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Irvine, D. R. F. 2018. Auditory perceptual learning and changes in the conceptualization of auditory cortex. *Hearing Research*. **366**: 3-16.

doi: [10.1016/j.heares.2018.03.011](https://doi.org/10.1016/j.heares.2018.03.011)

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**Auditory Perceptual Learning and Changes in the Conceptualization of Auditory Cortex**

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60  
61 **37 Abstract**

62  
63 38 Perceptual learning, improvement in discriminative ability as a consequence of training, is one  
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65 39 of the forms of sensory system plasticity that has driven profound changes in our  
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67 40 conceptualization of sensory cortical function. Psychophysical and neurophysiological studies of  
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69 41 auditory perceptual learning have indicated that the characteristics of the learning, and by  
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71 42 implication the nature of the underlying neural changes, are highly task specific. Some studies in  
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73 43 animals have indicated that recruitment of neurons to the population responding to the training  
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75 44 stimuli, and hence an increase in the so-called cortical “area of representation” of those stimuli,  
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77 45 is the substrate of improved performance, but such changes have not been observed in other  
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79 46 studies. A possible reconciliation of these conflicting results is provided by evidence that  
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81 47 changes in area of representation constitute a transient stage in the processes underlying  
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83 48 perceptual learning. This expansion – renormalization hypothesis is supported by evidence from  
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85 49 studies of the learning of motor skills, another form of procedural learning, but leaves open the  
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87 50 nature of the permanent neural substrate of improved performance. Other studies have suggested  
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89 51 that the substrate might be reduced response variability - a decrease in internal noise.  
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91 52 Neuroimaging studies in humans have also provided compelling evidence that training results in  
92  
93 53 long-term changes in auditory cortical function and in the auditory brainstem frequency-  
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95 54 following response. Musical training provides a valuable model, but the evidence it provides is  
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97 55 qualified by the fact that most such training is multimodal and sensorimotor, and that few of the  
98  
99 56 studies are experimental and allow control over confounding variables. More generally, the  
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101 57 overwhelming majority of experimental studies of the various forms of auditory perceptual  
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103 58 learning have established the co-occurrence of neural and perceptual changes, but have not  
104  
105 59 established that the former are causally related to the latter. Important forms of perceptual  
106  
107 60 learning in humans are those involved in language acquisition and in the improvement in speech  
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109 61 perception performance of post-lingually deaf cochlear implantees over the months following  
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111 62 implantation. The development of a range of auditory training programs has focused interest on  
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119  
120 63 the factors determining the extent to which perceptual learning is specific or generalises to tasks  
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122 64 other than those used in training. The context specificity demonstrated in a number of studies of  
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124 65 perceptual learning suggests a multiplexing model, in which learning relating to a particular  
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126 66 stimulus attribute depends on a subset of the diverse inputs to a given cortical neuron being  
127  
128 67 strengthened, and different subsets being gated by top-down influences. This hypothesis avoids  
129  
130 68 the difficulty of balancing system stability with plasticity, which is a problem for recruitment  
131  
132 69 hypotheses. The characteristics of auditory perceptual learning reflect the fact that auditory  
133  
134 70 cortex forms part of distributed networks that integrate the representation of auditory stimuli  
135  
136 71 with attention, decision, and reward processes.  
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140  
141 73 **Keywords**

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143 74 Frequency discrimination

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145 75 Generalization

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147 76 Musical training

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149 77 Plasticity

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151 78 Stability

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153 79 Synaptic weights  
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179 **80 1. Introduction**

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181 81 As discussed elsewhere (Irvine, 2018), evidence for various forms of plasticity in the primary  
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183 82 auditory cortex (AI) has led to major changes in the way in which auditory cortex (AC) is  
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185 83 conceptualized. In contrast to the traditional view that AI, as the final processing stage of the  
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187 84 “lemniscal line” system, serves simply as a high level auditory analyser, it is now seen as a  
188  
189 85 component of complex distributed networks that integrate the representation of auditory stimuli  
190  
191 86 with attention, decision and reward processes.

192  
193 87 One of the forms of experience that has been shown to influence processing in auditory  
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195 88 and other sensory cortices is practice on tasks involving the detection, discrimination, or  
196  
197 89 identification of sensory stimuli. Improvement in perceptual performance as a result of such  
198  
199 90 practice or training is referred to as perceptual learning. It should be emphasized that the term does  
200  
201 91 not apply to improved performance on associative learning tasks that involve discrimination  
202  
203 92 between two readily discriminable stimuli (as is required in various forms of differential  
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205 93 conditioning). Perceptual learning involves an improvement in sensory performance itself,  
206  
207 94 typically measured by an increase in  $d'$  or in accuracy, or a reduction in threshold or in the  
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209 95 duration of the observation interval needed to make the discrimination.

210  
211 96 The fact that perceptual judgements can be improved by practice/training has, of course,  
212  
213 97 been recognized for many years, and a variety of professions (e.g., wine-tasters, radiologists) have  
214  
215 98 been cited as exemplars. It was also acknowledged in the traditional procedure in psychophysical  
216  
217 99 experiments of using a small number of highly trained participants, often the experimenters  
218  
219 100 themselves. This procedure was adopted to ensure optimal performance of the task used to  
220  
221 101 measure the performance of the sensory system under investigation, and the possibility that  
222  
223 102 sensory processing mechanisms themselves might be modified by such training was not  
224  
225 103 considered. For example, in a classic review of the topic, Gibson (1953) suggested that  
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227 104 improvements in perceptual judgements involved reduction of error in the mapping of response  
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229 105 categories onto the stimulus continuum.  
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238 106 The need to train human and non-human participants on the particular task used to measure  
239  
240 107 perceptual performance gives rise to an important general issue in perceptual learning research:  
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242 108 distinguishing between improved performance attributable to learning the task (commonly termed  
243  
244 109 “procedural” learning) and improvements in perceptual performance itself (see Ortiz and Wright  
245  
246 110 (2009) for a detailed discussion of these issues). Although it has commonly been assumed that the  
247  
248 111 early fast phase of learning on a perceptual learning task is procedural rather than perceptual, this  
249  
250 112 assumption is unwarranted in some cases (e.g., Hawkey et al., 2004). One solution to this problem  
251  
252 113 has been to introduce a preliminary task-practice phase (e.g., Irvine et al., 2000) in which  
253  
254 114 participants are trained on a different sensory discrimination using the same task.  
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257 115 The recent upsurge of interest in perceptual learning was prompted by findings in the  
258  
259 116 1970s and 80s suggesting that some forms of visual perceptual learning (VPL) in fact involve  
260  
261 117 changes in early stages of visual processing (see Sagi (2011) and Watanabe and Sasaki (2015) for  
262  
263 118 reviews). Because of the importance of these studies, and the fact that theoretical developments in  
264  
265 119 the field have been largely driven by VPL research, this review commences with a brief account of  
266  
267 120 the visual literature.  
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## 270 121 **2. Visual perceptual learning and models**

272 122 The surprising finding that prompted renewed interest in VPL was that many forms of such  
273  
274 123 learning were highly specific to the particular attributes of the training stimuli (e.g., orientation,  
275  
276 124 spatial frequency) and/or to the region of the retina to which the stimuli were presented (see Sagi  
277  
278 125 (2011) and Watanabe and Sasaki (2015) for reviews). This specificity led to the view that the most  
279  
280 126 likely site of the underlying changes was at relatively early stages of cortical processing  
281  
282 127 characterized by retinotopy and neuronal selectivity for those attributes (viz., in primary visual  
283  
284 128 cortex (V1)). Such “early-stage” models have been supported by subsequent electrophysiological  
285  
286 129 and imaging studies, although the changes described in V1 have been relatively modest (see  
287  
288 130 Watanabe and Sasaki (2015) for review). For example, Schoups et al. (2001) reported that in  
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290 131 monkeys that were trained and showed marked improvement on an orientation identification task,  
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132 there was no increase in the proportion of neurons tuned to the training orientation, but a change in  
133 the tuning of the neurons that conveyed most information about the training orientation (viz., those  
134 tuned to nearby orientations). In a study by Crist et al. (2001), there was no change in cortical  
135 magnification, receptive field size or orientation tuning in V1 of monkeys trained on a bisection  
136 discrimination task, but the effect of a contextual stimulus placed outside the receptive field  
137 changed with training. An important feature of this study is that the cortical recordings were made  
138 while the animals were performing one of two tasks – the bisection discrimination task or a simple  
139 task requiring them to detect dimming of the fixation dot. The reported changes in neuronal  
140 response characteristics were seen only when the animals were actually performing the bisection  
141 task, an observation that will be considered further below (see Section 9.2). In a number of other  
142 studies of the neural correlates of VPL, much larger changes were seen in higher visual areas than  
143 in V1, in some cases in the absence of any change in V1 itself (e.g., Raiguel et al., 2006; Yang &  
144 Maunsell, 2004), giving rise to what Watanabe and Sasaki (2015) call “mid-stage” models.

