, f); HCD_4 (g, h); HCD_5 (i, j); HCD_6 (k, l). The stimulated cochlea in each animal is illustrated (s). Note the inflammatory response in cochlea HCD_3 left (5e) was associated with electrode insertion trauma at that location. Scale bar = 100 μm .

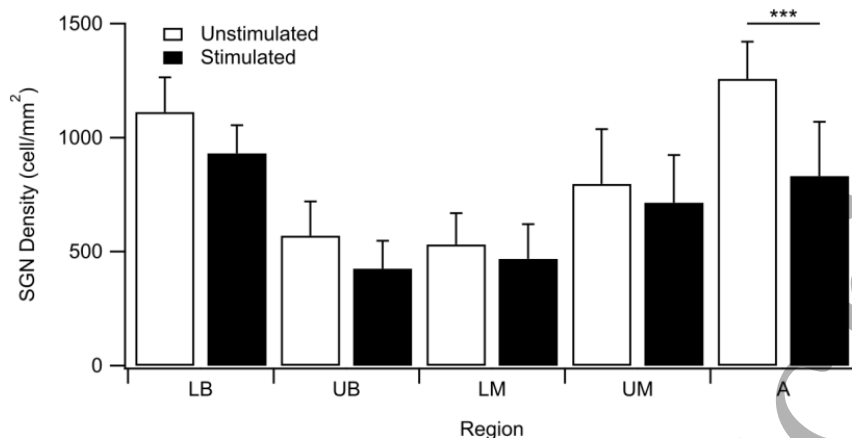


Figure 10. Mean AN (+ sem) density for both the stimulated and the unstimulated control cochleae across the six animals in this study. There was no significant difference in AN density between stimulated and unstimulated control cochleae in the cochlear regions proximal to the electrode array (i.e. LB, UB & LM turns), although there was a significant difference in the apical region (A turn; *** $p < 0.001$).

Electrode corrosion

Representative SEM micrographs from four chronically implanted unstimulated control and four chronically stimulated electrodes illustrate the range of Pt corrosion observed in the present study (Fig. 11). Electrodes from implanted unstimulated control arrays exhibited surface features associated with their manufacture, including lines generated by the laser during removal of the overlying PDMS (e.g. Fig. 11a). Control electrodes from unstimulated control arrays showed no evidence of corrosion (grade 0; e.g. Fig. 11a, c, e, g); although, some contained an assumed organic material on their surface (grade 1; not illustrated). Unstimulated electrodes on chronically stimulated electrode arrays (e.g. E1 and E2) also showed no evidence of Pt corrosion; however, a greater proportion of these electrodes contained an organic coating (grade 1). The majority of stimulated electrodes showed evidence of Pt corrosion; the extent of the corrosion was dependent on the electrode's stimulation history. The majority of tripole centre-electrodes exhibited widespread corrosion (grade 4; e.g. Fig. 11d, f, h); however, more localised corrosion was sometimes observed (grade 3; e.g. Fig. 11b). Flanker-electrodes, stimulated at approximately half the charge density of centre-electrodes, showed less Pt corrosion than centre-electrodes (typically grades 1-2; not illustrated). Finally, in a small number of cases the Pt surface was covered with corrosion products and/or tissue that required removal prior to examination of the Pt surface (e.g. Fig. 11d). In these examples the underlying Pt surface was typically corroded.

