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1 Intensity discrimination and speech recognition of cochlear implant users

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21 Abstract 219

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25 **ABSTRACT:**

26 The relation between speech recognition and within-channel or across-channel (i.e. spectral tilt)
27 intensity discrimination was measured in 9 CI users (11 ears). Within-channel intensity
28 difference limens (IDLs) were measured at four electrode locations across the electrode array.
29 Spectral tilt difference limens were measured with (XIDL-J) and without (XIDL) level jitter .
30 Only 3 subjects could perform the XIDL-J task with the amount of jitter required to limit use of
31 within-channel cues. XIDLs (normalized to %DR) were correlated with speech recognition ($r =$
32 0.67 , $p = 0.019$) and were highly correlated with IDLs. XIDLs were on average nearly three
33 times larger than IDLs and did not vary consistently with the spatial separation of the two
34 component electrodes. The overall pattern of results was consistent with a common underlying
35 subject-dependent limitation in the two difference limen tasks, hypothesized to be perceptual
36 variance (how the perception of a sound differs on different presentations), which may also
37 underlie the correlation of XIDLs with speech recognition. Evidence that spectral tilt
38 discrimination is more important for speech recognition than within-channel intensity
39 discrimination was not unequivocally shown in this study. However, the results tended to support
40 this proposition, with XIDLs more correlated with speech performance than IDLs, and the ratio
41 XIDL/IDL also being correlated with speech recognition. If supported by further research, the
42 importance of perceptual variance as a limiting factor in speech understanding for CI users has
43 important implications for efforts to improve outcomes for those with poor speech recognition.

44

45 **Keywords:** cochlear implant; intensity discrimination; speech recognition

46

47 INTRODUCTION

48 One of the most persistent challenges in the cochlear implant (CI) field is the high
49 variation in speech perception outcomes, even in adults with post-lingual deafness. Up to a third
50 of such CI users have minimal ability to understand sentences without lipreading (for example
51 words in sentences less than 50% correct in quiet) (Blamey, et al. 2013). Many psychophysical
52 studies have been performed to try to understand the basis of poor speech recognition in
53 individuals. Armed with this knowledge, research could be guided in efforts to overcome the
54 limitations experienced by the CI users with poor speech recognition. In this study, the relation
55 between speech recognition and the ability of CI users to detect within-channel and across-
56 channel (spectral tilt) intensity changes was examined.

57 Auditory speech recognition relies on multiple acoustic and contextual cues. To perceive
58 speech cues in a complex speech stimulus, the auditory system must employ spectral and
59 temporal analyses of the signal (i.e. spectral and temporal resolution). Although the intelligibility
60 of speech is little affected by overall level changes (provided audibility is not compromised) (e.g.
61 Lu and Cooke 2009), spectral resolution relies on being able to compare intensities across
62 different simultaneous frequency components and temporal resolution relies on being able to
63 follow intensity changes over time within a channel.

64 Many studies have demonstrated a correlation between spectral ripple detection or
65 discrimination and speech recognition (Anderson, et al. 2011; Anderson, et al. 2012; Drennan, et
66 al. 2016; Henry and Turner 2003; Lawler, et al. 2017; Litvak, et al. 2007; Saoji, et al. 2009;
67 Winn, et al. 2016; Won, et al. 2014; Won, et al. 2011). However, the spectral ripple stimuli are
68 complex and can potentially be discriminated or detected using multiple different types of cues,
69 such as subtle pitch or loudness changes and within-channel amplitude modulations, in addition

70 to resolution of individual ripples. Saoji et al. (2009) measured spectral ripple detection
71 thresholds across a range of spectral modulation frequencies, while controlling loudness cues.
72 They found that the relation between ripple detection and speech recognition could be wholly
73 accounted for by ability to detect ripples of the lowest ripple density (in which the task is similar
74 to detecting a broad spectral tilt), and not by the rate at which detection deteriorated as ripple
75 density increased (related to spectral resolution). An inference from that study could be that the
76 ability to detect relative intensity changes across well-separated channels in a multi-electrode
77 stimulus is a basic psychophysical ability underlying spectral ripple detection. A study by
78 Anderson et al (2012) compared spectral ripple detection and discrimination thresholds in the
79 same CI users. Although performance on the two tasks was correlated, thresholds at the same
80 modulation depth were not equivalent (as expected if the subjects were using identical cues in
81 each task). They found intensity difference limens for broadband noise were not correlated with
82 spectral ripple detection. They inferred that ripple detection did not rely on within-channel cues
83 and therefore there must be a disassociation between the ability to detect small changes of
84 intensity across frequency or across time.