145         Although the argument that the specificity of many forms of VPL suggests that the  
146 underlying neural changes occur in sensory cortical representation is compelling, alternative  
147 explanations are possible. The first to point this out were Mollon and Danilova (1996), who  
148 argued that what the subject in a perceptual learning study has to learn is what subset of neural  
149 channels provides the information to support optimal performance. On this argument, specificity  
150 arises because, when the stimulus is changed, the subject has once again to go through the process  
151 of determining the most-informative channels. Neurophysiological evidence in support of such a  
152 model is provided by a study in which monkeys were trained on a task requiring determination of  
153 the direction of visual motion (Law and Gold, 2008). The responses of neurons in the motion-  
154 sensitive medial temporal area did not change with training, but those of neurons in the lateral  
155 intraparietal area did change with training, and the changes reflected the improvements in  
156 behavioral sensitivity. In this situation, learning did not involve a change in the representation of  
157 sensory information in visual cortex, but a change in the way in which the sensory representation

355 was interpreted, a decision process that determined the behavioral response. Psychophysical and  
356  
357 modelling studies have supported the suggestion that VPL reflects changes in the weighting or  
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359 readout of inputs to decision (e.g., Doshier and Lu, 1999), which in turn might involve basing  
360 160  
361 decisions on the activity of a small set of the most informative neurons (e.g., Jacobs, 2009). These  
362 161  
363 proposals, suggesting that perceptual learning involves changes in higher-order cognitive  
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365 processes associated with attention and decision making, constitute what Watanabe and Sasaki  
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367 (2015) have termed “late-stage” models.  
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370 165       It is important to note that although this classification of models suggests that changes take  
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372 place at one level or another, it is entirely possible that changes take place at multiple levels. For  
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374 example, Yan et al. (2014) reported that improvement by monkeys on a task requiring detection of  
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376 camouflaged visual contours involved changes in both early visual processing and readout and  
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378 decision processes. One influential theory of the processes underlying perceptual learning –  
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380 reverse hierarchy theory (Ahissar et al., 2009) – postulates that the critical process is a shift in the  
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382 level of processing on which performance is based.  
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### 385 172 **3. Auditory perceptual learning**

386 173 Auditory perceptual learning by humans has been investigated for a wide range of stimulus  
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388 attributes (viz., frequency, level, temporal interval, interaural level and time disparities) (see  
389 174  
390 Wright and Zhang (2009a) for review). A detailed account of the psychophysical research in the  
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392 area is beyond the scope of this paper, which will focus on studies that directly investigate the  
393 176  
394 nature of the underlying neural, and particularly cortical, mechanisms. However, some  
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396 psychophysical studies have important implications for the nature of these mechanisms, and will  
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398 therefore be considered in the context of the issues on which they bear. One important general  
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400 point emphasised by Wright and Zhang (2009a) is that the characteristics of auditory perceptual  
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402 learning (e.g., its time course, the shape of the learning curve, patterns of across-task and across-  
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404 stimulus generalization) are highly attribute dependent. As they point out, these differences  
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406 suggest differences in the nature of the neural processes contributing to learning on these tasks.  
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415 **184 3.1. Neurophysiological studies in animals**

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417 185 The neurophysiological evidence from animal studies relates mainly to changes at early levels of  
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419 186 processing. The most detailed evidence concerns pure-tone frequency discrimination, and because  
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421 187 this evidence raises some important general and theoretical issues it will be considered in some  
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423 188 detail.

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426 **189 3.1.1. Frequency discrimination**

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428 190 The first evidence on this issue was presented by Recanzone et al. (1993). They trained monkeys  
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430 191 on a frequency discrimination task, and the monkeys' performance improved with training to  
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432 192 generate just noticeable differences that were similar to those reported previously for non-human  
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434 193 primates. Subsequent neurophysiological recordings revealed a massive increase (by a factor of ~7  
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436 194 to ~9, depending on frequency) in the area of AI containing neurons with characteristic frequencies  
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438 195 (CF) in the frequency range used in training (i.e., in the "area of representation" of those  
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440 196 frequencies) compared to control animals or animals trained at other frequencies (Fig. 1A).

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443 197 As noted elsewhere, although the term "area of representation" as defined above has been  
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445 198 widely used as a convenient shorthand term in the plasticity literature, the term carries some  
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447 199 surplus unjustified meaning. It is well established that a given frequency is not represented in AI  
448  
449 200 by activity restricted to neurons with that CF or best frequency (BF); rather, it is represented in a  
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451 201 complex, intensity-dependent fashion across a population of neurons that respond to that  
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453 202 frequency (e.g., Phillips et al., 1994). Despite this caveat, the term will be used here, partly  
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455 203 because it is widely used in the literature under review, and partly because it is difficult to come  
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457 204 up with an alternative term that isn't excessively unwieldy.

458  
459 205 FIG 1 ABOUT HERE

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462 206 Cortical recruitment resulting in an enlarged representation of a given frequency range  
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464 207 must presumably occur at the expense of the representation of adjacent frequency ranges. If the  
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466 208 increased representation is the substrate of improved performance, then a decreased area would  
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468 209 presumably result in a decrement in performance. Recanzone et al. (1993) presented evidence in

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474 210 support of this. Most of their animals were trained to detect increments in frequency (i.e.,  $+\Delta F$ ),  
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476 211 but in one monkey they interpolated trials with a frequency decrement ( $-\Delta F$ ). The psychophysical  
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478 212 data for this animal indicated that improvement on the  $+\Delta F$  task was associated with a decrease in  
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480 213 performance on the  $-\Delta F$  task, and subsequent recordings from the AI indicated that there was no  
481  
482 214 area with CFs in the  $-\Delta F$  frequency testing range.

484 215         Enlargement of the representational area in the AI was also reported by Polley *et al.* (2006),  
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486 216 who trained rats in an operant task to identify a target tonal stimulus, defined by either a particular  
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488 217 frequency or a particular sound pressure level (SPL), from a set of distracter stimuli varying in  
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490 218 frequency and SPL. An ingenious feature of this study was that the groups of rats trained on the  
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492 219 frequency and level discrimination tasks received the same distracter stimuli and therefore had almost  
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494 220 identical stimulus exposure, but were required to learn discriminations based on different stimulus  
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496 221 characteristics. For both groups, discrimination thresholds decreased over the course of training. In  
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498 222 rats trained on the frequency discrimination task, the area of representation of frequencies around the  
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500 223 target frequency (viz. 5 kHz) in AI was found to be enlarged (by a factor of  $\sim 2$ ) (Fig. 1B), but there  
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502 224 was no change in responses to SPL, whereas in rats trained on the level discrimination task the  
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504 225 relative area containing neurons with best level (viz. the level eliciting the maximum response) close  
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506 226 to the target level (viz. 35 dB) was enlarged, but there was no change in frequency responses. The  
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508 227 different patterns of results in groups that received the same stimuli but learned different detection  
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510 228 tasks demonstrate the importance of top-down influences on perceptual learning.

514 229         In contrast to the results of these two studies, Brown *et al.* (2004) found no change in the  
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516 230 frequency organization of AI in cats that were trained and showed perceptual learning on a frequency  
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518 231 discrimination task. The area of representation of the training frequencies, defined in terms of either  
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520 232 CF, BF, or the frequency-intensity combinations used in training, did not differ between trained and  
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522 233 control animals (Fig. 1C). However, there was a tendency for neurons with CF at and above the  
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524 234 training frequency to have slightly broader tuning and shorter latency in the trained cats than in  
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526 235 controls. The cats in this study were trained on a  $+\Delta F$  discrimination task, but small blocks of  $-\Delta F$

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236 trials were interpolated during training, and  $-\Delta F$  thresholds decreased in parallel with  $+\Delta F$   
237 thresholds.

238 Yet another pattern of results was reported by Witte and Kipke (2005), who recorded  
239 chronically from AI of cats in the course of frequency discrimination training, using implanted  
240 microwires. They reported *decreases* in the summed response of auditory cortical neurons in AI (and  
241 in the anterior auditory field) to the training frequencies, and in the number of neurons with CF in that  
242 range. The summed response at frequencies below the training frequencies increased, such that there  
243 was a local minimum in the response at the training frequencies, a pattern of change reminiscent of  
244 that observed by Ohl and Scheich (1996) in a differential conditioning study.

245 Talwar and Gerstein's (2001) finding that the enlarged representation of a particular  
246 frequency range in rat AI produced by intracortical microstimulation was not associated with  
247 improved frequency discrimination at those frequencies has sometimes been interpreted as indicating  
248 that an enlarged cortical representation is not the substrate of improved frequency discrimination.  
249 However, this argument is weakened by the consideration that enlarged auditory cortical  
250 representations produced by different procedures can reflect different mechanisms. For example, the  
251 enlarged representation of lesion edge-frequencies associated with restricted cochlear lesions is not  
252 dependent on basal forebrain cholinergic input (Kamke et al., 2005) and is apparently the result of  
253 homeostatic plasticity mechanisms (see Irvine (2018) for review). In contrast pairing stimulation of  
254 the cholinergic basal forebrain with a tonal stimulus results in an enlarged representation of that  
255 frequency (see Metherate (2011) for review). The change in cortical circuitry associated with the  
256 increase in representational area produced by intracortical microstimulation could therefore be of a  
257 type that would not support improved frequency discrimination performance. This argument is  
258 supported by Han et al.'s (2007) report that the enlarged representation of exposure frequencies in  
259 rats reared in a single-frequency tonal environment was associated with impaired, rather than  
260 improved, discrimination of the over-represented frequencies.

591 261 The very different patterns of results in the four major studies reviewed above might be  
592 262 explicable in terms of the numerous procedural differences between them. They differ in the species  
593 263 studied, the nature of the frequency discrimination tasks and conditioning procedures, and the  
594 264 measurement and analytic procedures (both psychophysical and neurophysiological) employed. It  
595 265 is now well established that the changes in the spectral sensitivity of AI neurons produced by  
596 266 classical and instrumental conditioning with acoustic stimuli depend critically on a range of task  
597 267 characteristics (e.g., the nature of the task itself, the reinforcement contingencies, the strategy  
598 268 employed by the animal) (see Irvine (2018) for review). It would therefore not be surprising if the  
599 269 changes associated with perceptual learning were to vary in a similar fashion with task variables, as  
600 270 suggested by Wright and Zhang (2009a).

601 271 Nevertheless, there are some other lines of evidence that bear on the nature of the changes  
602 272 that are likely to underlie improvements in frequency discrimination. One is provided by human  
603 273 psychophysical studies of perceptual learning on such tasks. In the overwhelming majority of these  
604 274 studies, substantial generalization of learning from trained to untrained frequencies has been  
605 275 reported (see Wright and Zhang (2009b) for review). The consistent finding of across-frequency  
606 276 generalization suggests that it is unlikely that the substrate of the learning is changes restricted to  
607 277 neurons tuned to the training frequency or frequency range. Furthermore, as noted above, an  
608 278 increase in the representation of the training frequencies must occur at the expense of the  
609 279 representation of other frequencies, and thus implies the loss of discriminative ability at those other  
610 280 frequencies. The evidence on generalization indicates that this is not the case. Even when  
611 281 immediately adjacent frequency ranges are considered, humans show generalization from a  $+\Delta F$  to a  
612 282  $-\Delta F$  discrimination task (Irvine et al., 2005), as did the cats in the Brown et al. (2004) study.