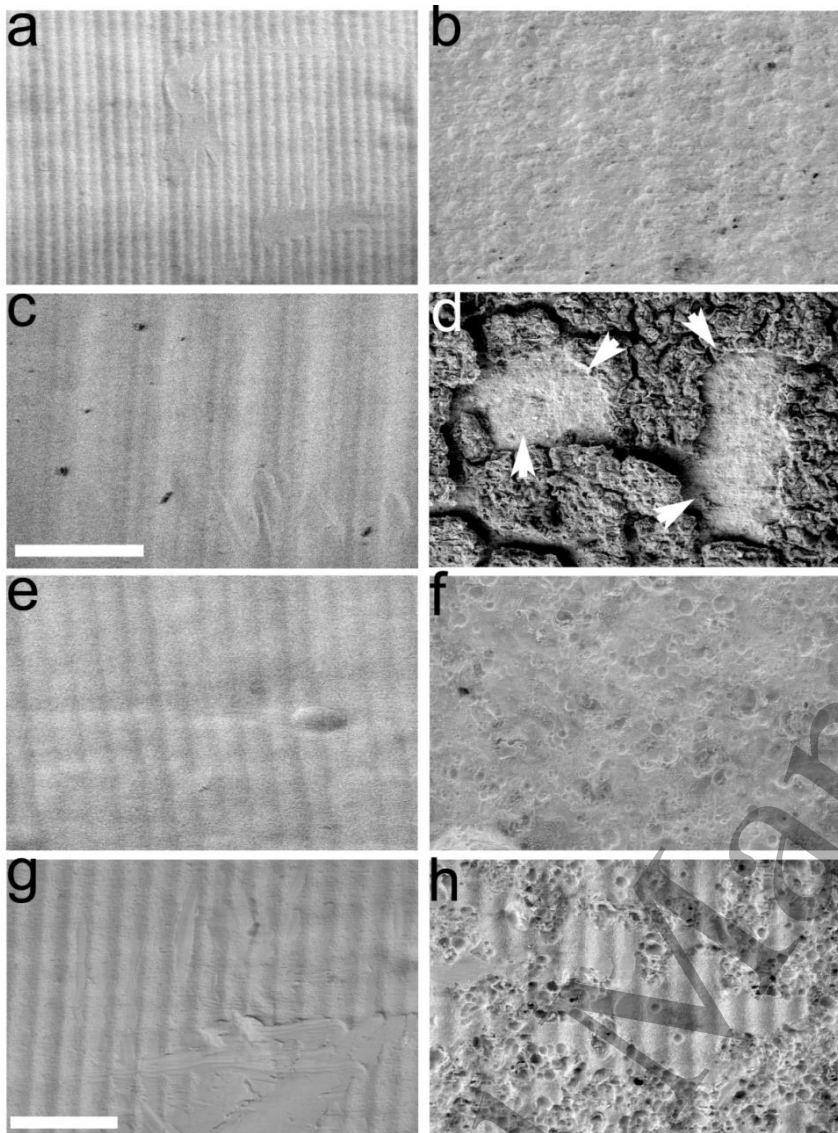


Figure 11. SEM images illustrating the electrode surface of four implanted, unstimulated electrodes from control electrode arrays (a, c, e, & g), and four chronically stimulated centre-electrodes (b, d, f, h). (a) HCD_2_left E10 (corrosion grade: 0); (b) HCD_2_right E6 (grade: 3); (c) HCD_6_left E10 (grade: 0); (d) HCD_6_right E10 (grade: 4). This electrode exhibited a coating with evidence of corrosion on the underlying Pt surface (arrows). We did not evaluate the nature of the coating; (e) HCD_1_left E10 (grade: 0); (f) HCD_1_right E10 (grade: 4); (g) HCD_3_right E4 (grade: 0); (h) HCD_3_left E4 (grade: 4). Scale bar: a-d = 50 μm ; e-f = 20 μm .

The SEM surface grading of the electrodes was compared with their stimulation histories (Fig. 12). There was a significant difference in the level of surface corrosion across electrode groups ($p < 0.001$; $n = 84$ control electrodes; $n = 12$ unstimulated electrodes; $n = 46$ flanker electrodes; $n = 21$ centre electrodes). There was a statistically significant difference in corrosion grading between tripole centre-electrodes (corrosion grade 3-4) versus electrodes from unstimulated control arrays (grade 0-1; $p < 0.001$), non-stimulated electrodes on chronically stimulated arrays (grade 0-1; $p < 0.001$), and flanker-electrodes on chronically stimulated arrays (grade 1-2; $p < 0.001$). Flanker-electrodes showed a significant difference to control electrodes ($p < 0.001$); although were not significantly different to unstimulated electrodes ($p = 0.24$).

Corrosion scores correlated with stimulation charge density; more corrosion was observed on electrodes with a higher charge density ($R^2 = 0.91$). Finally, there was no significant effect

of implant duration ($p = 0.54$), implying that corrosion of the Pt surface occurs rapidly following onset of the stimulation.

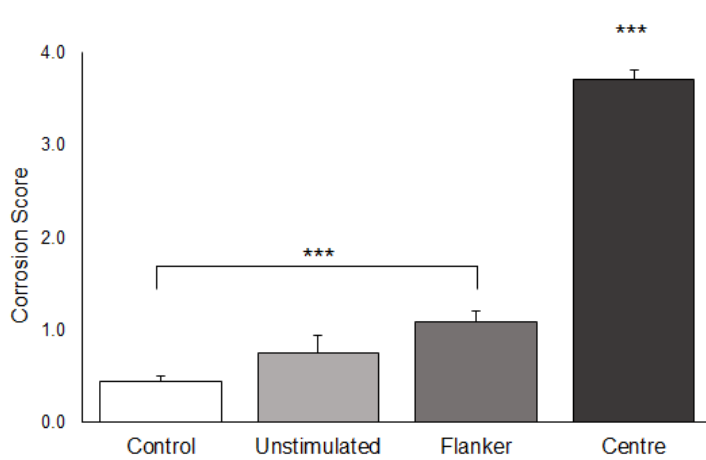


Figure 12. Corrosion grade showing mean (\pm sem) corrosion scores for each electrode cohort in this study. Control = electrodes from implanted unstimulated control array; Unstimulated = electrodes from chronically stimulated array. *** $p \leq 0.001$. Symbols above centre bar indicate comparisons between centre-electrodes and all other groups.

Figure 13 illustrates the relationship between tissue response, final impedance and the extent of electrode corrosion for the four electrode categories (unstimulated control, unstimulated, flanker, and centre) in the present study for each cat. The tissue response moderately correlated with the electrode corrosion score ($r = 0.61$; $p = 0.0015$); however, the final impedance recording had a weak correlation with corrosion scores ($r = 0.29$; $p = 0.19$) and poor correlation with the tissue response ($r = 0.06$; $p = 0.78$).

Analysis of particulate deposits

Representative photomicrographs illustrating particulate matter in both control and stimulated cochleae are illustrated in Fig. 14. Histological examination of these particulate deposits revealed they occurred in two forms: the majority of the material appeared to be of sub-micron dimensions and readily phagocytosed by macrophages (e.g. Fig. 14a_i, b_i), while there were occasional larger deposits (~20 μ m in length) that had not undergone phagocytosis (e.g. Fig. 14b_i). The particulate material we have described in previous studies were localized close to the electrode tract (16); however, in the present study the deposits associated with the chronically stimulated cochleae were located more distal to the electrode tract within the scala tympani (e.g. Fig. 14b). There was no evidence of particulate material proximal to ANs within Rosenthal's canal. Examination of this material using SEM-EDS in both chronically implanted unstimulated and chronically stimulated cochleae identified the particulate as Pt (data not illustrated).

There was clear evidence of Pt deposits in all chronically stimulated cochleae. Pt deposits were also evident in chronically implanted unstimulated control cochleae, although significantly less than observed in stimulated cochleae ($p = 0.001$; $n = 6$, Fig. 15).