85 Amplitude modulation detection has also been related to speech perception performance
86 in some studies, either using direct electrical stimulation on single electrodes or broadband
87 acoustic stimulation via the speech processor (Brochier, et al. 2017; Luo, et al. 2008; Won, et al.
88 2011). In these cases, the parameter correlated with speech recognition was the sensitivity at low
89 modulation frequencies, which is highly correlated with intensity discrimination (Galvin and Fu
90 2009), rather than the slope or cut-off frequency of the modulation transfer function (related to
91 temporal resolution).

92 Although spectral and temporal resolution are necessary for speech recognition, the
93 psychophysical studies discussed above suggest that differences in these abilities are not the
94 major factors, in general, that lead to differences in speech recognition among CI users. This may
95 be the case because speech recognition, at least in quiet, does not require extremely fine
96 resolution (e.g. Shannon, et al. 1995), and implantees generally have sufficient resolution ability.
97 In the temporal domain, Fraser and McKay (2012) showed that CI temporal modulation transfer
98 functions had low-pass modulation frequency cut-offs (hence temporal resolution) broadly
99 similar to those of normal hearing subjects. Additionally, there is little evidence that the width of
100 the central temporal integration window (which limits temporal resolution) in CI users differs
101 from that in normal hearing listeners (McKay, et al. 2013).

102 A hypothesis that arises from the psychophysical studies described above is that subject-
103 dependent variation in intensity discrimination is a psychophysical factor underlying the
104 association of performance in speech recognition tasks and performance in amplitude modulation
105 or spectral ripple detection tasks. It is somewhat surprising that simple intensity discrimination
106 measures have received little attention by researchers who have investigated variation in speech
107 recognition in CI users. In this study, we hypothesized that within-channel (single electrode)
108 intensity discrimination and across-channel relative intensity discrimination (in a dual-electrode
109 ‘spectral tilt’ task) are correlated with speech recognition. Spectral tilt is a broad-spectrum-based
110 acoustic cue that is important for speech recognition, especially stop consonants (Alexander and
111 Kluender 2008, 2009). Furthermore, compared to normal hearing listeners, people with hearing
112 impairment (Alexander and Kluender 2009) and CI users (Winn and Litovsky 2015) increase the
113 weighting of spectral tilt cues over the finer spectral formant cues . We therefore further
114 hypothesized, based on the study of Anderson et al. (2012) and the importance of spectral tilt

115 cues for CI users, that differences in the discrimination of spectral tilt would be more related to
116 differences in speech recognition than within-channel intensity discrimination .

117 **METHODS**

118 **Subjects and equipment**

119 Nine adult cochlear implant users participated in this study. All were users of Nucleus-
120 family cochlear implants, manufactured by Cochlear Ltd. Two subjects with bilateral implants
121 provided data from each ear. All participants signed an informed consent form and the project
122 was granted ethical approval from the Human Ethics Committee of the Royal Victorian Eye and
123 Ear Hospital. The details of the participants' implants, clinical speech processor types, hearing
124 history and etiology are contained in Table 1. Parameters of their clinical speech processing
125 strategies are shown in Table 2.

126 Psychophysical measurements were carried out using direct electrical stimulation via
127 ImPResS software, interfaced with the implant via a SPEAR processor (Zakis and McDermott
128 1999). The software defined the stimulus parameters, ran the experimental procedure, and
129 collected subject responses via a response box. The processor sent the coded instructions for
130 each stimulus directly to the implanted electronics via the usual radio frequency link.

