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1 **Influence of cochleostomy and cochlear implant insertion on drug gradients**
2 **following intratympanic application in guinea pigs**

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1 **Abstract**

2 Locally-applied drugs can protect residual hearing following cochlear implantation. The
3 influence of cochlear implantation on drug levels in scala tympani (ST) after round window
4 application was investigated in guinea pigs using the marker trimethylphenylammonium
5 (TMPA) measured in real-time with TMPA-selective microelectrodes. TMPA concentration in
6 the upper basal turn of ST rapidly increased during implantation and then declined due to
7 cerebrospinal fluid entering ST at the cochlear aqueduct and exiting at the cochleostomy. The
8 TMPA increase was found to be caused by the cochleostomy drilling, if the burr tip partially
9 entered ST. TMPA distribution in the second turn was less affected by implantation procedures.
10 These findings show that basal turn drug levels may be changed during implantation and the
11 changes may need to be considered in the interpretation of therapeutic effects of drugs in
12 conjunction with implantation.

13

14

15 **Keywords:** Cochleostomy, perforation, cochlear implant, electrode, pharmacokinetics, drug
16 distribution, computational modeling

17

18

1 **1. Introduction**

2 Hearing outcomes, especially with respect to music appreciation and speech perception in the
3 presence of background noise, can be improved following cochlear implantation (CI) when
4 residual hearing is protected [von Ilberg et al., 1999; Gantz & Turner, 2003; Gantz et al., 2005;
5 Gstoettner et al., 2008]. Protection strategies are becoming increasingly important as patient
6 selection for CI is widened to include patients with more residual hearing. In addition to soft
7 surgical techniques when performing the cochleostomy [Lehnhardt, 1993; Kiefer et al., 2004;
8 Eshraghi, 2006], and atraumatic electrode design [Gantz & Turner, 2004; Lenarz et al., 2006;
9 Adunka et al., 2004; Baumgartner et al., 2007], residual hearing can be protected with
10 pharmaceuticals such as glucocorticoid steroids following cochlear implant surgery [James et
11 al., 2008; Chang et al., 2009]. Steroids can be administered intratympanically on the round
12 window membrane (RWM) prior to the cochleostomy to inhibit an inflammatory response to
13 the implant, which has resulted in improved cochlear function in humans [Jayawardena et al.,
14 2012] and animals [James et al., 2008; Kiefer et al., 2004; Kiefer et al., 2007; Ye et al., 2007],
15 along with a lowered incidence of dizziness in humans [Enticott et al., 2011]. A study in which
16 function was assessed in non-implanted and implanted groups had previously suggested that
17 inner ear surgery could have a significant effect on intracochlea drug distribution [Eastwood et
18 al., 2010]. In order to understand the best way to apply steroids locally to optimise protection,
19 it is necessary to establish which aspects of the implantation procedure influence drug
20 distribution.

21

22 Following intratympanic administration, drug enters the inner ear through both the RWM and
23 in the vicinity of the stapes footplate [King et al., 2011; Salt et al., 2012b]. In the sealed
24 cochlea, substances predominately move in the fluids by passive diffusion driven by
25 concentration gradients. For short-term applications, the influence of longitudinal perilymph

1 flow has been shown to be low [Ohyama et al., 1988; Salt & Ma, 2001]. When a guinea pig
2 cochlea is perforated however, fluid is expelled from the cochleostomy site since cochlear
3 fluidic pressure exceeds atmospheric pressure. This causes an influx of cerebrospinal fluid
4 (CSF) through the cochlea aqueduct (CA), inducing a bulk longitudinal flow between the CA
5 and the cochleostomy. The magnitude of the flow rate is proportionate to the pressure
6 differential across the perforation site. An apical flow of 1.0 $\mu\text{L}/\text{min}$ has previously been
7 measured following an apical perforation in a guinea pig [Ohyama et al., 1988]. Thus bulk
8 longitudinal flow plays a more substantial role in drug distribution when the cochlea is
9 perforated.

10

11 The traditional method of performing a cochleostomy for CI is to use an otologic drill and
12 diamond burr to perforate the otic capsule anterior/inferior to the RWM to access scala tympani
13 (ST). However studies have shown that direct drilling and suction can cause acoustic trauma
14 resulting in the loss of residual hearing, particularly at low frequencies [Kylén & Arlinger,
15 1976; Doménech et al., 1989; Pau et al., 2007], and there is some concern this route for CI may
16 potentially cause damage to the spiral ligament and basilar membrane [Lehnhardt, 1993; Briggs
17 et al., 2005]. This has led to the introduction of soft surgical (SS) techniques [Lehnhardt, 1993;
18 Kiefer et al., 2004; Eshraghi, 2006]. A SS technique to open ST is to use a drill to expose the
19 endostium without perforating it, flushing away bone dust with Ringer's solution, and carefully
20 incising the endostium with a micro-lancet knife or needle immediately before implantation,
21 whilst avoiding direct suction in the cochleostomy [Kiefer et al., 2004]. Another SS method
22 gaining clinical interest is to insert the implant directly through the RWM, avoiding drilling of
23 the otic capsule altogether to minimise the potential risk of damage to the delicate intracochlear
24 structures [Skarzynski et al., 2007; Gudis et al., 2012]. Trials are also underway *in-vitro* to

1 perform the cochleostomy with smart robotic micro-drills [Coulson et al., 2008] or with hand-
2 held CO₂ lasers [Fishman et al., 2010; Cipolla et al., 2012].