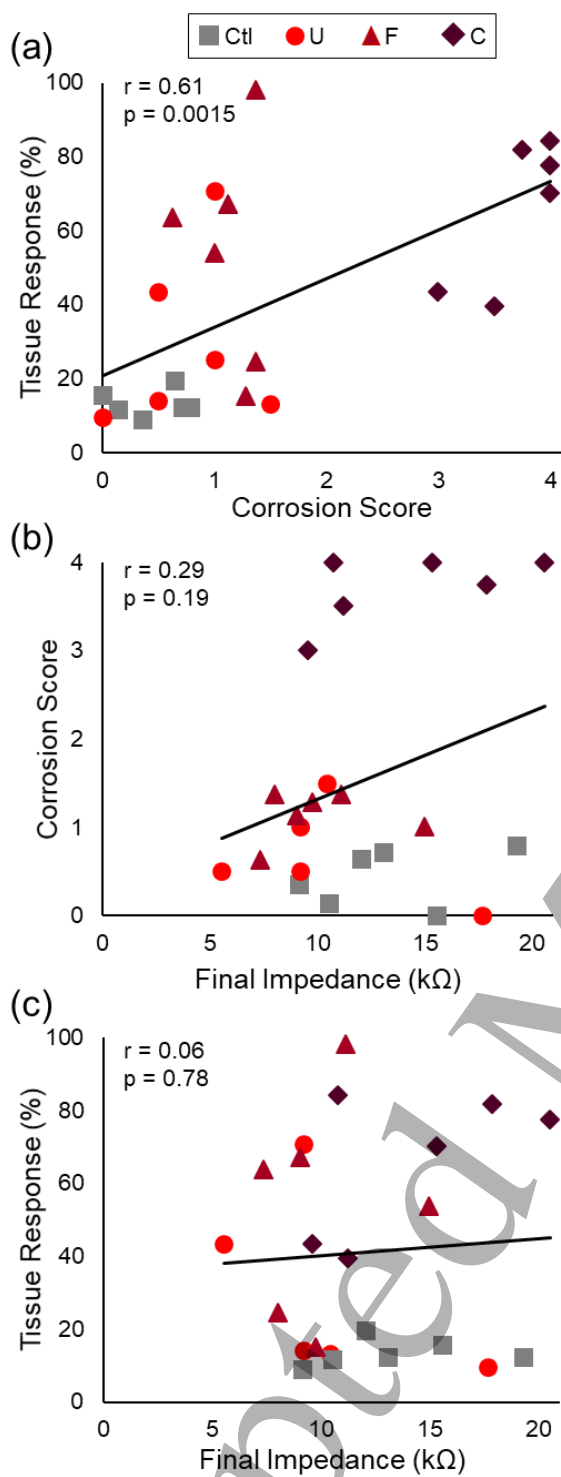


Figure 13. Correlations for each electrode cohort in the present study for each cat. Correlation between (a) the tissue response and corrosion score, (b) the corrosion score and final impedance, and (c) the tissue response and final impedance. Ctl = unstimulated control; U = unstimulated electrode on stimulated array; F = flanker electrode; C = centre tripole electrode.

Trace analysis of platinum

Trace levels of Pt were identified in all cochleae (Table 2). Stimulated cochleae contained significantly greater levels of Pt than implanted unstimulated control cochleae ($p < 0.002$). ICP-MS was also used to determine whether Pt was present in the brain, liver and kidney of all animals in this study. While two of the six animals showed trace levels of Pt in the kidney, there was no detectible Pt in the brain or liver of any animal (Table 2).

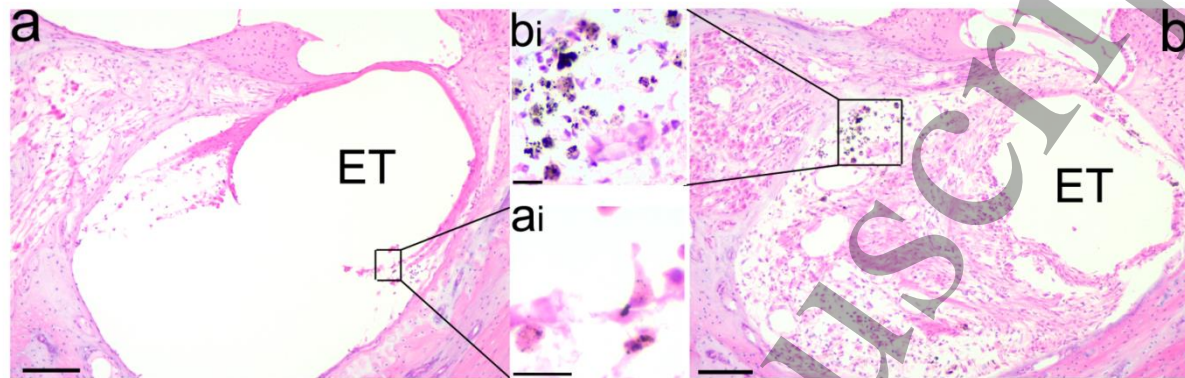


Figure 14. Representative micrographs illustrating the extent and nature of particulate deposits in implanted unstimulated control and chronically stimulated cochleae. (a) UB turn of control cochlea HCD_5 illustrating the presence of an electrode tract (ET) containing a small region of phagocytosed particulate material illustrated at higher magnification in the inset (ai). This cochlea had been implanted for 24 weeks. (b) UB turn of chronically stimulated cochlea HCD_1. Despite only 7 weeks of stimulation there was extensive particulate material present in the scala tympani. Note that the majority of this material is located distal to the electrode tract. The extent and distribution of the particulate material observed in this example was similar to that observed in all other chronically stimulated cochleae. The majority of the particulate material had been phagocytosed by macrophages within the scala tympani (inset (bi)). Scale bars: a & b = 100 μm ; ai & bi 20 μm .