613 283 There is also psychophysical and neurophysiological evidence suggesting that the  
614 284 mechanisms of auditory perceptual learning might involve changes other than those in in sensory  
615 285 representation *per se*. Jones et al. (2013) presented evidence that improvement by humans trained  
616 286 on a frequency discrimination task was best modelled as a decrease in the internal noise, and

650  
651 287 Micheyl et al. (2009) have argued that a reduction in internal noise can explain the surprising  
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653 288 finding of improvement in frequency discrimination thresholds after training with physically  
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655 289 identical tones (Amitay et al., 2006). In a neurophysiological study of perceptual learning by  
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657 290 gerbils on an amplitude modulation (AM) detection task, von Trapp et al. (2016) recorded from core  
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659 291 AC (specific fields not specified) after AM detection thresholds had reached asymptotic levels.  
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661 292 Neural sensitivity to AM was improved when animals were performing the task, compared to when  
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663 293 they were presented with the same stimuli when sitting quietly, and the neural correlate of improved  
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665 294 performance was a decrease in response variability (equivalent to a reduction in internal noise) rather  
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667 295 than in neural tuning. It is not clear whether this change was the substrate of improved performance  
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669 296 or simply a correlate of task performance, but the data nevertheless point to the importance of  
670  
671 297 changes in response variability. Further support for the importance of internal noise is provided by  
672  
673 298 the finding that performance of a tone-detection task by gerbils was associated with a reduction in  
674  
675 299 auditory cortical spontaneous activity (internal noise) (Buran et al., 2014). Reduction in internal  
676  
677 300 noise has been identified by Doshier and Lu (1999, 2017) as a major factor in VPL.

680 301 Two other issues relating to the issue of whether perceptual learning involves an increase in  
681  
682 302 the area of cortical representation remain to be considered. One is the suggestion by Reed et al.  
683  
684 303 (2011) that such expansion occurs in the course of training and enables the changes in circuitry that  
685  
686 304 underlie improved performance, but that once these changes have occurred the representation  
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688 305 renormalizes. This “expansion – renormalization” model was based on their finding that improved  
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690 306 frequency discrimination was associated with an increase in AI representational area in rats that were  
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692 307 trained on a frequency discrimination task or received paired tone – nucleus basalis (NB) stimulation,  
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694 308 but the increase in representational area subsequently reversed without any decrement in  
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696 309 discrimination performance. The notion that changes in sensory cortex during perceptual learning  
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698 310 constitute a temporary phase that is necessary for learning but are not the substrate of improved  
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700 311 performance has some support from other systems. Yotsumoto et al. (2008) trained human  
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702 312 subjects on a visual texture discrimination task and scanned participants at various stages during  
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710 313 training. In two different experiments, relative BOLD activation in V1 increased in the region  
711  
712 314 corresponding to the trained location as performance improved, but then decreased to pre-test  
713  
714 315 levels while performance remained at a high level. It is also important to note, however, that the  
715  
716 316 activated region size in V1 did not expand with training (i.e., there was no change in  
717  
718 317 representational area). There is also evidence from motor learning for transient increases in  
719  
720 318 activity and/or activated area (see Section 6).

722 319 The expansion – renormalization model suggests that the differences in the results of the four  
723  
724 320 studies of frequency discrimination discussed above might be attributable to differences in the time  
725  
726 321 during training at which the cortical measures were obtained. However, the fact that Brown et al.  
727  
728 (2004) observed progressive improvements in discrimination at non-trained frequencies (including  
729  
730 323 the  $-\Delta F$  testing range) when these were tested intermittently in the course of training would argue  
731  
732 324 against the occurrence of an expanded representation of training frequencies (and concomitant loss of  
733  
734 325 representation of non-trained frequencies) in the course of training. Furthermore, the fact that Witte  
735  
736 326 and Kipke (2011), who recorded cortical activity during, rather than after, training, failed to see an  
737  
738 327 increase in area indicates that learning on their task did not involve such a change. It should also  
739  
740 328 be noted that if expansion-renormalization occurs on some tasks, the nature of the permanent  
741  
742 329 circuit changes that constitute the substrate of subsequent improved performance on those tasks  
743  
744 330 remains to be determined (see Section 9.2).

748 331 A final and more general issue concerning the proposal that an increased area of  
749  
750 332 representation is the substrate of perceptual learning relates to the “stability-plasticity dilemma”  
751  
752 333 which arises for all (biological and artificial) learning systems (Mermillod et al., 2013). It concerns  
753  
754 334 the problem of preventing the learning of new tasks from distorting the neural representation of  
755  
756 335 previously learned tasks. In neural networks, in which learning involves changes in synaptic  
757  
758 336 weights, learning a new task results in “catastrophic forgetting” when connection weights in the  
759  
760 337 network are changed (Kirkpatrick et al, 2017). In contrast, human and non-human animals are able  
761  
762 338 to learn multiple tasks sequentially, without such forgetting. Given that recruitment, and the  
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764  
765  
766  
767

768  
769 339 consequent enlargement of cortical representation, implies that improvement on one task  
770  
771 340 necessarily involves a loss of ability on other tasks, it is unlikely to be a general mechanism of  
772  
773 341 perceptual (or other) learning. This issue is considered further in Section 9.2.  
774  
775

### 776 342 **3.1.2. Other stimulus dimensions**

777  
778 343 Changes in auditory cortical activity have also been described in association with perceptual  
779  
780 344 learning on other stimulus attributes, and some of these studies have important implications for the  
781  
782 345 nature of the changes underlying perceptual learning.  
783

784 346 FIG 2 ABOUT HERE

786 347 In two recent studies of perceptual learning by gerbils on an AM detection task, evidence has  
787  
788 348 been found that the neural changes associated with perceptual learning are specific to the behavioural  
789  
790 349 context. As described above, von Trapp et al. (2016) reported that neural sensitivity to AM was  
791  
792 350 improved during task performance. A similar context specificity was reported by Caras and Sanes  
793  
794 351 (2017): improvements in core AC neural sensitivity to AM (as measured by neurometric functions)  
795  
796 352 were large during task performance and were correlated with improvements in behavioral sensitivity  
797  
798 353 (Fig. 2 A, B). In contrast, neural changes during “disengaged” listening (when the animals were not  
799  
800 354 performing the task) were much smaller, though statistically significant (Fig. 2C). The authors argue  
801  
802 355 that the small changes in the disengaged state reflect bottom-up changes in neural processing of AM,  
803  
804 356 while the larger changes in the engaged state reflect top-down modulation of AC activity. These  
805  
806 357 demonstrations of context specificity are reminiscent of Crist et al.’s (2001) finding (discussed in  
807  
808 358 Section 2) that neural changes in V1 of monkeys trained on a bisection discrimination task were  
809  
810 359 seen only when the animals were actually performing the task. Together these studies suggest that  
811  
812 360 the substrate of perceptual learning is not a permanent change in cortical circuitry, but a change  
813  
814 361 that is gated in a task- or context-dependent fashion (see Section 9.2 for further discussion).  
815  
816  
817

818 362 Evidence suggesting task-specificity of the changes underlying perceptual learning is also  
819  
820 363 provided by psychophysical studies of learning on sound localization tasks (see Wright and Zhang  
821  
822 364 (2006) for review). Hofman et al. (1998) reported that vertical localization by adult human  
823  
824  
825  
826

827  
828 365 subjects was massively disrupted immediately after insertion of bilateral moulds that modified the  
829  
830 366 spectral shape cues to sound source elevation, but improved greatly over 3–6 weeks experience  
831  
832 367 with the moulds (Fig. 3). Remarkably, however, participants were immediately able to perform at  
833  
834 368 the levels exhibited prior to the experiment when the moulds were removed (points marked C in  
835  
836 369 Fig. 3). This finding suggests that experience with the moulds resulted in a new representation of  
837  
838 370 auditory space (or the mapping of the head-related transfer function onto auditory space), but that  
839  
840 371 the old and the new representation coexisted and the subject could switch rapidly between them.

842 372 FIG 3 ABOUT HERE

844  
845 373 A similar rapid switching between auditory spatial representations or cues is suggested by  
846  
847 374 studies in which animals and humans learned to localize sounds in the azimuth with one ear  
848  
849 375 occluded. Kumpik et al. (2010) reported that insertion of an ear-plug in human participants  
850  
851 376 produced a marked decrement in localization performance, but 7-8 days of training with the  
852  
853 377 earplug in place resulted in marked improvement as participants learned to assign greater weight  
854  
855 378 to monaural spectral cues. Immediately after removal of the earplug, when localization again  
856  
857 379 depended primarily on interaural disparity cues, participants performed at normal levels. In a  
858  
859 380 similar study of the effects of ear-plugging on azimuthal localization by ferrets, learning to  
860  
861 381 localize with the earplug in place was associated with a brief but transient bias when the plug was  
862  
863 382 removed, and re-plugging had a much less disruptive effect on performance than the initial  
864  
865 383 plugging (Kacelnik et al., 2006). These authors discuss their results in terms of reweighting of  
866  
867 384 different localization cues, a process akin to that postulated by Mollon and Danilova (1996),  
868  
869 385 except that the immediacy of the shifts when the cues are restored suggests, as did Hofman et al.'s  
870  
871 386 results, that both weighting systems are retained. All three studies indicate that the learning  
872  
873 387 associated with changed localization cues does not involve a permanent change in sensory  
874  
875 388 processing circuitry.