3

4 We have previously shown that cochlear implantation does not significantly influence the
5 distribution of marker Gadolinium within the cochlea at one hour [King et al., 2011], but the
6 affect of the cochleostomy on drug distribution has not been previously quantified. This is an
7 important consideration however in the event that the cochlea has been “preloaded” with drug,
8 as would occur following pre-implantation round window or oval window drug delivery. The
9 method of cochleostomy could potentially alter the intracochlear drug distribution through
10 either fluid leakage or mixing of fluids, the nature of which must be understood if the correct
11 drug dosage is to be delivered to the target structures in order to adequately protect residual
12 hearing following cochlear implantation. Furthermore, we explore the affects of implant
13 insertion in greater detail with direct measures to verify our previous finding that implantation
14 does not substantially redistribute intracochlear drug. This study investigated marker
15 distribution in the scala tympani basal turn (ST1) during these events in real-time using an ion-
16 selective microelectrode sealed into the otic capsule of ST.

17

18 **2. Materials and Methods**

19 **Ion-selective Microelectrode Experiments**

20 *2.1. Animal Preparation*

21 The study was conducted in accordance with the policies and recommendations of the United
22 States Department of Agriculture, the National Institute of Health guidelines for the handling

1 and use of laboratory animals, and received approval from the Institutional Animal Care and
2 Use Committee of Washington University under protocols 20070147 and 20100135.

3
4 Ten pigmented NIH strain guinea pigs weighing 450-600g, anaesthetized with 100mg/kg
5 sodium thiobutabarbital (Inactin, Sigma, St Louis, MO USA) were used in the study.
6 Anesthesia was maintained with 0.8-1.2% isofluorane in oxygen and the animals were
7 ventilated via a tracheal cannula during surgery with tidal volume set to maintain end-tidal CO₂
8 near 38 mmHg (5%). Heart rate and blood oxygen saturation were monitored continuously by
9 Surgivet pulse-oximeter (Waukesha, WI, USA). Rectal temperature was maintained at 39°C
10 with a thermistor-controlled DC-powered heating blanket. The animals were mounted securely
11 in a head-holder, and the right cochlea exposed by the ventrolateral approach. All experiments
12 were performed as non-recovery procedures.

13

14 *2.2. Cochleostomy and cochlear implants*

15 The cochleostomy was formed in the basal turn of the guinea pig ST using either SS techniques
16 (n=3) or by using a dental drill fitted with a 0.75mm diameter diamond cutting burr (Sunshine
17 Diamonds, Dr. Hopf GmbH & Co.KG, H001009; n=5) or a 0.52mm diameter carbide burr
18 (Sunshine Carbide, Dr. Hopf GmbH & Co.KG, C1104005; n=2). SS technique entailed thinning
19 the bone initially with a dental burr and using a fine pick to perforate the otic capsule and
20 remove bone fragments. In all cases, the cochleostomy fenestra was made approximately
21 1.5mm from the lip of the round window membrane.

22

1 The cochlear implants used in the study were 100% biocompatible dummy electrodes with
2 three platinum rings (sourced from the Department of Otolaryngology, University of
3 Melbourne). They were cylindrical in shape with 0.4mm diameter and were inserted 2.12 mm
4 (to the edge of the third ring) into the basal turn of scala tympani through the cochleostomy.

5

6 *2.3. Ion-selective microelectrodes*

7 Ion-selective microelectrodes were sealed in the upper basal turn (n=9) or second turn of ST
8 (n=1) to monitor the perilymph concentration of the marker drug trimethylphenylammonium
9 (TMPA) in real time. The position of the basal turn microelectrode was approximately 3.1 mm
10 from the RWM and the second turn microelectrode was approximately 7.5 mm from the RWM
11 when measured along the scala. The microelectrodes consisted of a double-barrelled glass
12 pipette, one barrel with internal filling fiber and one without. After the glass pipettes were
13 pulled, they were stored in a humidity cabinet at 40°C, 70% humidity overnight. The barrel
14 without fiber was silanized by exposure to dimethyldichlorosilane vapor (Sigma, St. Louis)
15 followed by baking at 140°C for 1 h. The tips were beveled to a diameter of 3-4µm. The
16 silanized, ion barrel was filled with 500 mM KCl and the reference barrel was filled with 500
17 mM NaCl. TMPA-selective ion exchanger was made from 5% potassium tetrakis(4-
18 chlorophenyl)borate in 2-nitrophenyloctylether (Fluka/ Sigma, NY), that was pre-equilibrated
19 by storage in contact with concentrated TMPA solution. A short column of ion exchanger was
20 drawn into the tip of the ion barrel by suction. The microelectrodes were connected to a high-
21 impedance electrometer through Ag/AgCl wires. Potentials were recorded differentially
22 between the ion and reference barrels of the electrode. Each microelectrode was individually
23 calibrated before use in a series of 5 standards containing 0, 20, 200, 2000 and 20000 µM in a
24 background of artificial perilymph, held at 39° C in a water circulation chamber. TMPA

1 measurements from the animal were collected under computer control, with 10 measurements
2 averaged and stored at 5 s intervals.

3

4 *2.4. Experimental Procedure*

5 The auditory bulla was accessed using a ventrolateral approach and opened to expose the
6 cochlea. TMPA-selective microelectrodes were sealed into either the upper basal turn (n=9) or
7 second turn (n=1) of ST using methods detailed elsewhere [Ohyama et al., 1988]. Briefly, after
8 the mucosa was removed, the bony wall was thinned with a flap knife. Any fluid present was
9 dried with paper wicks, the bone was allowed to air-dry and then coated with a thin layer of
10 cyanoacrylate glue. A thin layer of two-part Silicone adhesive was applied over the
11 cyanoacrylate glue to make the surface hydrophobic, and a small fenestra (approximately 30-
12 40 μ m) was made through the adhesives and the bone using a fine pick (0.3mm, 30 degree,
13 Storz N1705 80, Bausch and Lomb Inc). The microelectrode was then positioned in the
14 fenestra and sealed in place with a droplet of cyanoacrylate glue. This method allows the
15 microelectrode to be placed with no subsequent perilymph leakage at the insertion site.