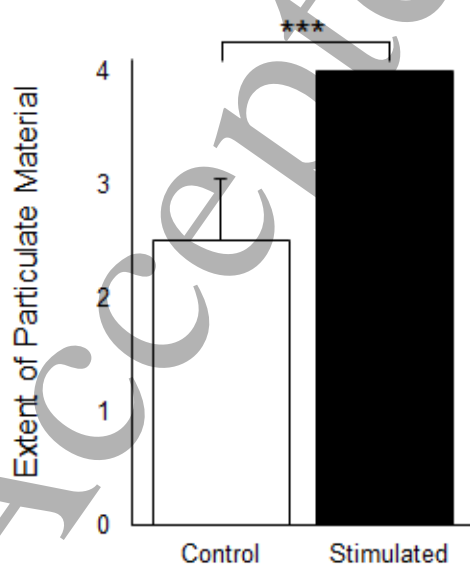


Figure 15. Mean (+ sem) score of the qualitative estimate of particulate Pt present in implanted unstimulated control cochleae and chronically stimulated cochleae. Note that all stimulated cochleae were graded 4 (widespread particulate material present) and therefore the sem for this cohort = 0. *** $p \leq 0.001$.

Table 2: ICP-MS trace levels of Pt (ng/per mg half cochlea) for each stimulated and implanted unstimulated control cochlea and Pt (mg/kg) from brain, liver and kidney.

| Animal ID | Stimulated cochlea (ng/per mg half cochlea) | Control cochlea (ng/per mg half cochlea) | Brain (mg/kg) | Liver (mg/kg) | Kidney (mg/kg) |
|-----------|---|--|---------------|---------------|----------------|
| HCD_1 | 1920 | 58 | <0.01 | <0.01 | 0.03 |
| HCD_2 | 1300 | 87 | <0.01 | <0.01 | 0.01 |
| HCD_3 | 3360 | 91 | <0.01 | <0.01 | <0.01 |
| HCD_4 | 360 | 20 | <0.01 | <0.01 | <0.01 |
| HCD_5 | 2249 | 165 | <0.01 | <0.01 | <0.01 |
| HCD_6 | 2090 | 220 | <0.01 | <0.01 | <0.01 |
| Combined | - | - | <0.01 | <0.01 | 0.01 |

Note: There was sufficient brain, liver, and kidney tissue to perform two sets of measurements and also perform an analysis on tissue samples combined across all six animals. These results were highly reproducible and means are presented here. There was insufficient material to perform duplicate measurements on cochlear tissue.

5. Discussion:

The present study examined the effects of long-term intracochlear stimulation using Pt electrodes at a charge density well above currently accepted safe guidelines for cochlear implants (7, 11). Cochleae stimulated continuously for periods of up to 6 months showed extensive Pt corrosion product within the scala tympani. Notably, small amounts of particulate Pt were also observed in the tissue capsule of chronically implanted unstimulated control cochleae. ICP-MS analysis confirmed the presence of Pt in all cochleae, although stimulated cochleae had significantly greater levels than the implanted unstimulated controls. Examination of the electrode surface showed significant levels of corrosion for electrodes stimulated at a charge density of 267 $\mu\text{C}/\text{cm}^2/\text{phase}$, while unstimulated electrodes showed no surface corrosion. Consistent with corrosion resulting in an increased effective surface area, the stimulated electrodes also presented with an increased CSC and CIL. There was a significantly greater tissue response in stimulated versus implanted unstimulated cochleae, which was also indicated by the EIS equivalent circuit modelling. However, there was no evidence of the focal necrosis we reported previously following shorter duration stimulation (16, 17). Despite 26 weeks of continuous stimulation at these high charge densities evoking a significant tissue response and extensive Pt corrosion product, there was no evidence of a reduction in AN density or loss of neural function. Finally, there was no evidence of Pt in brain or liver tissue in the chronically stimulated animals; however, trace levels of Pt were observed in the kidney of two animals.

Pt dissolution in vivo

We evaluated the extent of Pt corrosion using three measures: (i) the amount of Pt corrosion product observed histologically; (ii) the extent of Pt corrosion associated with the surface of the electrodes examined under SEM; and (iii) trace analysis of Pt in cochleae measured using ICP-MS. All three techniques showed a significant increase in the level of Pt corrosion associated with electrical stimulation.

As we noted previously (16), although centre-electrodes of a tripole were stimulated using cathodic first pulses they demonstrated the most significant levels of corrosion. Pt corrosion has been conventionally associated with anodic reactions (3, 33), however, more recently Pt corrosion mechanisms have also been described under cathodic pulsing conditions (34, 35). Our results support the notion that Pt ions can be released into solution under both anodic and cathodic pulsing conditions. Whether one or both mechanisms play a role appears to depend on the specific conditions at a particular electrode.

The formation of particulate Pt within the cochlea may be associated with the reduction of Pt ions back onto the bulk Pt electrode during the cathodic phase of the pulse (36), and the electroplated Pt then flaking off into the adjacent tissue (16). The amount of redeposited Pt would be dependent on the amount of Pt ions proximal to the electrode when its potential becomes sufficiently negative to reduce Pt ions to Pt. Alternatively, it is possible that Pt ions crystallise into a metallic form. The hydroxide complexes of Pt, in particular, are more soluble in high pH conditions (37) and these conditions exist at cathodic first centre-electrodes (5, 38). Pt[OH]_x ions that are soluble near the centre-electrode will be less soluble as they diffuse to the more neutral pH conditions that exist distal to the electrode surface where they may precipitate out as solid platinum.

The particulate Pt observed in the present study were similar in appearance to the deposits we have described previously (16, 17); the majority of Pt had been phagocytosed, although a small number of larger particles were present within the tissue capsule. One difference in the results between the present study and our previous reports is the distribution of the particulate Pt within the scala tympani. Our previous shorter-term studies reported Pt located at the electrode-tissue interface. In contrast, the present results consistently showed the accumulation of particulate Pt distal to the electrode-tissue interface, yet still contained within the foreign body response in the scala tympani. The present result is consistent with clinical reports of particulate Pt distribution in long-term cochlear implant users (14).