878  
879 389 Changes in AI response characteristics have been reported as correlates of perceptual  
880  
881 390 learning on a number of other stimulus dimensions. As noted above, Polley et al. (2006) reported

886  
887 391 that in rats trained on a level discrimination task the relative area containing neurons with best level  
888  
889 392 close to the target level was enlarged. Keeling et al. (2008) trained cats to discriminate differences  
890  
891 393 between vowel-like spectra; in cats that learned the task, improvement in discrimination thresholds  
892  
893 394 was associated with narrowing of the transfer functions for spectral envelope frequencies and  
894  
895 395 narrowing of pure-tone tuning curves in AI. Improved temporal responses in AI of rats trained in a  
896  
897 396 “sound maze” in which approach to reward was signalled by an increase in the repetition rate of noise  
898  
899 397 pulses has also been described in terms of perceptual learning (Bao et al., 2004). However, although  
900  
901 398 the rats’ performance on the maze improved, no evidence was presented for improvements in their  
902  
903 399 ability to detect or discriminate between different repetition rates. Thus, although this study provides  
904  
905 400 compelling evidence of learning-related plasticity in AC temporal processing mechanisms, it is not  
906  
907 401 clear that this plasticity was associated with perceptual learning as defined in Section 1.  
908  
909  
910

### 911 402 **3.2. Imaging studies in humans**

912  
913 403 Changes in auditory cortical (and subcortical) activity in humans associated with perceptual learning  
914  
915 404 have been investigated using a range of electrophysiological and imaging techniques. In addition to  
916  
917 405 experimental studies of learning on a range of psychophysical tasks, the effects of musical training  
918  
919 406 have emerged as a valuable model of auditory learning (see Herholz and Zatorre (2012) and Strait  
920  
921 407 and Kraus (2014) for reviews).  
922

#### 923 924 408 **3.2.1. Standard psychoacoustic tasks**

925  
926 409 Changes in AC event-related potentials (ERPs) measured by either electro-or magneto-  
927  
928  
929 410 encephalography have been reported in association with perceptual learning on a range of tasks,  
930  
931 411 including pure-tone frequency and more complex pitch and vowel discrimination tasks. The most  
932  
933 412 commonly reported effect of training is an increase in the amplitude and a decrease in the latency  
934  
935 413 of early response components (those with latencies in the 100- to 200-ms range) (e.g., Bosnyak et  
936  
937 414 al., 2005; Carcagno and Plack, 2011b; Reinke et al., 2003; Tong et al., 2009; van Wassenhove and  
938  
939 415 Nagarajan, 2007). In most of these studies, training took place over a number of days (or weeks), but  
940  
941 416 Alain et al. (2007) reported increases in early evoked responses (and in performance) in the first hour  
942  
943  
944

945 417 of training on a vowel identification task. Most of these studies did not use an untrained control group  
946  
947  
948 418 however, and Sheehan et al. (2005), who also saw enhancement of the P2 component of the ERP in  
949  
950 419 an untrained control group, have suggested that this particular enhancement might reflect exposure to  
951  
952 420 the stimulus rather than learning *per se*.

954 421 There have also been reports of decreases in the amplitude of ERP response components  
955  
956 422 (Ben-David et al., 2011; Cansino and Williamson, 1997) and in hemodynamic responses in the  
957  
958 423 AC (Jäncke et al., 2001) in association with auditory perceptual learning. As with the animal  
959  
960 424 perceptual learning (and behavioral conditioning) data, it is likely that the specific pattern of  
961  
962 425 response changes observed depends on details of the task and its attentional demands.

965 426 It should also be noted that the precise nature of the neural changes underlying changes in  
966  
967 427 ERPs cannot be determined with certainty – the changes reported in these studies could reflect  
968  
969 428 changes in synchrony and/or in the number and/or the response strength of activated neurons.  
970  
971 429 Furthermore, the particular cortical field or fields in which the changes occur cannot be specified  
972  
973 430 with certainty, because the response components that exhibit changes have multiple generators,  
974  
975 431 including (but not restricted to) primary and belt areas of AC.

977 432 Although most studies of the neural correlates of auditory perceptual learning have  
978  
979 433 concentrated on the cortex, there is also substantial evidence for changes in the brainstem. Increases  
980  
981 434 in the strength and the accuracy of pitch encoding in the brainstem frequency-following response  
982  
983 435 (FFR) have been reported in association with perceptual learning on pitch discrimination and speech-  
984  
985 436 in-noise tasks (e.g., Carcagno & Plack, 2011a; Song et al., 2008, 2012). The observed changes in  
986  
987 437 the FFR could reflect changes intrinsic to brainstem and midbrain structures, cortical contributions  
988  
989 438 to the FFR (Coffey et al., 2017; Tichko and Skoe, 2017), and/or corticofugal modulation of  
990  
991 439 brainstem and midbrain generators. Compelling evidence for the involvement of efferent systems  
992  
993 440 in auditory perceptual learning is provided by the fact that increases in medial olivocochlear  
994  
995 441 bundle activity have been reported in association with improved performance on a speech-in-noise  
996  
997 442 discrimination task (de Boer and Thornton, 2008).

### 3.2.2. Musical training.

Musical training has emerged as a valuable model for the study of learning-related plasticity, but the information it provides on auditory perceptual learning is qualified by two considerations. One is that it almost always involves the acquisition of a sensori-motor rather than a purely perceptual skill, and the sensory components are typically multimodal (auditory, visual and somatosensory). The second is that, other than in (rare) purely experimental studies, there is no way of carrying out the control procedures necessary to separate the effects of learning from the effects of exposure to the acoustic stimuli involved in the training.

The early studies in this area were almost all cross-sectional, comparing groups of trained musicians or musicians at various stages of training with non-musicians. These studies demonstrated both structural and functional differences between the ACs of musicians and non-musicians, viz., greater grey matter volume (right-lateralized) and cortical thickness, greater amplitude of auditory evoked responses to musical tones, and stronger functional connectivity at rest between auditory and premotor cortex, in the former (see Herholz and Zatorre (2012), Palomar-García et al. (2017), Pantev and Herholz (2011), and Zatorre et al. (2012) for reviews). Musical training is also associated with stronger FFRs to spectrally complex sounds (e.g., speech sound harmonics; see Strait and Kraus (2014) for review) (Fig. 4). These data are compelling, but their interpretation is qualified by the fact that they are correlational, and that the direction of causality cannot be determined. It might be that people with these characteristics are attracted to musical careers, rather than that the musical training produces the changes. A recent study of monozygotic twins discordant for musical training (piano practice) revealed greater cortical thickness in the auditory-motor network of the left hemisphere in the musically active twins (de Manzano and Ullén, 2018), indicating that at least some structural differences are attributable to the training rather than to genetic predisposition. The fact that the magnitude of the difference between musicians and non-musicians in the FFR increases with age (Fig. 4) also suggests that duration of training is the critical factor. Finally, the enhancement of evoked responses can be

1063  
1064 469 specific to the timbre of the musician's specific instrument (e.g., Pantev et al., 2001), an  
1065  
1066 470 observation that makes an interpretation in terms of predisposition less likely, but does not rule it  
1067  
1068 471 out.

1070 472 FIG 4 ABOUT HERE

1072 473 Many of the apparent effects of musical training on brain structure and on cortical and  
1073  
1074 474 brainstem responses reported in cross-sectional studies have been confirmed in longitudinal  
1075  
1076 475 studies, the majority of which have used children participating in various musical training  
1077  
1078 476 programs, and therefore describe changes that reflect developmental plasticity (e.g., Fujioka et al.,  
1079  
1080 477 2006; Hyde et al., 2009; Habibi et al., 2016, 2017; Tierney et al., 2013, 2015) Although such  
1081  
1082 478 longitudinal studies provide stronger evidence for the dependence of the observed changes on  
1083  
1084 479 training, they do not allow control for the effects of exposure to the stimuli encountered in the  
1085  
1086 480 course of training.

1089 481 Experimental studies of musical training make it possible to include such controls, but  
1090  
1091 482 there appears to have been only one study in which this has been done. Lappe et al. (2008) trained  
1092  
1093 483 a group of non-musicians over two weeks to play a musical sequence on the piano; a control group  
1094  
1095 484 listened to and made judgements about the music that had been played by participants in the  
1096  
1097 485 experimental group. Both groups showed improved discrimination of errors in the chord  
1098  
1099 486 progression used for training, but the training group showed larger changes in the  
1100  
1101 487 magnetoencephalographically-recorded mismatch negativity

1104 488 Two other experimental studies of musical training have generated results of interest with  
1105  
1106 489 respect to both the neural consequences of such training and the neural correlates of a  
1107  
1108 490 predisposition to benefit from training. Zatorre et al. (2012a) trained adult non-musicians on a  
1109  
1110 491 micromelody discrimination task (i.e., on a purely sensory musical task); the participants'  
1111  
1112 492 performance improved with training and the improvement generalized to untrained frequencies.  
1113  
1114 493 Functional magnetic resonance imaging (fMRI) revealed that training resulted in a *decrease* in the  
1115  
1116 494 extent to which AC activity was modulated by the size of pitch intervals, and in an overall

1122  
1123 495 decrease in superior temporal gyrus responses to all stimuli and increased activity in a region  
1124  
1125 496 within the right dorsolateral frontal cortex. The authors interpreted the decreased AC activity in  
1126  
1127 497 terms of more efficient encoding within regions sensitive to pitch patterns, such that fewer neural  
1128  
1129 498 resources were required to process the same information as a consequence of training. This  
1130  
1131 499 proposed mechanism is in marked contrast to the recruitment models considered in previous  
1132  
1133 500 sections. Zatorre et al. (2012a) also reported that AC activity reflected a predisposition to benefit  
1134  
1135 501 from training: individuals with greater pre-training cortical sensitivity to the size of pitch intervals  
1136  
1137 502 learned more rapidly. Further fMRI evidence for activity reflecting a predisposition for learning is  
1138  
1139 503 provided by Herholz et al.'s (2016) report that pre-training activity in right AC and right  
1140  
1141 504 hippocampus predicted the subsequent learning rate of non-musicians who received six weeks of  
1142  
1143 505 piano training. That training resulted in increases in melody-evoked activity in premotor and  
1144  
1145 506 fronto-parietal cortex and in the cerebellum, which were interpreted in terms of storage of  
1146  
1147 507 auditory-motor associations, but no changes in auditory regions. In providing evidence of AC  
1148  
1149 508 neural correlates of a predisposition to benefit from musical training, these studies also support the  
1150  
1151 509 concern that predisposition is a confounding factor in longitudinal studies of the effects of such  
1152  
1153 510 training.

1156  
1157 511         Given the critical role of pitch in most forms of music, it is not surprising that trained  
1158  
1159 512 musicians exhibit superior pitch discrimination (e.g., Micheyl et al., 2006) and more robust  
1160  
1161 513 encoding of linguistic pitch patterns in the FFR (e.g., Wong et al., 2007) than non-musicians. It is  
1162  
1163 514 less clear whether the advantages of musical training generalize to tasks that are less closely  
1164  
1165 515 related to music. Parbery-Clark et al. (2011) reported better speech-in-noise perception in  
1166  
1167 516 musically-trained listeners, a finding confirmed in a longitudinal study by Slater et al. (2015).  
1168  
1169 517 However, this finding was not confirmed by Ruggles et al. (2014) or Boebinger et al. (2015).  
1170  
1171 518 Zendel and Alain (2012) saw a difference only in older listeners, suggesting that musical training  
1172  
1173 519 might reduce age-related decline in the central processing mechanisms involved in this important  
1174  
1175 520 aspect of speech perception.