16

17 An artificial perilymph solution (127.5mM NaCl, 3.5mM KCl, 25mM NaHCO₃, 1.2mM
18 MgCl₂, 0.75mM NaH₂PO₄, 1.3mM CaCl₂, 11mM Glucose) containing 20mM TMPA was
19 delivered continuously to the RW niche at a rate of 5 μ L/min for approximately 30 minutes
20 prior to cochleostomy and implantation. Paper wicks were used to prevent fluid accumulation
21 in the middle ear space. This procedure established a gradient for TMPA along ST with highest
22 levels at the basal entry site near the RWM. The cochleostomy was formed in either the basal

1 turn or second turn of ST using a drill or SS techniques, and ST was implanted with a cochlear
2 implant electrode array.

3
4 In some experiments, the timing of events were recorded on a digital audio recording made
5 with Audacity (<http://audacity.sourceforge.net/>), which was time-synchronized with the
6 automated TMPA measurements.

7
8 Computer simulations of the experimental protocol were performed with version 3.081 of the
9 Washington University Cochlear Fluids Simulator, available for download from our website at
10 <http://oto.wustl.edu/cochlea/>. Parameters for TMPA distribution are incorporated into the
11 program, based on a variety of experimental protocols involving in situ TMPA measurements
12 and measurements of TMPA concentrations in perilymph samples [Salt et al., 2012a].

13

14 ***In-vitro* Experiments**

15 In order to visualize the influence of the experimental procedures on fluid movements and
16 substance distribution, we set up a number of *in-vitro* experiments using solutions marked with
17 fluorescein. In one, a cochlear implant electrode was inserted into a 1.0mm diameter closed-
18 ended, blunt tip glass capillary tube (5 μ L, VWR International, Radnor, PA) filled with lactated
19 Ringer's solution. Before the insertion, the fluid region near the tube opening was injected with
20 solution in which 20 mM NaCl was replaced with sodium fluorescein (Sigma-Aldrich,
21 Taufkirchen, Germany). The electrode dummy was inserted into the tube through the
22 Ringers/fluorescein interface using a micromanipulator during which the distribution of
23 fluorescein was recorded with videography.

1 In some experiments, we used a tube filled with lactated Ringer's which had an opening made
2 in the side wall and fluorescein solution injected at one side of the opening. The tip of the
3 dental burr was inserted into the tube and the change of fluorescein distribution was recorded
4 with videography when the burr was activated. In other experiments, a stream of fluorescein
5 solution was injected from a micropipette in a beaker of lactated Ringer's solution. The
6 influence of dental burrs and implant electrode movements on fluorescein distribution was
7 observed. This allowed us to compare induced changes of distribution under conditions where
8 there were no nearby walls or boundaries.

9
10 In all of these experiments the solutions used were simple electrolyte solutions (lactated
11 Ringer's or fluid containing fluorescein) with solution viscosity expected to be close to that of
12 perilymph.

13

14 **3. Results**

15 These experiments used TMPA as a marker to represent the distribution of drug applied locally
16 to the RWM approximately 30 minutes before implantation. TMPA concentration can be
17 measured continuously with time throughout a procedure with an ion-selective microelectrode
18 at a fixed location. TMPA time course curves are readily interpreted with computer models to
19 give a realistic representation of how substances are distributed with distance in the ear as a
20 function of time. The experiment in the upper panel of Figure 1 shows the measured time
21 course of TMPA in the upper basal turn of ST before and after cochlear implantation. At zero
22 time, TMPA solution was irrigated across the RWM. After a delay of approximately 10
23 minutes, during which TMPA diffuses from the RW region to the measurement site, TMPA
24 concentration shows a progressive increase. Throughout the pre-implantation period, there is a

1 longitudinal gradient of TMPA along ST, with the highest concentration near the RWM that
2 progressively spreads further along ST with time. During implantation (shown as gray in
3 Figure 1) the measured concentration becomes noisy and unreliable, due to movements of the
4 experimenter near the high-impedance microelectrode introducing artifactual potentials.
5 Immediately after implantation, when the trace becomes stable again, the measured TMPA
6 level was substantially higher than would be expected by extrapolating the curve prior to
7 implantation. This suggested that the implantation procedure in some manner affected the
8 distribution of TMPA in the cochlea, increasing the TMPA level at the measurement location,
9 which was just apical to the tip of the inserted implant. In order to carefully document the
10 timing of different parts of the implantation procedure, we made digital audio recordings, time-
11 synchronized with the TMPA measurements. The audio recordings were used to distinguish
12 the times when the cochleostomy was drilled and when the cochlear implant electrode was
13 inserted, as shown in the lower panel of Figure 1. Although the measurements are noisy due to
14 the experimental manipulations near the recording microelectrode, it was apparent that the
15 TMPA concentration increased markedly when the cochleostomy was made, rather than at the
16 time of implant insertion. This experiment provided initial evidence against the cochlear
17 implant electrode insertion being the cause of TMPA increase.

18

19 Figure 2 shows an experiment in which the different elements of the implantation procedure
20 were further separated in time to allow undisturbed periods of TMPA recording. Digital audio
21 recordings were again used to keep an accurate record of events with time. In this experiment,
22 the cochleostomy was drilled carefully, pausing the procedure as soon as ST was perforated
23 and fluid appeared. At that time (Figure 2, initial drilling), the progressive TMPA increase was
24 not markedly disturbed. The fenestration was then enlarged sufficiently by drilling to permit
25 implant insertion but pausing the procedure before the implant was inserted. At this time

1 (Figure 2, cochleostomy) the TMPA concentration had increased substantially. Insertion of the
2 implant (Figure 2, Implant insertion) had only a minor influence on the measured TMPA
3 concentration. This experiment clearly demonstrated that it was the act of drilling the
4 cochleostomy, and specifically the tip of the rotating drill entering the fluid of ST, that caused
5 the dramatic increase of concentration at the TMPA measurement site. The percentage increase
6 of TMPA seen as a result of a drilled cochleostomy and implant insertion over 5 individual
7 animals were 193.3%, 156.8%, 612.2%, 406.3% and 191.1% (average 312.0%, SD 174.2%).