131 Speech recognition measurements were undertaken using direct audio input (DAI) from a
132 sound card to the participants' own speech processors. The calibration of the equivalent input
133 sound level for DAI testing was performed by matching the output current levels on an
134 oscilloscope using an implant in a box. All participants used the ACE or SPEAK strategy (see
135 Table 2), and the speech processors were set to their 'everyday' program. DAI prevented the use
136 of residual hearing in the speech tasks and also facilitated the separate testing of each ear in two

137 of the participants who were implanted bilaterally. The speech recognition and psychophysical
138 tasks were carried out in at least three separate sessions, which lasted for a maximum of 1.5
139 hours with breaks.

140 Speech Recognition

141 Speech recognition in quiet was assessed with consonant-vowel nucleus-consonant
142 (CNC) words. One list of 50 CNC words at an equivalent level of 65 dBA was presented, and the
143 subjects' responses were scored as the percentage of phonemes correctly identified.

144 Sentence recognition was assessed using CUNY sentences in quiet, and in multi-talker
145 babble at signal-to-noise ratios (SNRs) of +15, +10, and +5 dB. In each condition, one sentence
146 list was presented and scored as percentage of words correct (out of approximately 100 words in
147 each sentence list). The order of sentence testing was the quiet condition first followed by
148 increasingly difficult noise conditions. No more-difficult conditions were tested if the score at
149 one SNR dropped below 20% correct. Since the absolute speech recognition ability of subjects
150 varied greatly in quiet, and some subjects could not complete the tests with low SNRs, there
151 were no fixed SNRs that would not suffer from either floor or ceiling effects in the analysis.
152 Therefore, a parameter was derived (denoted SNR-H) from each individual function of percent
153 correct versus SNR that represented the SNR at which the speech score was reduced to half of
154 the score in quiet. The SNR-H was therefore, unlike a speech reception threshold, not an absolute
155 speech recognition performance measure, but a measure of the effect of noise on an individual's
156 own speech recognition performance.

157 Intensity Discrimination

158 *Electrode selection*

159 Four active electrodes that spanned the electrode array were selected for evaluation of
160 intensity discrimination measurements: two in the mid-array (10, 13) that were 3 electrodes
161 apart, and two (3, 20) that were very distant. The electrode selection was motivated by optimal
162 sampling of locations and separations. The electrodes were activated in monopolar mode (return
163 electrode outside the cochlea) in all cases except for subject S4 who used bipolar + 1 mode
164 (intracochlear return electrode 2 electrodes apical to the active electrode). Within-channel
165 intensity discrimination was assessed on each of the four active electrodes using single-electrode
166 stimuli, and spectral tilt discrimination was assessed using dual-electrode stimuli (stimulus
167 pulses interleaved on two electrodes) using each of the two sets of electrodes.

168 *Stimulus parameters*

169 Each single-electrode stimulus was a 500-ms-duration constant-current train of biphasic
170 pulses. As the intention was to correlate intensity discrimination with speech recognition with the
171 participants' own processors, the rate of stimulation, mode, phase duration and interphase gap
172 were all set to the same values as in their clinical map. Subject S4 used an early model implant
173 and a speech processor that used a mixture of phase duration and current level to encode
174 amplitude. For this subject, a fixed phase duration of 100 μ s was used in the psychophysical task,
175 a value representative of the range in his speech processor. The speech processor parameters for
176 all participants are listed in Table 2. The dual-electrode stimuli consisted of two interleaved
177 single-electrode stimuli with the inter-electrode delay adjusted to make the overall pulses evenly
178 distributed in time.