1181  
1182 521 In summary, the musical training literature has provided a wealth of evidence on plasticity  
1183  
1184 522 in auditory cortical and subcortical structures and in auditory-motor networks. However, the  
1185  
1186 523 information this research has provided on auditory perceptual learning *per se* and its mechanisms  
1187  
1188 524 is qualified by the fact that most training is multimodal and sensori-motor in nature, and by the  
1189  
1190 525 relative paucity of experimental studies allowing the control of confounding variables. The wide  
1191  
1192 526 range of changes observed in AC as a result of musical training, like that seen in experimental  
1193  
1194 527 studies of auditory perceptual learning in animals, suggests that the nature of the underlying  
1195  
1196 528 changes depends critically on a number of task-related variables.

#### 1199 529 **4. Perceptual learning and language acquisition**

1200  
1201 530 Perhaps the most remarkable form of developmental auditory perceptual learning is the process by  
1202  
1203 531 which human infants learn to perceive the phonetic units of speech. At birth, infants have the  
1204  
1205 532 capacity to detect the phonetic contrasts in all languages, but in the first year of life their ability to  
1206  
1207 533 discriminate native language contrasts increases, while the ability to perceive contrasts in non-  
1208  
1209 534 native language decreases (see Kuhl and Rivera-Gaxiola (2008) for review) This aspect of what  
1210  
1211 535 has been termed native language neural commitment (Kuhl, 2004) is reflected in differences in  
1212  
1213 536 ERPs in response to native and non-native contrasts in infants at 7.5 months of age (Kuhl et al.,  
1214  
1215 537 2008). Early native-language phonetic learning is also reflected in the FFR in adults (Intartaglia et  
1216  
1217 538 al., 2016).

1220 539 Learning phonetic contrasts in a non-native language as an adult is also, of course, an  
1221  
1222 540 instance of auditory perceptual learning. Such learning is associated with changes in activity in  
1223  
1224 541 auditory and language cortical areas (e.g., Golestani, and Zatorre, 2004), and features of AC  
1225  
1226 542 anatomy predict the ability to learn non-native language contrasts (Golestani et al. 2007).

#### 1229 543 **5. Perceptual learning and auditory prostheses**

1230  
1231 544 A clinically important example of brain plasticity is provided by the improvements in the speech perception  
1232  
1233 545 performance of post-lingually deaf cochlear implantees over the months and years following implantation  
1234  
1235 546 (e.g., Tyler et al. 1997; Fu and Galvin, 2007). Plasticity in a number of hearing- and language-related

1240  
1241 547 networks undoubtedly contributes to this improvement, including the effects of reactivation of the  
1242  
1243 548 auditory pathway by intracochlear electrical stimulation (see Fallon et al. (2008) for review). In  
1244  
1245 549 both adult (e.g., Pantev et al., 2006; Sandmann et al., 2015) and child (see Kral and Sharma (2012)  
1246  
1247 550 for review) implantees, auditory cortical evoked responses increase in amplitude, decrease in  
1248  
1249 551 latency, and achieve normal or near-normal configurations over months following the implant  
1250  
1251 552 being switched on. The implantee's semantic and lexical knowledge is also critical to  
1252  
1253 553 interpretation of the degraded input provided by the implant. In a clinical context of this sort, it is  
1254  
1255 554 difficult to separate the contributions of the various factors, but the likely contribution of  
1256  
1257 555 perceptual learning was recognized early (Watson, 1991), and is supported by the success of post-  
1258  
1259 556 implantation training regimes (e.g., Fu and Galvin, 2007). However, the extent to which these  
1260  
1261 557 benefits reflect improved auditory perception or improved language-related cognitive skills is  
1262  
1263 558 unclear. Moore and Shannon (2009) have argued that training to use the information provided by  
1264  
1265 559 an implant may be as important as further improvements in implant technology.

1268 560         There have been numerous studies of the effects of computer-based auditory training on  
1269  
1270 561 speech perception and related auditory abilities in adults with age-related hearing loss (many of  
1271  
1272 562 them hearing-aid users). Although the quality of many of these studies was questioned in a  
1273  
1274 563 systematic review of this literature (Henshaw and Ferguson, 2013), benefits have been  
1275  
1276 564 documented in a number of well-controlled studies (e.g., Anderson et al., 2013; Ferguson and  
1277  
1278 565 Henshaw; 2015; Whitton et al., 2017). As with cochlear implant training regimes, the relative  
1279  
1280 566 roles of auditory perceptual and broader cognitive changes is unclear, although an association of  
1281  
1282 567 improvement with enhanced FFR responses (Anderson et al., 2013) indicates that auditory system  
1283  
1284 568 plasticity is involved in at least some cases.

## 1288 569 **6. Similarities between perceptual and motor learning**

1289  
1290 570 Perceptual and motor learning are both forms of procedural learning, and it has been argued that they  
1291  
1292 571 exhibit analogous properties and share common general mechanisms (e.g., Censor, 2013; Censor et  
1293  
1294 572 al., 2012). Although motor performance *per se* can obviously improve with training, the fact that

1299  
1300 573 most skills have both sensory and motor components suggests that skill learning involves a complex  
1301  
1302 574 interaction between three types of learning: perceptual, sensorimotor integration, and motor (see  
1303  
1304 575 Makino et al. (2016) for discussion). Nevertheless, the nature of the changes in motor cortex  
1305  
1306 576 associated with motor learning are of interest with respect to the mechanisms of perceptual learning.  
1307  
1308 577 In rodents trained on skilled forelimb motor tasks, the area of the forelimb representation (or the  
1309  
1310 578 population of excited neurons) in motor cortex is initially increased, but subsequently reverts to  
1311  
1312 579 normal while the motor skill is retained, (e.g., Molina-Luna et al., 2008; Peters et al., 2014; Pruitt et  
1313  
1314 580 al., 2016). This finding is in accord with the expansion-normalization hypothesis of Reed et al.  
1315  
1316 581 (2011). In a recent review, Makino et al. (2016) state that an expansion of the neural ensembles in  
1317  
1318 582 motor cortex is characteristic of the early stages of motor learning, and suggest that it allows the  
1319  
1320 583 sampling of a number of new circuit options. In a study by Tennant et al. (2012), expansion and  
1321  
1322 584 renormalization of the motor cortex forelimb representation was seen in young mice who learned the  
1323  
1324 585 reaching task, but aged mice learned the task equally well without any change in area of  
1325  
1326 586 representation or in movement threshold. This study therefore introduces an additional variable (the  
1327  
1328 587 effects of age) that does not seem to have been studied in the perceptual learning literature.

1331 588 Wenger et al. (2017) have argued that the expansion – renormalization hypothesis extends to  
1332  
1333 589 structural brain changes (i.e., increases in grey matter) associated with motor learning. As they point  
1334  
1335 590 out, expansion of brain volume with each skill acquired is implausible, as it implies a perpetual  
1336  
1337 591 process of increase in brain volume.

1340 592 Perhaps the most important finding with respect to the mechanisms of motor learning is that  
1341  
1342 593 such learning is associated with an increase in spine density on the dendrites of cortico-spinal motor  
1343  
1344 594 neurones, and that different motor skills are associated with changes in different sets of synapses  
1345  
1346 595 (e.g., Wang et al., 2011; Xu et al., 2009). The implications of these and related results for the  
1347  
1348 596 mechanisms of perceptual learning are considered further in Section 9.2.  
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1358  
1359 597 **7. Specificity and generalization of perceptual learning**  
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1361 598 As noted in Section 1, the upsurge of interest in perceptual learning in the last 30 years or so was  
1362  
1363 599 prompted by evidence for the remarkable specificity of some forms of VPL. More recently there  
1364  
1365 600 has been an emphasis on the conditions on which such learning can generalize (e.g. Censor, 2013;  
1366  
1367 601 Fahle, 2005; Sagi, 2011; Wright & Zhang, 2009b). This emphasis has been driven in part by the  
1368  
1369 602 development of cognitive and sensory rehabilitation training regimes, which itself has been driven  
1370  
1371 603 by developments in the study of brain plasticity. Clearly, the value of any training regime depends  
1372  
1373 604 on the extent to which improvements generalize to stimuli and tasks other than those used in  
1374  
1375 605 training (e.g., Jacoby and Ahissar, 2015; Simons et al., 2016). In the case of auditory training, the  
1376  
1377 606 clinical implications of generalization relate to training of cochlear implantees and hearing-aid  
1378  
1379 607 users (Section 5), and to the treatment of communication disorders (e.g. Moore et al. 2009). In  
1380  
1381 608 general, the conditions under which perceptual learning generalizes are not well understood; in  
1382  
1383 609 their review of the generalization of auditory perceptual learning, Wright and Zhang (2009b)  
1384  
1385 610 concluded that the available data did not allow the identification of any simple rule that could be  
1386  
1387 611 used to predict the pattern of generalization on a given task. With respect to mechanisms,  
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1389 612 however, it seems clear that generalization would not be expected if the underlying neural changes  
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1391 613 were restricted to those neurons activated by the training stimuli.  
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1396 614 **8. Consolidation of perceptual learning**  
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1398 615 A remarkable early finding in VPL was that improvements with training on a texture  
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1400 616 discrimination task were strongly dependent on rapid eye movement (REM) sleep (Karni et al.  
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1402 617 1994). Although some forms of auditory perceptual learning occur rapidly (within the first hour of  
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1404 618 training) (e.g., Alain et al., 2007; Hawkey et al., 2004), there is also evidence that some forms  
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1406 619 require a between-sessions (or overnight) consolidation phase. Wright and Sabin (2007) reported  
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1408 620 that in subjects trained on either a frequency discrimination or temporal-interval task,  
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1410 621 improvements occurred *between* the daily training sessions, rather than within sessions. A similar  
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1412 622 need for consolidation between sessions is indicated by Kumpik et al.'s (2010) finding that the  
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1418 623 improvement in azimuthal sound localization seen over a number of days of training in  
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1420 624 participants with an ear blocked was not seen when the same number of trials were given as a  
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1422 625 single block on one day. Sleep was not manipulated in those studies, so it is not clear whether the  
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1424 626 between-sessions delay or sleep during that delay was the critical factor. Roth et al. (2005)  
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1426 627 reported that improvements on a task requiring identification of consonant-vowel stimuli in noise  
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1428 628 were delayed for some hours after training but that sleep during the delay was not necessary. Why  
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1430 629 performance on some tasks improves during the initial training session while improvement on  
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1432 630 others requires post- or between-session consolidation is not clear. Fenn et al. (2003) reported an  
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1434 631 interesting pattern of results in a study of perceptual learning on a synthetic speech recognition  
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1436 632 task: performance improved immediately after training, was degraded over a day's retention  
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1438 633 interval, but completely recovered following sleep. Given that consolidation (and its dependence  
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1440 634 on sleep) is a characteristic of all or most forms of learning (e.g., Stickgold, 2005), it would be  
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1442 635 remarkable if it were not important for auditory perceptual learning.  
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## 1446 636 **9. Mechanisms of perceptual learning**