8

9 *In-vitro* studies confirmed that when a dental burr was activated in a narrow tube filled with
10 simple electrolyte solution, it caused a localized intense stirring of the marker (Figure 3A). In
11 contrast, an implant inserted into a closed-ended tube (allowing fluid efflux only at the
12 insertion site) did not appreciably push fluorescent marker in front of it as it was inserted
13 (Figure 3B).

14

15 The entire procedures summarized in Figure 3 are shown in the supplemental video, together
16 with the same procedures shown in open solution (without nearby walls). The dental burr is
17 shown to produce intense stirring and the movement of an implant through the solution is seen
18 to drag nearby solution. In the latter experiment, the apparently viscous nature of the solution is
19 the result of the magnification used and none of the solutions used had viscous properties that
20 differed appreciably from perilymph.

21

22 Figure 4 shows a basal turn TMPA recording experiment in which the implantation was
23 performed without the dental burr influencing perilymph. In this experiment, the bone at the

1 cochleostomy site was thinned with a burr but the endostium was opened with a fine pick
2 similar to the approach used in soft surgery. This procedure was more time-consuming but the
3 combined fenestration and implant insertion has almost no influence on the measured TMPA
4 concentration. The slow decline following implantation is again caused by TMPA washout by
5 CSF at the base of ST. The increase of TMPA seen as a result of a cochleostomy made with a
6 pick followed by implant insertion averaged 13.2 % (SD 1.6%, n=2).

7

8 TMPA or drug concentration changes as a result of implantation were most dramatic in the
9 basal turn and were far less when measured at a site further from the implantation site. Figure 5
10 shows TMPA concentration measured in the second turn of ST (estimated to be 7.5 mm from
11 the base of ST when measured along the scala) during a drilled cochleostomy and cochlear
12 implant electrode insertion; procedures comparable to those in Figures 1 and 2. In this
13 experiment, implantation was performed at 60 mins after TMPA irrigation started to allow a
14 higher concentration to be established at the second turn recording site. Implantation only had a
15 slight influence on the rising TMPA curve, seen as a transient slowing of the rate of increase.
16 The results of this single experiment were consistent with calculated findings (below), and
17 even smaller changes were expected with soft surgical techniques, so the experiment was not
18 repeated. The absence of large changes in the second turn is due to the larger distance and
19 correspondingly prolonged diffusion times for changes in the basal turn to influence the second
20 turn.

21

22 We used our computer model of the inner ear fluid spaces to assess whether the interpretation
23 of TMPA changes during implantation was physically plausible. Figure 6 shows a detailed
24 simulation of the experiments. This includes i) TMPA loading of ST by entry at the round

1 window membrane with a 2 mM round window niche concentration, RW permeability of $5 \times$
2 10^{-7} m/s. ii) diffusion along ST with a diffusion coefficient of 1.01×10^{-9} m²/s combined with
3 an apically-directed volume flow of 8 nl/min in the intact cochlea. iii) Elimination from ST to
4 blood at 35 min half time. iv) Communication with adjacent compartments (spiral ligament,
5 spiral ganglion: 10 min half time). At the time of cochleostomy, the stirring of perilymph by
6 the dental burr was achieved by uniformly distributing the available TMPA over a short
7 segment, as described below, followed by simulation of implant insertion for a 2.25 mm
8 distance over a 20 sec period. This included calculation of the displacement of perilymph from
9 ST at the cochleostomy site with appropriate volume flows and associated TMPA movements
10 along the scala based on the implant and ST dimensions. Since cochlear perforation releases
11 perilymph pressure, a volume flow simulating CSF entry at the cochlear aqueduct and loss at
12 the cochleostomy site at 200 nl/min replaced the apically directed volume flow at the time of
13 cochleostomy. TMPA concentrations are shown as a function of time (Figures 6A, 6B) or with
14 distance along ST (Figure 6C). Figure 6A shows the time course at the basal turn measurement
15 site (3.1 mm from the base) during the initial 30 min TMPA loading period. The TMPA
16 gradient along ST prior to implantation is shown as the curve for time T1 in Figure 6C.
17 Comparison of these curves shows that TMPA concentration at the basal end of ST (near the
18 RW) is predicted to be approximately 8x higher than at the TMPA measurement site. It is this
19 pool of high-TMPA solution that allows the concentration at the measurement site to rise so
20 rapidly during the cochleostomy. The stirring of ST contents during the cochleostomy drilling
21 was implemented by dispersing the available TMPA (taking into account the varying volume
22 with distance along ST) evenly over a distance of 1.6 mm each side of the burr (a distance
23 based on the *in vitro* experiments). This stirring accounts for the rapid increase (Figure 6A,
24 T2), and is reflected in the more even distribution with distance along ST in Figure 6C, T2.
25 Commencing at the time of cochleostomy, the simulation incorporates CSF entry at the

1 aqueduct with flow towards and leakage at the cochleostomy site. This decreases concentration
2 in the basal region and accounts for the subsequent decline of the time course in Fig 6A. The
3 calculated concentration time course seen in the second turn during these events is also
4 comparable with the measured curve, as shown in Fig 6B for a 60 min pre-implantation loading
5 period. This includes a slight slowing of the rate of increase seen at the time of implantation
6 which may be accounted for by a slow rate of apical volume flow in ST of the intact cochlea
7 that ceases when the scala is perforated at the time of cochleostomy. In the calculations, an
8 apical flow rate of 8 nl/min for the intact cochlea, which stopped at the time of cochleostomy,
9 gives a small inflection of the curve (Figure 6B, second turn), which is more pronounced than
10 that seen in the measured data. This analysis confirms that the measured TMPA time courses
11 could arise from a stirring of the basal region of ST during the cochleostomy procedure.