The apparent migration of particulate Pt from the electrode-tissue interface to a more distal site within the scala tympani implies that the majority of Pt dissolution occurred early during the stimulation program. This observation is consistent with previous *in vivo* studies that showed a rapid increase in Pt corrosion product following onset of stimulation (39), with the

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3 rate of dissolution decreasing during the course of stimulation (40). Further support for this
4 observation comes from the trace levels of Pt measured in stimulated cochleae in the
5 present study (Table 2); while there was considerable individual variability, HCD_1, which
6 was stimulated for 7 weeks, had trace levels of Pt greater than the mean for the remaining 5
7 animals that were stimulated for ~26 weeks. However, it is important to note that the levels
8 of Pt per mg per half cochlea observed in the present study were more than an order of
9 magnitude greater than the levels we reported in guinea pigs following 4 weeks of
10 stimulation (16, 17). Although there were twice as many tripoles per half cochlea used for
11 stimulation in the present study, this alone does not account for the difference, implying
12 that longer stimulation durations result in more dissolved Pt.
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19 The charge density used to stimulate Pt electrodes had a significant effect on the extent of
20 surface corrosion examined under SEM; centre-electrodes stimulated at $267 \mu\text{C}/\text{cm}^2/\text{phase}$
21 exhibited extensive surface corrosion, while flanker-electrodes stimulated at ~ 133
22 $\mu\text{C}/\text{cm}^2/\text{phase}$, and unstimulated electrodes showed little or no corrosion. As noted above,
23 Pt was previously thought to dissolve more readily under anodic/acidic conditions, so it was
24 somewhat unexpected that the cathodically pulsed centre-electrodes showed such a high
25 rate of dissolution. However, the present results are consistent with our previous findings
26 following 28 days of stimulation using a wider range of charge densities (16, 17), and
27 supports a high pH, cathodic Pt dissolution process (34, 35).
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33 While there are a number of reports describing Pt corrosion product within tissue following
34 periods of electrical stimulation (16, 17, 39, 40), it is noteworthy that the present study also
35 showed evidence of Pt within implanted, unstimulated control cochleae. Two previous
36 studies have also described Pt dissolution of unstimulated or lightly stimulated Pt electrodes
37 (41, 42); notably, in both cases the electrodes were implanted for years.
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41 This finding suggests that in the absence of electrical stimulation, Pt electrodes may
42 undergo some corrosion during long-term passive implantation. However, there are a
43 number of potential sources that may have contributed to the dissolution of Pt observed in
44 the present study. First, it is possible that small fragments of the metal resided on the
45 electrode surface following manufacture and these flaked off after implantation into the
46 cochlea (13). This is unlikely as the electrodes were subject to an extensive cleaning
47 program, including sonication, prior to implantation. Furthermore, the nature of the
48 particulate Pt observed histologically were sub-micron in size and phagocytosed; Pt
49 fragments produced during manufacture are likely to be considerably larger. Second,
50 although the implanted, unstimulated electrode arrays were not subject to chronic periods
51 of stimulation, they were acutely stimulated at three time points during the study in order
52 to perform EIS, CV, and VT measurements. This potential source of Pt corrosion is also
53 unlikely as there was no evidence of Pt corrosion in a chronic study comprising multiple
54 electrochemical recordings similar to those performed in the present study (23).
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5 Finally, the electrode contacts in this study were made from 99.95% pure Pt, which is the
6 material used in the contemporary products of all major cochlear implant manufacturers
7 (43-45). Other contact materials, typically 10-30% iridium (Ir) alloyed with Pt, are used in
8 other neural stimulator applications such as pacemakers, often for their improved
9 mechanical characteristics. Based on *in vitro* evidence (46, 47), it may be that Ir alloys of Pt
10 offer improved dissolution performance over pure Pt. However, we are not aware of any *in*
11 *vivo* evidence to date where this has been confirmed.
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16 ***Phagocytosis of Pt***

17 The majority of Pt observed within cochleae had undergone phagocytosis by macrophages
18 within the scala tympani, whereby particles are engulfed into phagosomes within the
19 cytoplasm (48). Macrophages detect cellular debris and pathogens via chemoattractants;
20 however, they also actively probe their microenvironment for inert targets (49).
21 Presumably, particulate Pt was engulfed via this active probing mechanism. Once engulfed,
22 organic material is gradually digested within the phagosome; however, it is assumed that
23 inert material such as Pt are not digested. While the long-term status of phagosomal
24 contents is not well understood (48), the presence of phagocytosed Pt within cochleae after
25 years of implant use (15) implies that the majority of particulate Pt remained bound within
26 macrophages in the cochlea.
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33 Support for this hypothesis comes from the study of dermal macrophages associated with
34 tattoo ink. While some ink particles are transported via the lymphatic system to the liver
35 soon after injection (50), dermal macrophages capture and retain tattoo particles within the
36 injection site. These macrophages provide an important scavenging and homeostatic role in
37 tissue but do not migrate (51, 52). When tattoo pigment laden macrophages die,
38 neighbouring macrophages recapture the released pigment ensuring the long-term
39 persistence of tattoos (52).
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44 ***Tissue response***

45 The tissue response in the present study was in the form of a mature foreign body response
46 that included a compact tissue capsule typically including a zone of macrophages around the
47 electrode array, and a loose vascularized fibrous tissue response that occupied at least part
48 of the scala tympani proximal to the electrode array. The extent of this tissue response was
49 significantly greater in stimulated compared with implanted control cochleae. Moreover,
50 there was little evidence of a tissue response within the scala tympani apical to the
51 electrode array. These findings are consistent with our previous results in guinea pigs, and
52 support the observation that electrical stimulation at high charge densities (> 200
53 $\mu\text{C}/\text{cm}^2/\text{phase}$) evoke an increased tissue response (16, 17).
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3 This stimulus-induced tissue response may be associated with the formation of
4 electrochemical products at the electrode-tissue interface. We have previously shown that
5 the extent of the response is not related to the degree of Pt corrosion (17); however, other
6 electrochemical reaction products may alter the electrolyte environment adjacent to the
7 electrode, evoking the response. To this end, transient stimulus-induced changes in
8 electrode impedance, that may be associated with an altered local electrochemical
9 environment at the electrode-tissue interface, are commonly reported in a clinical setting
10 (22). Alternatively, the high electric fields present near the electrode results in the
11 electroporation of cells, initiating an immune cascade (53, 54).
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17 Finally, we previously described a focal region of necrotic tissue proximal to electrodes
18 stimulated at charge densities $\geq 267 \mu\text{C}/\text{cm}^2/\text{phase}$ (16, 17). There was no evidence of such
19 a response in the present study, indicating that the necrotic response was sub-chronic and
20 had resolved by 7 weeks of stimulation (HCD_1; Table 1).
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23 ***Impedances and Electrical Impedance Spectroscopy***