179 *Creating the reference stimuli*

180 Reference current levels for the reference stimuli in the discrimination tasks were set
181 such that a) each electrode of a pair evoked equal loudness and b) the dual-electrode reference
182 stimulus was approximately at the mid-point in its dynamic range, and c) the reference current
183 level on an electrode was the same in dual- and corresponding single-electrode reference stimuli.
184 The second criterion ensured that there was sufficient room to produce spectral tilts in the dual-
185 electrode stimulus, as these were made by adding the same number of current levels to pulses on
186 one electrode of the pair as the number of current levels subtracted from pulses on the other
187 electrode of the pair. The third criterion ensured that the within- and across-channel
188 discrimination measures were obtained with equal reference current levels. To achieve these
189 three criteria, single-electrode stimuli were first created with twice the rate of the clinical
190 processor (same overall rate as the dual-electrode stimuli). The threshold and maximum
191 comfortable level of these stimuli were determined, and the current level of one of each pair (3 or
192 10) was set to midway between the threshold and maximum level. A loudness balance procedure
193 was then used to find the current level on the other electrode of each pair (20 or 13) that evoked
194 an equal loudness to that on electrodes and 3 or 10, respectively. The dual-electrode reference
195 stimuli were then created (using the same overall rate) using the loudness balanced current
196 levels. This procedure was based on the predictions of the loudness model of McKay et al.
197 (2003) that the dual-electrode stimulus would be at around 50% of its dynamic range with equal
198 contributions to loudness of the two electrodes, without having to re-adjust the current levels
199 after creating the dual-stimulus to achieve this. The single-electrode reference stimuli were then
200 constructed to have the same per-electrode rate (the clinical rate) and the same currents as the
201 dual-electrode stimulus. That is, the single-electrode stimuli were exactly the components of the
202 related dual-electrode stimulus.

203 In the above procedure, thresholds were determined using a 3-interval 3-alternative
204 adaptive procedure with a two-down, 1-up adaptive rule. Subjects were asked to nominate which
205 of the three intervals (randomly assigned) contained the sound. Step sizes were 4 current levels
206 (CL) for 2 turns, and 2 CL for 8 turns, with threshold defined as the average CL at the last 6 turn
207 points. Thresholds were measured twice and averaged. Maximum comfortable loudness was
208 determined using a visual category scale with seven categories between ‘not heard’ and ‘too
209 loud’. Maximum comfortable level was defined as the CL at which the subject nominated ‘very
210 loud but tolerable’. Loudness balancing was completed using an adaptive 2-interval forced
211 choice task. The target and reference stimuli were assigned randomly to the two intervals and the
212 task of the subject was to choose the louder sound. The target stimulus was adjusted with a 1-
213 down 1-up rule, with the same step sizes and number of turn points as the threshold task, and the
214 balanced CL was defined as the average CL at the final 6 turn points. The balance procedure was
215 performed a total of 4 times, with each stimulus being the fixed reference twice. The final
216 balanced current level was determined using the average CL difference between the two stimuli
217 over the four balancing runs.

218 *Single-electrode intensity difference limens*

219 Single-electrode intensity difference limens (IDLs) were determined using a 2-interval
220 forced choice task with an adaptive 2-down 1-up rule (asymptoting to the CL at which the target
221 was considered louder than the reference with 71% probability). The task of the subject was to
222 choose the louder sound. The same step sizes and turn points were used as in the loudness
223 balancing task, with the average CL of the last 6 turn points averaged. The procedure was
224 repeated twice more and the average of the 3 resultant differences between reference and target
225 CLs was defined as the IDL.

226 *Dual-electrode (spectral tilt) difference limens*

227 Spectral tilt DLs (denoted XIDL) were measured using dual-electrode stimuli. The
228 reference stimulus was the one constructed to have equal loudness contributions from each
229 component electrode. The target stimulus was one in which pulses on one electrode had an
230 increased CL, and pulses on the other electrode had a CL decreased by the same amount (see
231 Figure 1). Multiple targets with differing size of adjustments of CLs were constructed. For each
232 adjustment size, there were two targets with differing direction of adjustment.

233 The task for the XIDLs was a 4-interval 4-alternative forced choice task, in which the
234 subject was asked to nominate the interval with the randomly assigned ‘different’ (target) sound.
235 The XIDL was determined using the method of fixed stimuli and a psychometric function
236 (percent correct versus current adjustment). Each psychometric function included at least 6
237 targets (at least 3 pairs of targets: 3 adjustment sizes and each size with 2 directions of
238 adjustment). In each experimental run, all the targets were included and selected in random order
239 without replacement for each trial in the run, until 30 responses were obtained for each target. An
240 example psychometric function is shown in Figure 2, with illustration of how the XIDL was
241 defined. The different directions of adjustment are shown separately as different sides of the
242 function. The XIDL was defined as the average of the two linearly interpolated CL adjustments
243 (absolute values) for 71% correct identification from the two sides of the function. The range of
244 adjustment sizes to use for each participant’s psychometric function test run with interleaved
245 targets was first determined by considering the size of the single-electrode IDLs and then
246 running some test trials with increasing-sized adjustments (including both directions of
247 adjustment in a run) until the targets were identified for more than 8 out of 10 trials). That target
248 was then used as the one with the maximum CL adjustment in the psychometric function, and the

249 psychometric function test run was finally performed with at least three levels of adjustment
250 including two smaller ones. When plotting the psychometric functions a non-data point was
251 included (an open square symbol in Figure 2) representing 25% chance score when the target had
252 zero adjustment relative to the reference.