12

13 **4. Discussion**

14 This is the first study in which the alteration of perilymph marker distribution during
15 cochleostomy and cochlear implantation has been assessed by direct, real-time measurements
16 of concentration. Our initial results showed a substantial rise in concentration at the
17 measurement site in the upper basal turn of ST at the time of implantation. This was first
18 thought to be due to the cochlear implant electrode pushing higher concentration solution from
19 the region near the cochleostomy site apicalwards to the recording site during insertion. More
20 detailed experiments, however, revealed that this was not the case. The increase was found to
21 be caused by the act of drilling the cochleostomy, with the perilymph near the cochleostomy
22 site being stirred when a portion of the rotating burr entered the scala. The concentration rise
23 caused by the cochleostomy procedure was immediately followed by a progressive decline in
24 concentration. This was driven by the washout of TMPA-containing perilymph from the ST

1 basal turn by CSF flow from the cochlear aqueduct (CA) to the cochleostomy site, and due to
2 TMPA diffusing to regions of lower concentration. Conversely, the rapid concentration increase
3 did not occur in the second turn of ST when the cochleostomy was drilled, which is over 6 mm
4 from the cochleostomy site, or in the basal turn when soft surgical techniques were used to
5 make the cochleostomy. *In-vitro* experiments confirmed the marker was intensely stirred by a
6 dental burr, creating complex flow and vortices, rapidly re-distributing the marker a short
7 distance in both directions along the glass tube when the rotating dental burr came into contact
8 with the fluid (Figure 3A). These data therefore show that implantation procedures can have a
9 substantial and immediate influence on drug levels in the basal turn, which could in turn
10 influence functional measurements if drug level plays a critical role in determining functional
11 state. Functional measurements can also be influenced by other factors such as hearing loss
12 associated with the surgical procedures.

13

14 Even though performing the cochleostomy by drilling resulted in a wider drug distribution
15 along ST than using SS techniques, which may be advantageous for expediting the delivery of
16 protective drugs along the cochlea, drilling has several known disadvantages. These include the
17 increased risk of acoustic trauma and the high likelihood of bone dust entering scala tympani,
18 which may be a nidus for more extensive fibrosis and undesired osteoneogenesis. To avoid this,
19 the “ideal” cochleostomy is performed by “blue-lining” the endostium that entails thinning the
20 bone with the burr and then opening the endostium with a pick, as was performed in some of
21 these experiments. In practice, the endostium is often breached and the waist of the drill can
22 enter the scala as we have modeled here. So we conclude that drilling the cochleostomy is not
23 advisable, however inadvertent scalar entry with the drill may increase the reach of protective
24 drugs if they are already present within the inner ear, which could be advantageous if this
25 surgical event occurs.

1

2 Based on our observations from this study, we speculate that surgical drilling could potentially
3 be used to widen the distribution of drug in other otologic surgeries and in particular
4 stapedotomy. Fenestration of the stapes footplate with a micro-drill is a popular technique in
5 stapedotomy, where the technique is analogous to that emulated here; many surgeons will
6 introduce the burr into the stapedotomy to its waist in order to ensure consistency of the size of
7 the fenestra. We have recently demonstrated the efficacy of stapedial drug delivery (King et
8 al., 2011; Salt et al., 2012), so if in the future this route were used to pre-load the vestibule
9 prior to stapes surgery, drill-assisted stapedotomy would be expected to broaden the
10 distribution of drug already present within the vestibular perilymph.

11

12 The act of inserting a cochlear implant electrode into the cochlea did not cause a rapid re-
13 distribution of intracochlear drug in ST. The volume of perilymph displaced during electrode
14 insertion into the basal turn of ST is equivalent to the volume of the electrode. This causes fluid
15 to be expelled from the cochleostomy and possibly through the CA, carrying drug-laden
16 perilymph basalward since there is not an outlet at the apex. It was evident in the *in-vitro*
17 experiments that fluorescein was expelled from the open end of the tube during electrode
18 insertion (in the opposite direction to the movement of the electrode), and the electrode tip
19 passed through the fluorescein/Ringers interface without pushing a bolus of fluorescein in front
20 of it (Figure 3B). Conversely, when the closed end of the tube was perforated and the
21 experiment repeated, fluorescein was pushed in front of the electrode tip during insertion due to
22 the fluorescein being carried with the body of fluid leaking from the distal perforation (data not
23 shown). These experiments demonstrate that bulk longitudinal flow is established toward the

1 perforation site during electrode insertion, and the electrode tip does not push a bolus of drug in
2 front of it when there is no distal perforation present.

3
4 Even though the bulk of the fluorescein was expelled from the open end of the tube during
5 electrode insertion, we observed that a small amount was also being dragged along with the
6 electrode array around its circumference (Figure 3B). This is presumably due to fluid viscosity
7 and surface tension of fluids in small spaces. Other experiments (shown in supplemental video)
8 confirmed the electrode drags fluorescein when the electrode was advanced back and forth
9 through a stream of it. This may become an important consideration if the electrode is inserted,
10 removed, and re-inserted during cochlear implantation, since presumably this would drag drug
11 with it, altering intracochlear drug distribution.

12
13 The ramification of intracochlear drug being expelled with perilymph from the cochleostomy is
14 that there may be lower levels of drug present in the basal turn than anticipated. However it is
15 expected the local drug losses in scala tympani will be partially replenished by radial transfer
16 from scala vestibuli, due to the concentration gradient, when the vestibule is loaded with drug.
17 Our previous studies (King et al., 2011; Salt et al., 2012b) show that in the guinea pig, drug
18 directly enters the oval window, likely through the annular ligament of the stapediovestibular
19 joint, following intratympanic application. This can heavily load the vestibule with drug,
20 making it act like a drug repository. This has also been shown in humans and rats (Zou et al.,
21 2005).