### 1447 1448 637 **9.1. The need for causal analysis**

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1450 638 The overwhelming majority of studies that have attempted to identify the neural substrates of  
1451  
1452 639 auditory perceptual learning (and of perceptual learning in other modalities) have employed  
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1454 640 between-subject experimental designs, comparing neural response characteristics in groups of  
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1456 641 trained and untrained participants. Although such studies can establish the existence of  
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1458 642 associations between brain changes and learning, they do not allow the detailed nature of the  
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1460 643 relationship between the two changes to be determined. Comparisons between the neural and  
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1462 644 perceptual changes within individual subjects, such as those made by Caras and Sanes (2017) and  
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1464 645 illustrated in Fig. 2A and B, provide valuable evidence on the quantitative relationship between  
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1466 646 neural changes and perceptual performance.

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1469 647 It should also be emphasised that the evidence from both between- and within-subject  
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1471 648 comparisons of these sorts is correlational, and does not establish that the neural changes

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1477 649 described are responsible for the perceptual improvement. Although the neural changes described  
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1479 650 in most studies are plausible candidates for the cause of the improved perceptual performance, it is  
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1481 651 also possible that they are simply epiphenomenal, or that they merely have a permissive role.  
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1483 652 Direct evidence that a particular neural change is necessary and/or sufficient for the perceptual  
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1485 653 improvement is required to establish that they have a causal role. As noted in an earlier discussion  
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1487 654 of the changes in auditory cortical response characteristics associated with auditory association  
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1489 655 learning (Irvine, 2007), establishing the causal linkage presents a daunting experimental challenge.

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1491 656 As noted in that earlier paper, lesion studies do not adequately address this issue. In the  
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1493 657 context of perceptual learning, they do not allow the roles of the lesioned auditory (cortical or  
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1495 658 subcortical) structure in the processing of the auditory information and in the perceptual  
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1497 659 improvement to be separated. An elegant method of separating these effects is provided by Caras  
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1499 660 and Sanes's (2017) study of perceptual learning on an AM detection task by gerbils. They found  
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1501 661 that bilateral AC infusion of a carefully titrated low dose of muscimol blocked improvement on  
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1503 662 the task without (in most animals) interfering with performance of the task (i.e. with AM  
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1505 663 detection). This result establishes that an enhanced level of activity in ACX is necessary for  
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1507 664 perceptual learning, but it does not establish the necessity of a particular form of changed activity.  
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1509 665 In general, it is difficult to see how loss-of-function studies of this sort could establish the  
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1511 666 necessity of particular changes in neural activity.

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1513 667 It is perhaps more likely that gain-of function studies might provide such evidence. In this  
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1515 668 context, the ability of optogenetic techniques to selectively increase or decrease the activity of  
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1517 669 particular classes of neurons in a given structure (Deisseroth, 2015) offers particular promise.  
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1519 670 Evidence that photo-activation or -suppression of excitatory or inhibitory neurons in AC can  
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1521 671 influence auditory discrimination acuity (Aizenberg et al., 2015; Briguglio et al., 2018) suggests  
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1523 672 that these techniques could provide valuable information on causal relationships underlying  
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1525 673 auditory perceptual learning.  
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## 9.2. The stability-plasticity dilemma and a multiplexing model of perceptual learning

The most commonly reported neural change associated with auditory perceptual learning is an increase in the amplitude of responses to the training stimuli, in some cases associated with recruitment and an increase in cortical representational area. As discussed in Section 3.1.1, issues related to the stability – plasticity dilemma suggest that it is unlikely that recruitment and expansion of the area of representation constitute the permanent substrate of perceptual learning. A possible solution to this issue is provided by the expansion – renormalization hypothesis (Reed et al., 2011), and a number of studies of motor learning (Section 6) and of somatosensory perceptual learning (e.g., Albieri et al., 2015) provide support for the view that an expansion in representational area is a transient component of the neural changes underlying perceptual learning. As described in section 3.1.1, however, there was no evidence for transient increases in representational area (Witte and Kipke, 2005) or of the perceptual consequences of such increases (Brown et al., 2004) in two studies of auditory learning by cats on frequency discrimination tasks. It should also be noted that the expansion – renormalization hypothesis leaves open the nature of the permanent changes in circuitry that remain after the renormalization process.

There have also been a number of reports of *decreased* activity or activation in sensory cortex associated with perceptual learning, interpreted as reflecting more efficient processing of the relevant stimuli. Although these studies point to changes in sensory cortex, they also presuppose selection of the most efficient circuitry by some higher-level process, and are thus reminiscent of late-stage models as described by Watanabe and Sasaki (2015).

An alternative account of the substrates of auditory perceptual learning is suggested by evidence for the task- or context- dependency of the changes associated with such learning (e.g., von Trapp et al., 2016; Caras and Sanes, 2017) and for the ability of listeners to switch rapidly between different cues or representations of auditory space (Section 3.1.2). The context specificity of the changes associated with some forms of VPL prompted Li and Gilbert (2008) to propose that the critical process underlying learning relating to a particular stimulus attribute is that a particular

1594  
1595 700 subset of the diverse inputs to a given cortical neuron related to that attribute is gated by top-down  
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1597 701 influences. A similar dynamic multiplexing by individual cortical neurons has been suggested by  
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1599 702 Fritz et al. (2007) to underlie the task dependency of changes in AC spectro-temporal receptive  
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1601 703 fields produced by associative learning and attention. A critical feature of this hypothesis is that it  
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1603 704 does not involve recruitment of neurons into the activated population; learning related to multiple  
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1605 705 attributes can be represented in the same neurons, and the organism can switch rapidly between  
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1607 706 different sets of inputs depending on the task being performed. This postulated mechanism also  
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1609 707 avoids the stability – plasticity dilemma, because the changes in synaptic weights are restricted to  
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1611 708 a limited set of task-specific synapses.

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1614 709 This multiplexing hypothesis involves two major assumptions: that a given perceptual task  
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1616 710 involves only a subset of the synapses on a given cortical neuron, and that there are (feedback)  
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1618 711 mechanisms that allow rapid switching between different subsets of inputs. Neither of these  
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1620 712 assumptions has been examined experimentally in the context of auditory perceptual learning, but  
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1622 713 evidence from other areas establishes their plausibility.

1624 714 Learning is likely to involve both strengthening of existing synapses and the formation of new  
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1626 715 synapses, and evidence for selectivity in both processes is provided by studies of motor learning in  
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1628 716 mice. Cichon and Gao (2015) reported that different motor learning tasks caused long-lasting  
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1630 717 potentiation of post-synaptic spines on different apical tuft branches of pyramidal neurons in mouse  
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1632 718 motor cortex. Two-photon imaging studies of mice trained on motor tasks indicate that learning is  
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1634 719 associated with the formation of new dendritic spines on motor cortex pyramidal neurons and that  
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1636 720 different skills are encoded by different sets of synapses in the same neurons (e.g., Xu et al., 2009;  
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1638 721 Yang et al., 2009, 2014). With respect to the consolidation issues discussed in Section 8, it is of  
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1640 722 interest that branch-specific spine formation was promoted by post-training (non-REM) sleep (Yang  
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1642 723 et al., 2014). In a remarkable recent study, the causal role of potentiation of specific subsets of spines  
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1644 724 was demonstrated by disruption of motor learning on one task by optical shrinking of the spines  
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1646 725 potentiated by that task but not of spines potentiated by another task (Hayashi-Takagi et al. 2015).  
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1654 726 Although synapse potentiation or formation in association with auditory perceptual learning has  
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1656 727 not yet been investigated, auditory fear conditioning has been shown to result in an increase in  
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1658 728 spine formation in mouse AC (Moczulska et al., 2013). The fact that inputs to spines on individual  
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1660 729 dendrites of AI neurons cover a remarkably diverse range of frequencies (Chen et al., 2011)  
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1662 730 indicates that, at least in the frequency domain, the substrate to allow selection of subsets of inputs  
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1664 731 is present.