253 In the XIDL task, both within- and across-channel cues can be used by the subject to
254 identify the target. The subject may be able to attend to one or both changes in current at each
255 single-electrode site (within-channel cues) to identify the target. If so the XIDL should be similar
256 to or better than the IDLs on the component electrodes. This would be particularly true for the
257 distant electrode pair (3, 20) if the two areas of neural excitation were resolved by the listener.
258 This benefit of listening to within-channel cues would be partially offset by the fact that the
259 XIDL was measured using a 4IFC task whereas the IDL was measured using a 2IFC task¹. On
260 the other hand, if the subject were attending to the change in *relative* current between the two
261 electrodes, they would perceive the target stimulus as the one with a different pitch or timbre
262 than the reference stimulus.

263 It was assumed, to a first approximation, that overall loudness cues did not significantly
264 contribute to the XIDL task, as each target had an equal increase and decrease in CLs compared
265 to the reference stimulus. McKay et al (2003) showed that, for high rates and moderate or low
266 levels in the dynamic range, the loudness growth functions are linear on a log-log scale (log
267 loudness versus CL), and thus the two CL changes in opposite directions would nearly
268 completely balance out. The assumption that the positive and negative changes in loudness
269 contribution from each electrode in the target dual-stimulus were balanced relied on the
270 assumption that the two component electrodes had similar slopes of loudness growth with

¹ 4IFC DLs would be approximately 1.2 times 2IFC DLs if the same stimuli and discrimination were tested in both cases. This ratio was estimated by fitting Weibull functions to two alternative psychometric functions.

271 increased CL. This assumption was deemed to be likely satisfied if the two electrodes had a
272 similar dynamic range (DR). In some cases, the electrode selection for the psychophysical task
273 was altered to ensure that electrodes had similar DRs. Electrode 3 was replaced by electrode 6
274 for subject S7-L, and Electrodes 3 and 20 were replaced by electrodes 6 and 21 for subject S8
275 and by electrodes 4 and 21 for subject S7-R. It was, however, likely that small overall loudness
276 differences remained between target and references. It was also assumed in the XIDL
277 measurement that any such residual differences in overall loudness between reference and targets
278 would be smaller than the overall loudness difference limen for the dual-electrode stimulus. This
279 assumption was likely to hold unless the threshold adjustments in the XIDL task were very large
280 compared to the component IDLs.

281 A third experimental measure was undertaken (XIDL-J) that aimed to limit the possible
282 use of the within-channel cues in the spectral tilt discrimination task. The procedure was the
283 same as for the XIDL task, except for the addition of level jitter to each interval in the task. The
284 purpose of the level jitter was to limit the use of *within-channel* changes of level. This amount of
285 jitter was greater than that needed to limit *overall* loudness cues. The range of level jitter was
286 calculated via the methods of Dai and Micheyl (2010) based on the maximum within-channel
287 level adjustment for targets in each psychometric function and a maximum unwanted probability
288 for use of within-channel level cues of 50% correct (since our threshold XIDL-J was defined as
289 the adjustment for 71% correct). Thus, each target stimulus in a single psychometric function test
290 run had the same level jitter applied, but each subject had differing (minimum but sufficient)
291 amounts of level jitter based on the size of the actual level adjustment needed to obtain at least
292 71% correct in the psychometric function. The spectral tilt difference limen with jitter was
293 calculated from the psychometric function (see Figure 2) in the same way as the XIDL.