22

1 Both the modelling and measurement in the second turn show that drug levels following local
2 application to the round window niche are substantially lower in the second turn than in the
3 basal turn. This is consistent with prior studies that have shown substantial longitudinal
4 gradients of drug following local applications (Mynatt et al., 2006; Plontke et al, 2007; Plontke
5 et al., 2008). It is also consistent with Chang et al., (2009) who reported that longer waiting
6 times between drug application and implantation achieved the best protection of function
7 (optimal at 2 hours), assessed by ABR in guinea pigs. So even with the presence of drug
8 gradients, therapeutic levels can be achieved in the second turn. There is greater concern if a
9 primary goal of the drug therapy is to help preserve low frequency (apical) sensitivity of the ear
10 following implantation. As drug distribution is dominated by diffusion it may not be possible to
11 achieve therapeutic drug levels in apical regions after short application times. In the human,
12 drug levels reaching apical regions are likely to be even lower than the guinea pig as ST is
13 almost twice the length in the human. It is presently unknown whether drugs must reach the
14 apex to protect low frequency hearing or whether basal applications would be effective. If
15 therapeutic drug levels at the apex are necessary, alternative strategies, such as delivering drugs
16 from the implant itself, may be required.

17

18 The experimental data showed considerable variability in the absolute levels of TMPA found
19 in perilymph following local application to the round window niche. This is due to the high
20 variability of RW membrane permeability which has been reported in prior studies (Salt and
21 Ma, 2001; Mynatt et al., 2006). Intracochlear drug applications would overcome this source of
22 variability.

23

1 This paper demonstrates that the method of performing the cochleostomy influences the
2 distribution of drug present in ST1 more than the insertion of the electrode array. We found
3 that the distribution of drug in ST1 can be expanded by the use of a surgical drill entering the
4 scala, however this is unlikely to assist with the preservation of residual hearing when steroids
5 are used since the disturbance was not observed in the upper cochlear turns where the hair cells
6 used for speech recognition reside. The stirring of perilymph could potentially be used to
7 advantage in other clinical contexts, such as stapedectomy where a protective drug delivered to
8 the oval window could be more widely distributed throughout the vestibule after stapedotomy.

9

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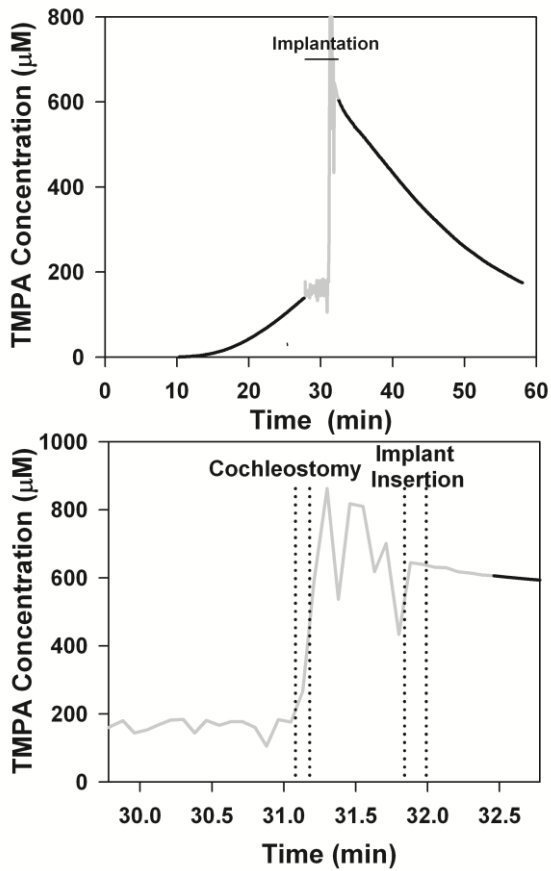
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- 12

1 **Figure 1**



2 **Legends**

3 **Figure 1: Upper panel:** TMPA timecourse recorded from the basal turn of scala tympani

4 following round window irrigation with 20 mM TMPA commencing at zero time, followed by

5 implantation, indicated by the gray, noisy area. The gray, noisy region was when a

6 cochleostomy was drilled and an implant inserted into ST. The noise results from electrical

7 pickup by the high impedance microelectrode due to nearby motion and does not represent

8 actual TMPA concentration change. TMPA irrigation was terminated at the time of

9 implantation, but TMPA solution remained in the RW niche. Following implant insertion the

10 measured TMPA became substantially higher but then declined progressively with time. **Lower**

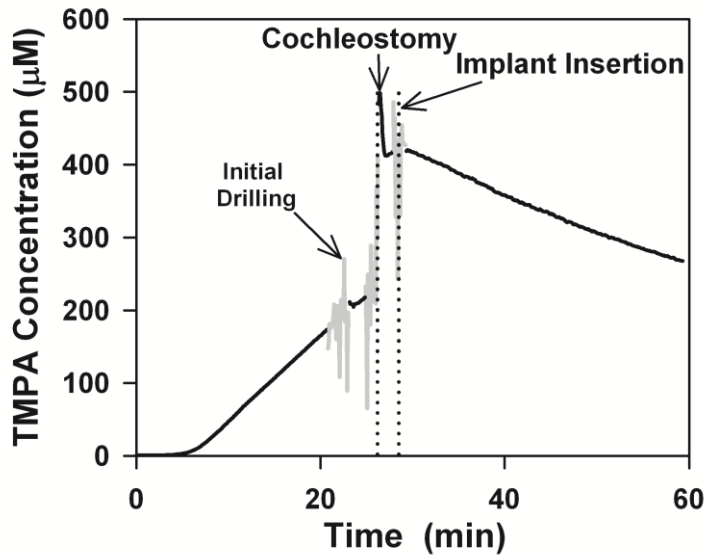
11 **panel:** TMPA concentration during the implantation procedure shown with expanded time

12 scale and with exact event timings derived from audio recordings of the procedure. The lines

13 indicate the start and end of each procedure. Even though the trace is noisy due to movements

1 near the microelectrode, it is apparent that the large increase of concentration is associated with
2 making the cochleostomy, rather than the insertion of the cochlear implant electrode.