1666 732           With respect to switching between different sets of inputs, a modelling study by Vogels &  
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1668 733 Abbott (2009) suggests ways in which individual neurons can switch their responsiveness between  
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1670 734 various input signals by adjustment of excitatory – inhibitory balance. Such adjustment was  
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1672 735 demonstrated in a recent study in which mice switched between a task requiring recognition of a  
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1674 736 target tone of a particular frequency and passive listening to the same (target and foil) tones  
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1676 737 (Kuchibhotla et al., 2017). During the recognition task, multiple inhibitory interneuron types were  
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1678 738 activated, adjusting inhibitory synaptic inputs and thereby modulating neuronal output. Although this  
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1680 739 type of context switching is rather different from switching between different perceptual tasks, it is  
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1682 740 reasonable to assume that similar mechanisms would be involved in the two forms of context  
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1684 741 dependency. Vogels and Abbott (2009) suggested that the cholinergic modulatory system might be  
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1686 742 the mechanism by which excitatory – inhibitory balance was adjusted, and Froemke (2015) has  
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1688 743 reviewed evidence that this and other neuromodulatory systems play a critical role in regulating  
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1690 744 changes in excitatory – inhibitory balance that are associated with many forms of plasticity.  
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1692 745 Kuchibhotla et al. (2017) also presented evidence that supports this proposal: cholinergic axons  
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1694 746 increased activity and directly depolarized inhibitory neurons during performance of the recognition  
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1696 747 task. Although the role of the cholinergic and other neuromodulatory systems in the neural changes  
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1698 748 associated with auditory perceptual learning has received relatively little attention, these systems are  
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1700 749 known to be critically involved in most of other forms of auditory cortical plasticity (see Metherate  
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1702 750 (2011) and Edeline (2012) for reviews). Froemke et al.'s (2013) demonstration that pairing  
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1704 751 acoustic stimuli with stimulation of the cholinergic fibers originating in the NB in awake rats led  
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1713 752 to improved detection and recognition of the paired acoustic stimuli strongly implies a role of the  
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1715 753 cholinergic system in auditory perceptual learning.  
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1717 754 At a more general level, and in accordance with these suggestions, Roelfsema and  
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1719 755 Holtmaat (2018) have recently proposed that neuromodulatory influences on sensory cortices  
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1721 756 and feedback processes that tag particular subsets of synapses and gate their plasticity combine  
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1723 757 to improve the functioning of sensory cortical networks and optimize behavioral performance.  
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## 1725 758 **10. Conclusions**

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1728 759 As summarised in this review, the last 25 years or so have generated a wealth of psychophysical  
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1730 760 and neurophysiological information on auditory perceptual learning. This evidence indicates that  
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1732 761 both the characteristics of the learning and the nature of the associated neural changes are highly  
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1734 762 attribute- and task-dependent, and thus far we have only a limited grasp of the general principles  
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1736 763 underlying such learning. As noted above, there is a pressing need for studies that establish the  
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1738 764 causal relationship between neural changes and improved perceptual performance. Nevertheless,  
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1740 765 perceptual learning and other forms of auditory system plasticity have led to a major  
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1742 766 reconceptualization of the AC, and led to recognition of its place as a component of complex  
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1744 767 distributed networks. This reconceptualization applies to all sensory cortices, and is elegantly and  
1745  
1746 768 succinctly expressed by Doshier and Li's (2017; p. 343) statement concerning VPL: "Originally  
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1748 769 seen as a manifestation of plasticity in the primary visual cortex, perceptual learning is more readily  
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1750 770 understood as improvements in the function of brain networks that integrate processes including  
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1752 771 sensory representations, decision, attention, and reward and balance plasticity with system stability".  
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772 **Acknowledgements**

773 I am grateful to an anonymous reviewer for very helpful comments on an earlier version of this  
774 manuscript, and to James Fallon and the authors from whose papers figures have been modified  
775 for assistance in producing the figures. The author's research in this area has been funded by the  
776 National Health and Medical Research Council of Australia. The Bionics Institute acknowledges  
777 the support it receives from the Victorian Government through its Operational Infrastructure  
778 Support Program.

781 **Abbreviations**

- 782 AC auditory cortex  
783 AI primary auditory cortex  
784 AM amplitude-modulated  
785 BF best frequency  
786 CF characteristic frequency  
787 ERP event-related potential  
788 FFR frequency-following response  
789 NB nucleus basalis  
790 V1 primary visual cortex  
791 VPL visual perceptual learning

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1831 792 **References**  
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2598 **1118 Figure Legends**  
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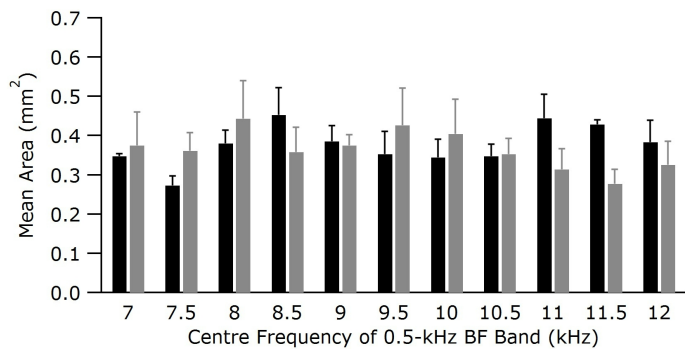
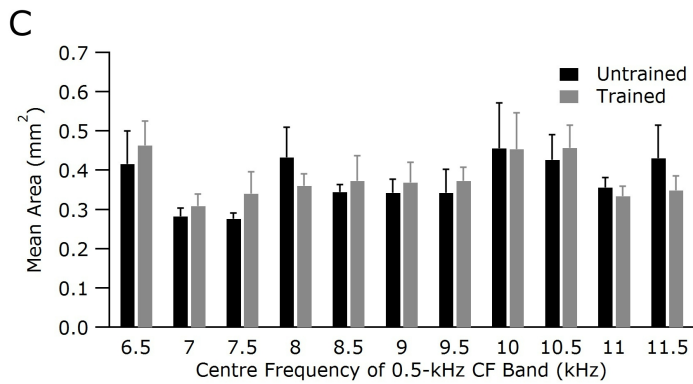
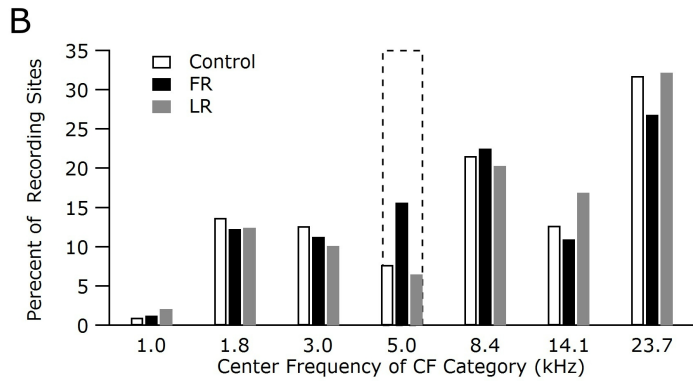
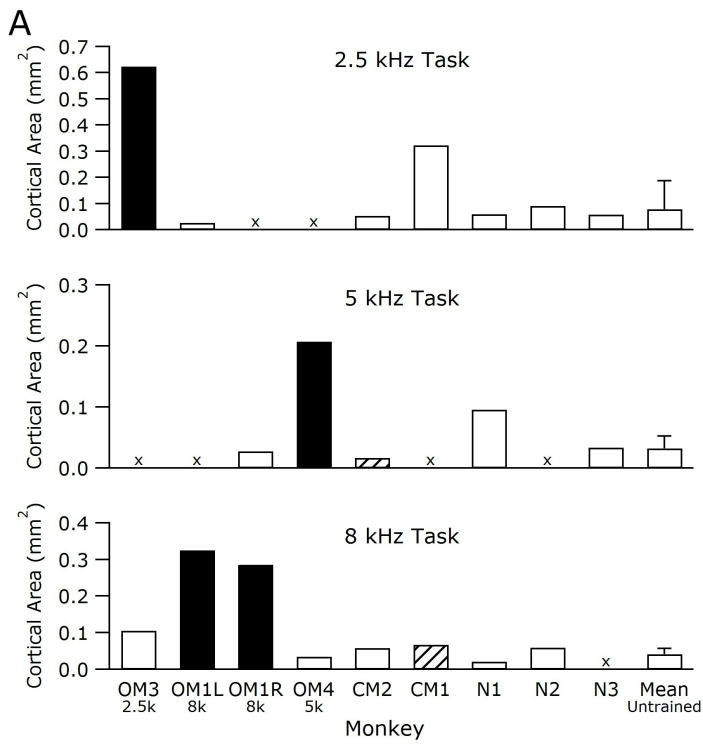
2600  
2601 **1119 Fig. 1.** Results of three studies of changes in AI associated with perceptual learning on  
2602  
2603 **1120** frequency discrimination tasks. **A.** Increase in area of representation of training frequency in AI  
2604  
2605 **1121** of monkeys in Recanzone et al. (1993) study. Plots show cortical area of representation (defined  
2606  
2607 **1122** by CF) of the frequency ranges used in training monkeys on 2.5 kHz, 5 kHz, and 8 kHz  
2608  
2609 **1123** frequency discrimination tasks (upper, middle, and lower panels, respectively). Bars indicate the  
2610  
2611 **1124** area of representation of the specified frequency for individual monkeys (identified in abscissa  
2612  
2613 **1125** label on lower panel), and for the left (L) and right (R) hemispheres in monkey OM1. Monkeys  
2614  
2615 **1126** OM1, 3 and 4 were trained on the auditory frequency discrimination task at the frequency  
2616  
2617 **1127** indicated in the baseline label, and solid black bars therefore indicate the area of representation  
2618  
2619 **1128** at the training frequency for those monkeys. CM monkeys were passive control animals that  
2620  
2621 **1129** received the auditory stimuli presented in one of the frequency training tasks (5kHz for CM2;  
2622  
2623 **1130** 8Khz for CM1; hatched bars) while learning a tactile discrimination task. Monkeys N1, N2, and  
2624  
2625 **1131** N3 were behaviourally naïve control monkeys. Crosses represent hemispheres in which no  
2626  
2627 **1132** cortical locations were recorded with a CF within the frequency range used in training at the  
2628  
2629 **1133** specified frequency. The “Mean Untrained” bars indicate the mean area and standard deviation  
2630  
2631 **1134** for that frequency in all hemispheres other than those in the monkey(s) trained at that frequency.  
2632  
2633 **1135** Reproduced (in modified form) with permission from Recanzone et al. (1993). **B.** Task-specific  
2634  
2635 **1136** increase in area of representation of training frequency in AI of rats in Polley et al. (2006) study.  
2636  
2637 **1137** Histograms show percentages of recording sites in AI with CFs in 3/4-octave-wide bins centered  
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2639 **1138** on the frequency value shown, for all recordings obtained in control rats, and in rats trained on  
2640  
2641 **1139** frequency (FR; black) and level (LR; grey) tasks. Dashed lines indicate the trained frequency  
2642  
2643 **1140** range. Reproduced (in modified form) with permission from Polley et al. (2006). **C.** Lack of  
2644  
2645 **1141** change in area of representation of training frequency in AI of cats in Brown et al. (2004) study.  
2646  
2647 **1142** Histograms show the mean area of 0.5-kHz characteristic-frequency (CF) (upper panel) and  
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2649 **1143** best- frequency (BF) (lower panel) iso-frequency bands for four untrained cats and five cats  
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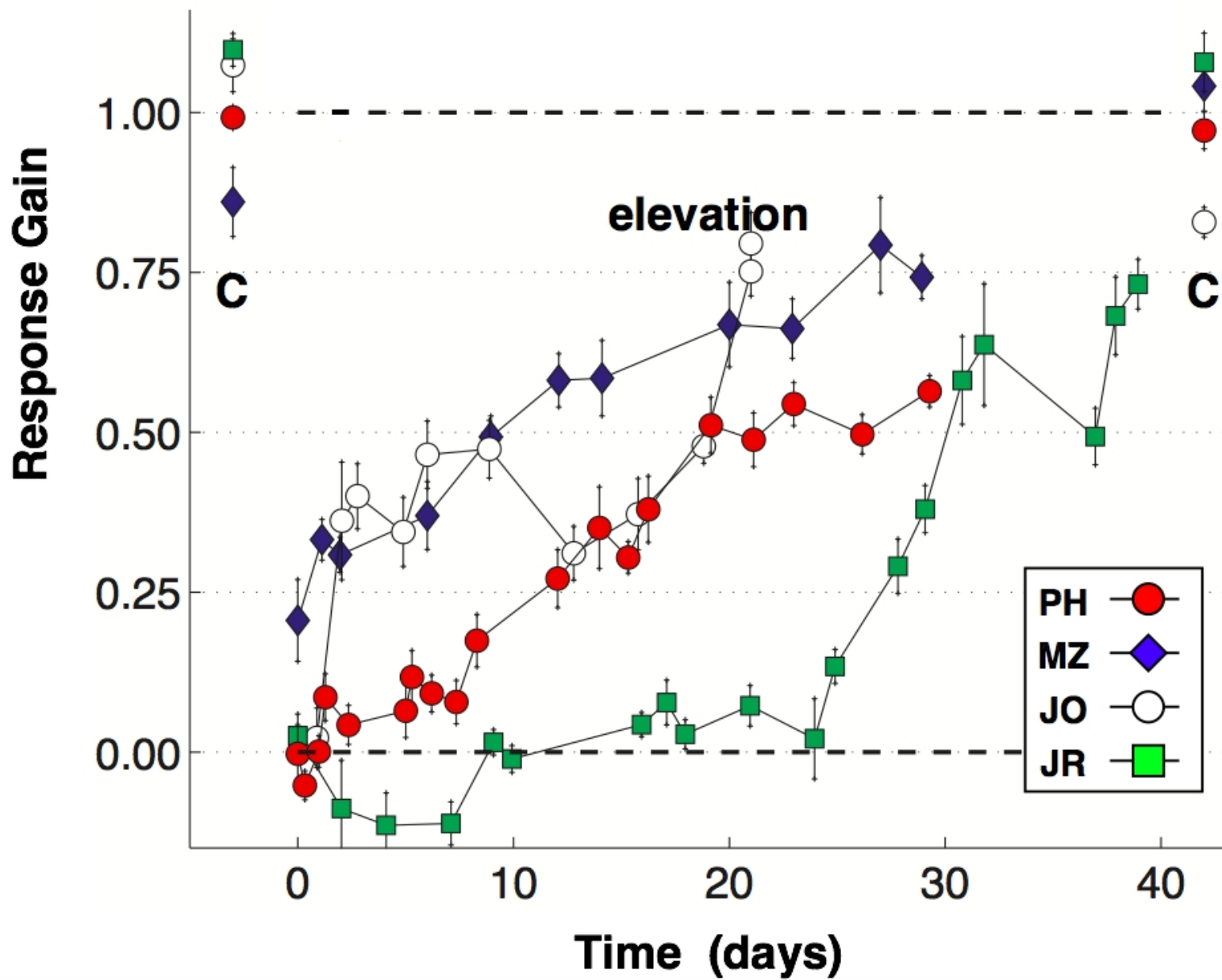
2656  
2657 1144 trained on an 8-khz frequency discrimination task. Iso-frequency contours were fitted  
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2659 1145 objectively using an inverse distance method, and histogram bars are centred on a frequency  
2660  
2661 1146 midway between the isofrequency contours between which the areas were measured. Error bars  
2662  
2663 1147 show standard error of the mean. Reproduced (in modified form) with permission from Brown et  
2664  
2665 1148 al. (2004).