24 A number of centre-electrodes were excluded from use over the course of the stimulation
25 program due to high common ground impedance recordings ($> 20 \text{ k}\Omega$). In the majority of
26 cases, the high impedance was due to a tissue response rather than an open circuit as serial
27 impedance recordings confirmed that the electrode was viable ($< 100 \text{ k}\Omega$). This was further
28 supported by the EIS results, in which the high frequency (100 kHz) impedance magnitude,
29 reflecting the resistance of the electrolyte and tissue adjacent to the electrode (6), was
30 significantly higher over time for the electrodes on the stimulated array. Equivalent circuit
31 analysis revealed significant changes in both the electrode and tissue components over the
32 duration of the stimulation program. Supporting the large influence from the tissue
33 response on the impedance, the values of K_t (analogous for a constant phase element to the
34 capacitance of a pure capacitor) and α_t (a measure of how capacitor-like ($\alpha = 1$) or resistor-
35 like ($\alpha = 0$) the constant phase element is) (26, 27), significantly increased at 3- and 6-
36 months post-implantation for electrodes on the stimulated array only. Both stimulated and
37 control cochleae exhibited an increase in the resistive component of the tissue layer over
38 time (indicated by ΔR and R_∞), which is consistent with the histological finding that both
39 cochleae exhibited a tissue response. However, the value of ΔR , which dominates the total
40 tissue resistance compared to R_∞ , was three times higher for the unstimulated control
41 electrodes compared with the stimulated electrodes at the 6-month time point. This may
42 indicate a delayed tissue response around the unstimulated control electrodes. There is
43 evidence in humans for a tissue response starting at around 3 months and maturing around
44 6 months, particularly for less frequently stimulated electrodes (55).
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55 The low frequency magnitude and phase of the EIS converge at 6 months, which is
56 surprising, since the low frequency impedance is heavily influenced by the electrode/tissue
57 interface rather than the surrounding tissue. Additionally, the electrode components of the
58 impedance in the equivalent model exhibit similar behavior between unstimulated control
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3 and stimulated electrodes, with only a time effect on K_e and α_e . Because the stimulated
4 electrodes exhibited large amounts of corrosion, we would expect there to be large
5 differences in the low frequency impedance of K_e and α_e in stimulated compared to
6 unstimulated control values. However, the results from the EIS and model parameters need
7 to be interpreted with caution because the recordings at 6 months included fewer
8 electrodes at the centre of the tripoles due to the drop-out rate of the electrodes.
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13 Interestingly, the common ground impedances remained relatively consistent over time.
14 The corrosion associated with the centre-electrodes may be expected to reduce electrode
15 impedance. However, standard impedance was taken using a 25 μ s pulse and therefore
16 somewhat equivalent to mid to high frequencies in the EIS spectrum. It is likely, therefore,
17 that any reduction in impedance of the electrodes via corrosion was counteracted by the
18 tissue response, resulting in an overall cancellation of these effects.
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23 Correlations between the final common ground impedance with both tissue response and
24 the electrode corrosion score were poor, while there was a moderate correlation between
25 the tissue response and corrosion scores. Stimulated electrodes at the centre of the tripole
26 exhibited more corrosion, and the stimulated cochlea had a greater tissue response. The
27 poor correlations between the impedance, tissue response, and corrosion may reflect the
28 limitations of the methodology in identifying the precise location of the tissue response
29 relative to a specific electrode. Alternatively it may reflect a broad response.
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34 ***Cyclic Voltammograms, Charge Storage Capacity and Charge Injection Limit***