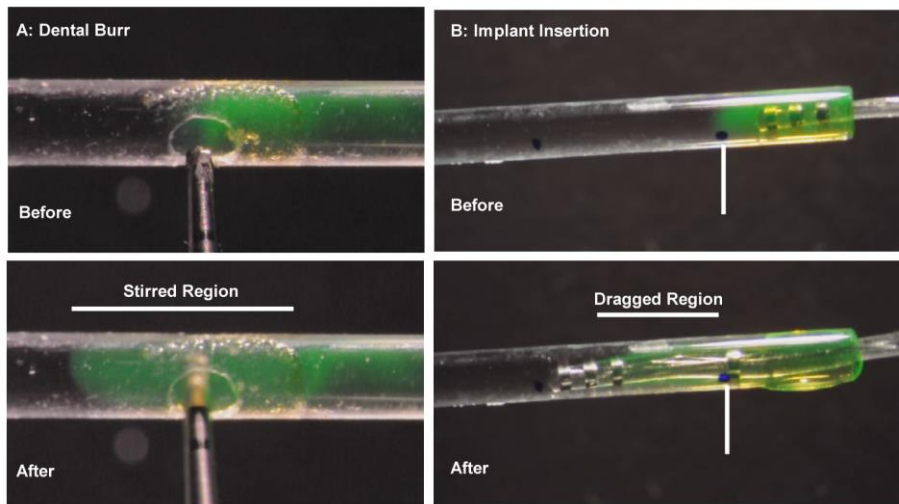
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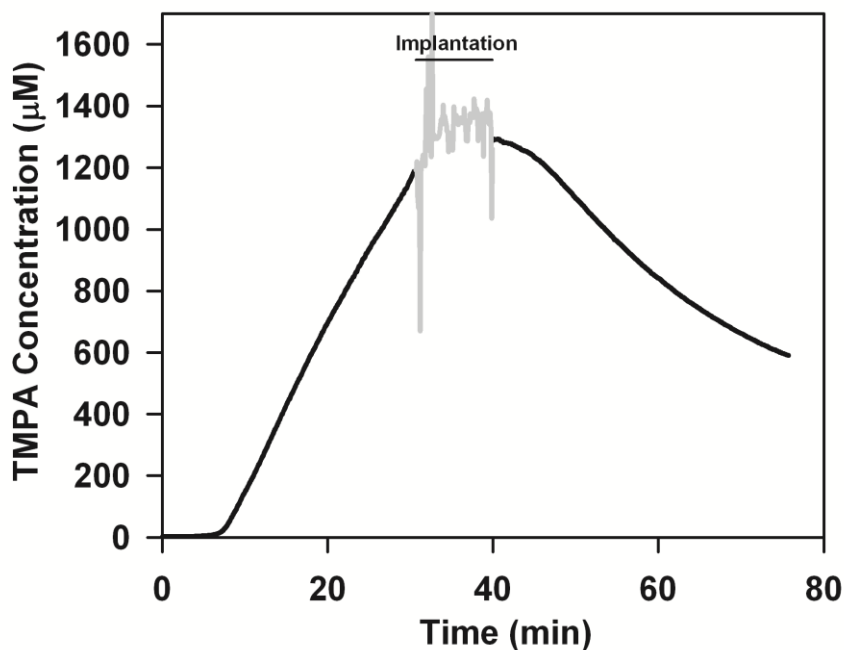
5 **Figure 2:** TMPA time course recorded from scala tympani following round window irrigation
6 with 20 mM TMPA, followed by a drilled cochleostomy and implant insertion separated in
7 time to allow periods of undisturbed TMPA recording. The initial (gray) noisy segment
8 coincides with drilling the bone until perilymph was released, but with the perforation too
9 small for the burr or the implant to enter ST. The second gray segment shows the cochleostomy
10 enlarged sufficiently for implant insertion. It was during this procedure that TMPA
11 concentration increased substantially. Implant insertion had negligible immediate influence on
12 TMPA concentration.