2667 1149  
2668  
2669 1150 **Fig. 2.** Comparisons of behavioral sensitivity and sensitivity of neurons in core auditory cortex  
2670  
2671 1151 of gerbils trained on an amplitude modulation detection task. **A.** Behavioral and neural  
2672  
2673 1152 thresholds (mean  $\pm$  standard error of mean (SEM)) improve in parallel over training days in an  
2674  
2675 individual animal (based on data from 30 recording sites; 4 to 7 sites per day). **B.** Near-perfect  
2676 1153 correlation between behavioral and neural thresholds in an individual animal. **C.** Changes in  
2677  
2678 1154 behavioral (black) and neural thresholds (mean  $\pm$  SEM) in engaged (blue) and disengaged  
2679  
2680 1155 behavioral (black) and neural thresholds (mean  $\pm$  SEM) in engaged (blue) and disengaged  
2681  
2682 1156 (green) conditions (group data). n = number of (multi- or single-unit) recording sites (number of  
2683  
2684 1157 sites per day ranged from 29 to 39 for engaged condition and 5-14 for non-engaged condition).  
2685  
2686 1158 Increasing difference between engaged and disengaged thresholds is indicated by difference  
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2688 1159 between orange brackets at day1 and day 7. Reproduced (in modified form) with permission  
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2690 1160 from Caras and Sanes (2017).

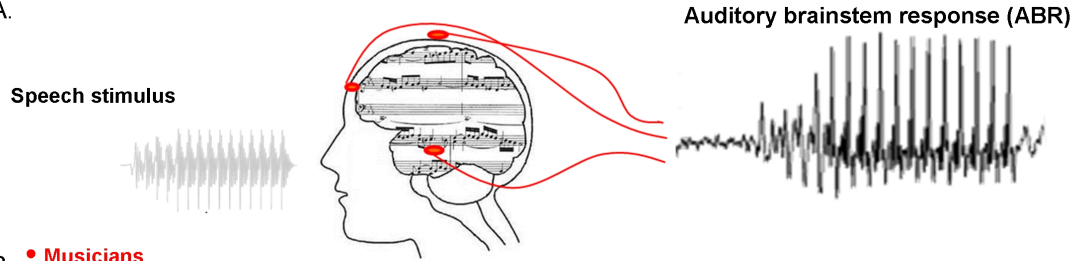
2691 1161  
2692  
2693 1162 **Fig. 3.** Changes in vertical localization accuracy of four participants over period of experience  
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2695 1163 with pinna moulds that modified the spectral cues to sound elevation. Accuracy measure  
2696  
2697 1164 (response gain) is the slope of the best-fit regression line between target and response  
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2699 1165 coordinates on each recording day. Responses were saccades to broad-band white-noise sound  
2700  
2701 1166 bursts presented in darkness in an echo-free room at randomly chosen locations. Standard  
2702  
2703 1167 deviations of the gains were obtained by bootstrapping the data 100 times. Results for the pre-  
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2705 1168 and post-adaptation performance without moulds are indicated as control (C) values; for each  
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2716 1169 participant, post-adaptation control measurements were made immediately after removal of the  
2717  
2718 1170 moulds. Reproduced (in modified form) with permission from Hofman et al. (1998).  
2719  
2720 1171 **Fig. 4.** Effects of musical training on frequency following response (FFR) evoked by speech  
2721 stimulus. **A.** Averaged auditory brainstem response (the FFR) evoked by speech sound stimulus  
2722 /da/, as recorded using three scalp electrodes. **B.** Responses of musicians and non-musicians (red  
2723 and black, respectively) in four different age groups, and for stimuli presented in quiet and in  
2724  
2725 1173 noise. For each stimulation environment, responses are presented in both temporal and spectral  
2726  
2727 1174 domains. \*\*p < 0.01. Reproduced with permission from Strait and Kraus (2014).  
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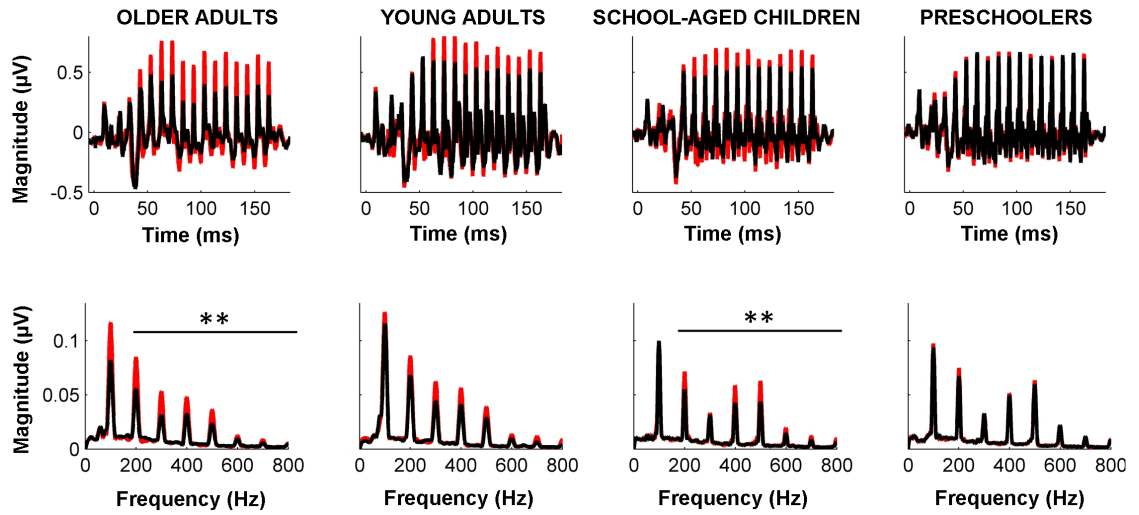


A.



B. • Musicians  
• Nonmusicians

### QUIET



### NOISE