35 The *in vivo* CVs presented here using bipolar and tripolar electrode configurations are likely
36 to be different from the ideal CVs measured for Pt in the laboratory (e.g. (6)) for several
37 reasons:
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41 i) unlike traditional CVs, our counter and reference electrodes (one or two intracochlear
42 electrodes) were small and not referenced to tissue potential. This means that as potential
43 was swept in the cathodic direction the working electrode became cathodic and the counter
44 electrode became anodic. Therefore, the cathodic potential in our configuration actually
45 measured a combination of cathodic and anodic processes. Differential roughening from
46 corrosion of the working versus counter electrode and the fact that some measurements
47 were in tripolar rather than bipolar configuration means there are likely to be some
48 differences between anodic and cathodic sweeps. But as can be seen in Figure 5a, both
49 cathodic and anodic sides of the sweep are very similar, particularly for the unstimulated
50 control and 2 week stimulated cases where all electrodes were smooth.
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55 ii) both working and reference electrodes become polarised during the sweep. The potential
56 is dropped across two electrodes, not one, so the potential between an individual electrode
57 and tissue is about half what it would be in a traditional CV. So the -600 mV and +800 mV
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3 end points on our CVs actually represent potentials between individual electrodes and
4 tissue of about -300 mV and +400 mV for bipolar configurations. For tripolar configurations
5 the working electrode reaches a higher potential than for bipolar but still not the -600 mV
6 and +800 mV in a traditional CV.
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10 iii) *in vitro* recorded CVs are typically recorded in oxygen and chlorine-free media as oxygen
11 reduction and chlorine ion “poisoning” of the Pt surface modify the CV. The *in vivo*
12 environment contains abundant quantities of both, in addition to proteins.
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16 It is difficult, therefore, to discern any features in the CV sweeps themselves, which are a
17 complex mixture of anodic and cathodic process at potentials that do not relate to
18 traditional CVs and are perhaps dominated by reactions such as oxygen reduction which are
19 deliberately avoided in the laboratory. That being said, the magnitude of the current and
20 charge passed, as measured by CSC, should still give a good measure of the real surface area
21 or roughness factor of an electrode. This is because all surface reactions, anodic or cathodic
22 and at whatever potential, increase proportionally with the real electrode area. In Figure 5b,
23 the CSC, shows clear evidence of an increase in surface area (roughness) at the 3 and 6
24 month stimulated time points of about 60% compared to smooth control electrodes.
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30 The CIL behaviour we observed was similar to that of the CSC discussed above, in that it
31 increased for the stimulated electrodes at 3 and 6 months compared to the unstimulated
32 controls and stimulated at 2 weeks. Like CV (and unlike EIS) this measure sweeps over a
33 large potential range and is therefore likely to be independent of prior stimulation history.
34 The ratio of the CIL at 6 months compared to the CIL at 2 weeks for stimulated electrodes is
35 1.6 to 1. Again, this would be consistent with a 60% increase in area of the stimulated
36 electrodes due to electrochemical roughening. One difference in the case of CIL behaviour,
37 however, is that there was a small and progressive increase in the CIL of the unstimulated
38 control electrodes over time, with statistical difference between the 6 month and 2 week
39 time points. In principle, the CIL value should reflect only the electrode/tissue interface and
40 give a response proportional to the electrode area. While this method may work well in the
41 laboratory, where the electrolyte is usually purely resistive, it may work less well in the *in*
42 *vivo* environment where the “electrolyte” is partly comprised of tissue.
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50 **Status of the ANs**

51 There were a number of reasons why ANs were selected as the most appropriate cell type
52 to quantify when examining potential pathological effects of long-term stimulation and Pt
53 corrosion products on the cochlea. First, ANs are the primary target neurons of cochlear
54 implants. Secondly, ANs form the major group of excitatory cells within the cochlea and are
55 therefore subject to potential adverse metabolic effects as well as local electrochemical
56 changes, such as the generation of Pt ions and pH changes. Although there are subtle early
57 markers indicating the onset of AN degeneration, once initiated, AN degeneration occurs
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3 quickly (56), making AN density a highly effective measure of AN loss. Finally, other
4 excitatory tissue, particularly sensory hair cells, would be important to monitor in studies
5 where there is residual hair cell survival; in the present study ototoxic drugs were used to
6 remove hair cells prior to cochlear implantation in order to accurately model a profound
7 hearing loss.
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11 The present study has demonstrated that ANs do not undergo stimulus-induced
12 degeneration using the stimulus parameters used in the present study. Furthermore, the
13 significant levels of Pt corrosion product generated within the cochlea as a result of the
14 stimulation showed no adverse effects on the AN population. Finally, the ECAP recordings
15 showed that the chronically stimulated AN remained physiologically viable for the duration
16 of the stimulus program. These responses exhibited no significant increase in threshold
17 when compared with control cochleae, implying that there was no reduction in neural
18 function as a result of chronic stimulation at these high charge densities.
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24 It is important to note that a tripolar electrode configuration was used in the present study
25 as it recruits relatively small numbers of ANs compared to monopolar stimulation (57),
26 thereby allowing the use of high charge densities while maintaining comfortable loudness
27 levels. It follows that using the same stimulus parameters, tripolar stimulation would not
28 place the AN under the same level of metabolic stress as monopolar stimulation.
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31 We previously reported a significant increase in the AN population proximal to the electrode
32 array following stimulation for 28 days at 267 and 400 $\mu\text{C}/\text{cm}^2/\text{phase}$ (16). These results
33 implied that there is a stimulus-induced rescue effect of ANs (56); a result that has also been
34 reported by others (18, 58). In contrast to this earlier work, the present study, conducted
35 over a 6 month period, showed no evidence of a stimulus-induced rescue effect of ANs.
36 While depolarization of ANs via electrical stimulation may provide a trophic influence on
37 ANs for short periods following deafness, our results show no evidence that a trophic
38 influence is maintained over the long-term.
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44 We did report a significant increase in AN survival in the apical region of the control versus
45 chronically stimulated cochleae. We place little emphasis on this result as the apical region
46 of the cochlea has limited tissue available for analysis, often resulting in large differences in
47 AN density measurements.
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50 51 ***Clinical implications***