294 *Normalization of difference limens*

295 Since the subjects had varying modes of stimulation, pulse durations, and dynamic
296 ranges, it was necessary to normalize the difference limens to limit the effect of differing
297 individual loudness growth functions. All difference limens were therefore expressed as a
298 percentage of dynamic range (%DR) before analyzing their relation to speech recognition. For
299 single-channel IDLs, the DR was the difference between threshold (T) and comfortable (C)
300 levels in the subject's clinical map for the same electrode. This measure of DR was used because
301 it is directly relevant to the intensity variations produced at the electrodes by the speech signal
302 via the speech processor, and the purpose of the experiment was to seek associations between the
303 measured IDLs and speech recognition *with their usual speech processor*. An exception was
304 made for S4, whose processor map used mixed CL and phase duration to encode amplitude. In
305 his case, the DRs of the single-electrode stimuli determined in the psychophysical task (with a
306 fixed phase duration of 100 μ s) were used. For XIDL and XIDL-J measurements, the DR was
307 calculated as the average of the two relevant single-channel DRs.

308 **RESULTS**

309 Table 3 shows the speech recognition and psychophysical results for each subject. It can
310 be seen that the XIDL values were about three times larger than the component IDL values,
311 showing that subjects found the across-channel task more difficult than the within-channel task.
312 Only 3 subjects could reach XIDL-J threshold with both directions of level adjustment as, in the
313 remaining subjects, the jitter range required to limit within-channel cues exceeded the dynamic
314 range of the dual stimulus.

315 Effect of electrode separation

316 It could be hypothesized that within-channel cues would be easier to use in the dual
317 stimuli when there was a larger separation between the electrodes, and therefore XIDL values
318 would be smaller for the electrode 3/20 pair compared to the electrode 10/13 pair if within-
319 channel cues were being used. However, the mean size of the XIDLs were very similar being
320 29.9%DR and 28.5%DR for electrode pairs 10/13 and 3/20 respectively (see Table 3). A
321 Wilcoxin Signed Rank test confirmed that there was no significant systematic difference in size
322 between the XIDLs at different separations ($Z_{(9)} = -1.478$, $P = 0.16$). Furthermore, a Student's
323 paired t-test showed that the ratio XIDL/IDL was not significantly different at the two
324 separations ($t_{(9)} = 0.961$, $P = 0.36$), with differences varying among ears between +2.7 and -3.2.
325 These results suggest that either subjects were not consistently using within-channel cues in the
326 XIDL task or the pulse trains on the component electrodes were not resolved even in the large-
327 separation case. Since there was no consistent effect of electrode separation, the two XIDLs for
328 different separations were averaged for analysis of their relation to speech recognition. One
329 subject (S9) only completed psychophysics on electrode pair (10, 13). In this case, the results
330 from the one electrode pair were used in the analysis of relation to speech recognition, with the
331 justification that there was no consistent effect of separation among the other 10 ears.

332 Influence of electrical dynamic range

333 Since all difference limens were normalized as %DR, the relation between DR and other
334 measurements was first explored. Both speech recognition measures were not significantly
335 correlated with the mean DR averaged across the four active electrodes (CNC words; $r_{(10)} = 0.38$,
336 $P = 0.25$; SNR-H; $r_{(10)} = -0.22$, $P = 0.52$). The average dynamic range was also not correlated
337 with the un-normalized (in CL) average difference limens (IDLs; $r_{(10)} = -0.002$, $P = 0.99$; XIDLs;
338 $r_{(10)} = -0.10$, $P = 0.77$). The latter analysis confirms previous reports that showed intensity

339 difference limens were not correlated with dynamic range (e.g. Nelson, et al. 1996). Although
340 the DR measure has some degree of variability due to the subjective nature of “comfortable
341 loudness”, the differences in DR between subjects is largely determined by the loudness growth
342 slope, especially in these particular subjects, who vary in stimulation parameters (pulse duration,
343 rate, mode) that affect DR and loudness slope similarly. Therefore, to explain the very low
344 correlations between DRs and DLs the DLs must be significantly influenced by a factor other
345 than loudness growth slope. This factor is the variance of the evoked stimulus percept as
346 explained below.