13



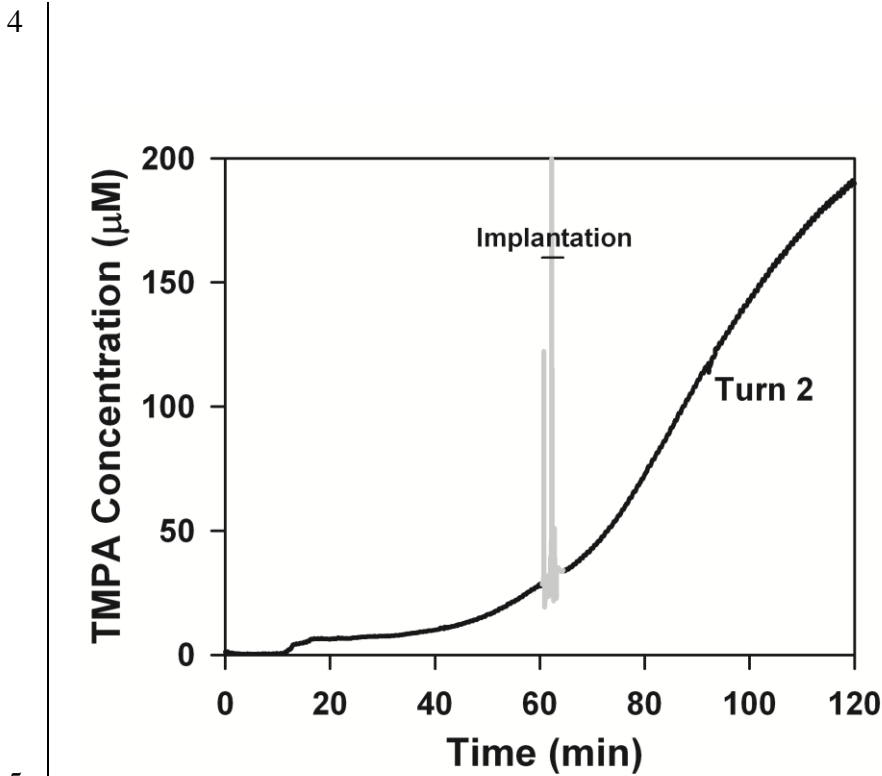
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2 **Figure 3:** Influence of procedures demonstrated with fluorescent dye solution in 0.79 mm
 3 inner diameter glass capillaries filled with lactated Ringer's (LR) solution and part containing
 4 LR with fluorescein. A: Rotating dental burr inserted into the fluid. An entire segment is
 5 rapidly stirred and fluorescent dye distribution becomes homogenous within that segment.
 6 Regions beyond the stirred segment are unaffected. B: Implant insertion into a closed-end tube
 7 in which the only fluid outlet is at the insertion site. Dye solution is not pushed along the tube
 8 by the cochlear implant electrode tip but is dragged to some degree by the electrode body.



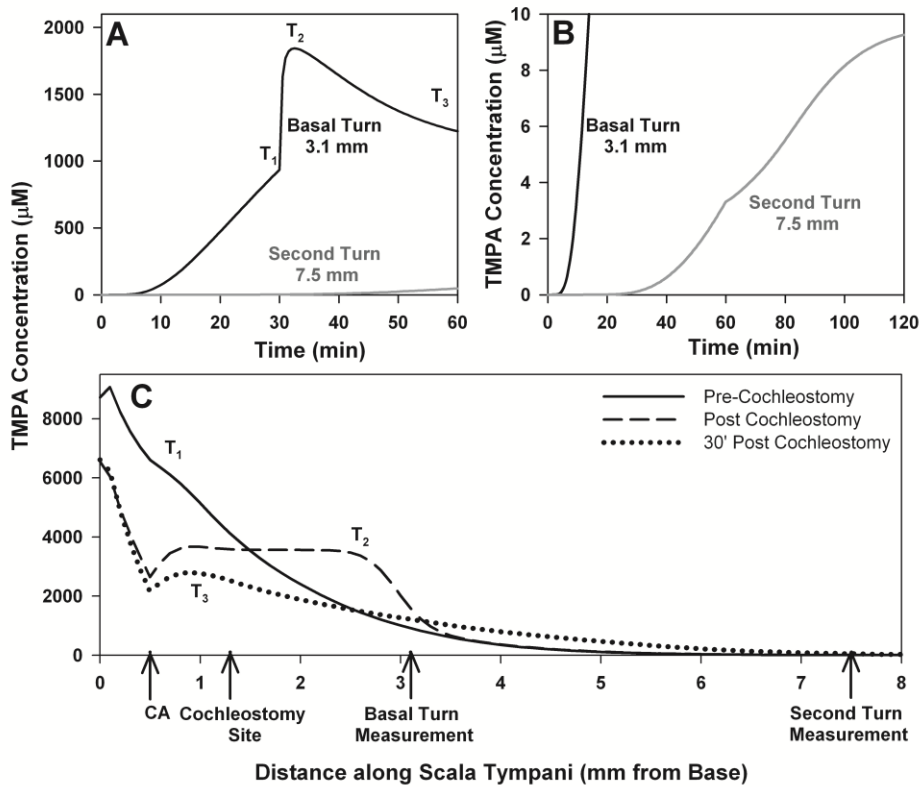
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1 **Figure 4:** TMPA time course recorded from scala tympani following round window irrigation
2 with 20 mM TMPA, followed by cochleostomy performed with soft surgical techniques and
3 implant insertion.



6 **Figure 5:** TMPA time course recorded from the second turn of scala tympani following
7 cochleostomy drilling. As it takes longer for TMPA to reach the second turn, the implantation
8 procedure in this experiment was performed 60 minutes after RW irrigation commenced.
9 Cochleostomy drilling and implantation which would induce changes in the basal turn had only
10 minor influence on TMPA concentration measured in the second turn.

11



1

2 **Figure 6:** TMPA time courses (A and B) and profile with distance along scala tympani (C)
3 calculated with a program that simulates solute movements in the cochlear fluids. T₁, T₂ and T₃
4 show different time points in panel A for which the concentration profile with distance is
5 shown in panel C. Prior to cochleostomy a substantial gradient for TMPA exists along ST
6 (Trace T₁ in panel C). To simulate the fluids stirring resulting from a drilled cochleostomy,
7 solute over the region up to 1.6 mm each side of the cochleostomy location was summed and
8 distributed equally, equivalent to fully mixing the solute in the basal 2.9 mm of ST. As the
9 solution at the measurement site (at 3.1 mm from the base) becomes mixed with higher
10 concentration, more basal solution, concentration measured at that site rapidly rises (Panel A).
11 Panel B shows calculated time courses scaled to see the second turn measurement site and for a
12 simulated cochleostomy occurring at 60 mins after TMPA application commences (comparable
13 to the protocol in Figure 5). CA indicates the location in the model where the cochlear aqueduct
14 enters ST.