52 The Shannon limit and the AAMI standard have been used to define safe stimulus limits for
53 cochlear implants (7, 11). We have demonstrated that long-term stimulation using stimulus
54 parameters outside these limits does not damage ANs or the cochlea in general. At least for
55 the stimulus parameters used in the present study, our results demonstrate that these
56 standards provide a conservative measure of the limits of safe stimulation for cochlear
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3 implants. The conservative nature of the Shannon limit in assessing the threshold for tissue
4 damage in neuromodulation devices has also been reported by others (10).
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7 Although further studies are required to evaluate the safety of electrical stimulation of Pt
8 electrodes at high charge densities in diverse anatomical locations, the ability to safely
9 stimulate at charge densities above the AAMI standard (11) could expand the range of
10 electrode arrays for clinical application for a variety of neural prostheses. This would include
11 the use of smaller electrode contact areas in order to reduce the incidence of adverse off-
12 target effects associated with electrical stimulation. It may be that such electrodes, if
13 intended for long term use, will need materials that offer greater dissolution resistance than
14 smooth Pt (e.g. (23)). Our study did not attempt to quantify the dissolution rate of the
15 electrodes; however, it seems likely that electrode longevity rather than metabolic damage
16 or cytotoxicity, will prove the limiting factor in the use of smooth Pt electrodes.
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23 It is important to consider the clinical implications associated with the accumulation of Pt
24 corrosion products both systemically and within the cochlea. Generally, Pt exposure in
25 humans is typically associated with either environmental or clinical origins. Environmentally,
26 the major source of Pt exposure is via ingestion of nanoparticles through the respiratory and
27 digestive tract from automobile catalytic converters (59). These particles accumulate in the
28 liver, spleen, kidney, and lungs. Although toxicology appears dependent on particle size,
29 there is currently insufficient data to define safe levels of exposure (59).
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34 The major clinical source of Pt is associated with the use of Pt-based chemotherapy drugs
35 such as cisplatin. Administered systemically, cisplatin accumulates and is retained within the
36 cochlea for years (60, 61); although the ratio of Pt bound within cisplatin versus free
37 (unbound) Pt *in vivo* is unknown (62). The degree of ototoxicity appears to be related to
38 both the level and duration of its accumulation in the cochlea (63). Experimental studies
39 have demonstrated greater accumulation of Pt in liver, spleen, and kidney (61, 62),
40 clearance from these organs is much more rapid (62). At high doses cisplatin is nephrotoxic,
41 although this is thought to be associated with the cellular mechanisms associated with
42 cisplatin's effect on tumours rather than the toxicity of Pt *per se* (60). Clinical studies have
43 shown that Pt accumulated in the kidney is removed over time and is not correlated with a
44 reduction in kidney function (64).
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51 In addition to the presence of Pt debris associated with cochlear implant use, there is
52 evidence of Pt corrosion associated with the long-term use of other neural prostheses. Post-
53 mortem evaluation of Pt electrodes from a patient implanted with a cortical vision
54 prosthesis for more than three decades revealed some degradation of Pt electrodes (42).
55 Although the extent of stimulation associated with this patient was unknown, the corrosion
56 was not only associated with electrical stimulation; electrodes with little apparent
57 stimulation history were thought to have undergone degradation as a result of long-term
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3 exposure to the biological environment (42). In addition, electron dense particulate material
4 has been reported in tissue samples taken from patients after long-term deep brain
5 stimulation (65, 66). In one study, multinucleated giant cells and macrophages containing
6 electron-dense inclusions of presumably phagocytosed Pt was observed at the electrode-
7 tissue interface (66).
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11 Examples of non-Pt metallic corrosion contaminants are also described in the clinical
12 literature; in particular wear and corrosion debris associated with metal-on-metal hip
13 replacement. This is widely reported due to the large number of patients involved and the
14 extent of the metallic debris generated; it is estimated that between 10^{12} - 10^{14} wear
15 particles are produced annually from these devices (67). Tissue surrounding the implant is
16 often discoloured and necrotic; and macrophages within this region contain metallic
17 particles consistent with the alloy used in the implant (68). In addition, extensive
18 transportation of the metallic debris is also observed; while larger metallic particles remain
19 in the vicinity of the implant, metal ions and nanoparticles are transported via the lymphatic
20 system. Metal wear debris has been reported in the liver, spleen, and lymph nodes, while
21 metal ions were detected in both blood and urine (67-69). Despite the presence of extensive
22 metallic contaminants, the adverse effects on the remote organs were regarded as
23 insignificant (68, 69).
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31 To place the present results in perspective, given that a Pt concentration of 10 and 30 parts
32 per billion (w/w) was found in the kidneys of only two of six animals, and there was no
33 evidence of Pt found elsewhere in any animal, systemic Pt toxicity due to electrode
34 dissolution within the cochlea, even under extremely high charge density stimulation, would
35 not appear to be of clinical significance.
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40 **Limitations of the study**

41 Although there was no evidence of any measurable adverse effects on cochlear tissue
42 observed in the present study it is important to consider a number of limitations associated
43 with this research. First, the study was limited to the use of 6 animals and one animal
44 model. Second, the use of a single stimulation mode is not used clinically. Third, there is
45 only one data point for histology so we cannot establish the longitudinal profile of Pt
46 dissolution within the cochlea. Fourth, the present work did not determine the type or
47 extent of the cochlear macrophage response. Fifth, it was not possible to provide a fully
48 quantitative assessment of the dissolved Pt; we did not determine if Pt was excreted from
49 the body or resided in organs that weren't analysed. Finally, although this study examined
50 changes occurring after periods of up to 6 months of stimulation, this duration only
51 represents a fraction of expected period of clinical use.
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6. Conclusions:

Continuous electrical stimulation of Pt electrodes at a charge density of $267 \mu\text{C}/\text{cm}^2/\text{phase}$ using a tripolar electrode configuration over a period of 6 months did not result in a reduction in AN density or evoked-potential threshold associated with the stimulation despite a stimulus-induced tissue response and the presence of Pt corrosion product within the cochlea. The tissue response was significantly greater in the stimulated versus implanted unstimulated control cochleae, and stimulated electrodes exhibited significantly more corrosion compared with unstimulated electrodes. Increased CSC and CIL observed in chronically stimulated electrodes reflected the more extensive electrode corrosion. Notably, Pt corrosion was also clearly evident in implanted unstimulated control cochleae, although the levels were significantly less than stimulated cochleae. The majority of Pt remains within the cochlea; only two of the six animals in the study showed just detectable levels of Pt in kidney tissue, while liver and brain showed no evidence of Pt. The level of systemic Pt does not appear to be of clinical significance.

Our research also demonstrated that the dissolution of Pt electrodes within the cochlea, did not result in the widespread migration of Pt corrosion products systemically; the great majority of particulate Pt was phagocytosed and remained bound within macrophages of the cochlea. Finally, electrode longevity rather than tissue damage is likely to prove the limiting factor in the use of Pt electrodes at high charge densities. Neural stimulation well above the typical charge densities currently used clinically, would benefit from the use of more electrochemically stable electrode materials. The development of such materials is clearly warranted.

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