347 According to signal detection theory, sensitivity (d-prime) to a current level change can be
348 described as follows:

$$349 \quad d' = \Delta L / \sqrt{\delta} \quad , \quad (1)$$

350 Where ΔL is the average change in loudness (thus associated with the loudness growth function)
351 and δ is the variance of the percept. A difference limen corresponds to a fixed value of d' . In the
352 case of intensity DLs, δ is the variance of the loudness percept evoked by different instances of
353 the stimulus. The variance has both peripheral and central contributions, from variability in the
354 response of the auditory nerve or higher neural pathways, to sensory noise and uncertainty in the
355 central loudness judgement decision. If δ were constant across subjects, then differences in d'
356 would be governed by differences in ΔL (i.e. the loudness growth slope) and hence the raw IDL
357 (in CL) would be inversely correlated with loudness growth slope. If this were the case, the IDLs
358 would be at least moderately correlated with DR. The fact that no such correlation was found
359 implies that the difference in δ across the subjects was a major factor influencing the differences
360 in IDLs (in CL).

361 **Relation between IDLs and XIDLs**

362 A regression between XIDLs and their component IDLs (both in %DR) showed that they were
363 highly correlated with each other ($r_{(20)} = 0.92$, $P < 0.001$), as illustrated in Figure 3. The
364 regression equation showed that XIDLs were on average 2.76 times larger than IDLs (with a
365 regression constant not significantly different from zero). The high correlation suggests that the
366 two measures are strongly influenced by a common mechanism. A strong correlation would be
367 expected if subjects were using within-channel intensity discrimination to do the XIDL task;
368 however, the larger XIDLs compared to IDLs weigh against this scenario, since the dual-
369 electrode task could potentially provide two independent within-channel cues. An alternative
370 common mechanism may be the influence of internal perceptual variance on both of the
371 difference limen tasks, since it was inferred above that this variance has a significant influences
372 on the differences between subjects in IDLs.

373 Since only 3 subjects could do the XIDL-J task with both directions of level adjustment, an
374 alternative measure - the ratio XIDL/IDL - was derived, which may help to differentiate the
375 within- and across-channel processing that occurred in the XIDL task. Since the regression line
376 of XIDL versus IDL went through the origin, the variation in this ratio represents a measure of
377 the variation of each XIDL from the regression line (where the ratio is a fixed value of 2.76). The
378 ratio XIDL/IDL is likely to limit the influence of mechanisms common to both measures (such
379 as variance and within-channel processing), and thus highlight any additional differences specific
380 to across-channel processing ability among subjects.

381 Relation between difference limens and speech recognition

382 Table 4 shows the correlations between the two speech recognition scores (CNC words in quiet
383 and SNR-H) and the three difference limen measurements (IDL, XIDL, and XIDL/IDL). Of all
384 the difference limen measures, XIDLs accounted for the most variance (47%) in both speech

385 recognition measures, as illustrated in Figure 4, with significant correlations with SNR-H and
386 CNC scores. Within-channel IDLs were not significantly correlated with either speech score,
387 although there was a trend for correlation with SNR-H scores. The ratio XIDL/IDL was
388 significantly correlated with CNC word scores and showed a trend for correlation with SNR-H
389 scores, as illustrated in Figure 5. However, a forward stepwise regression showed that IDL or
390 XIDL/IDL did not explain any additional variance in either speech test score after accounting for
391 XIDL.

392 Further qualitative analysis was performed on the XIDL-J scores by dividing the subjects into
393 three groups by categories (see Table 3). Group A (S1, S2, S3) comprised those that achieved
394 XIDL-J measures for dual-electrode targets with currents adjusted in both directions (i.e. at least
395 71% correct on the psychometric function within the limits of current adjustment available).
396 Group B (S6, S8, S9) achieved 71% correct in one direction of adjustment only. Group C (S4,
397 S5-L, S5-R, S7-L, S7-R) could not achieve 71% correct in either direction of adjustment. The
398 mean CNC score was higher in Group A (90.7%) than in Group B (67%) or Group C (42.9%)
399 and the mean SNR-H was lower in Group A (6.0 dB) than in Group B (7.2 dB) or Group C (14.6
400 dB). Although there is insufficient power to analyze these results statistically, the trend in the
401 data supports the notion that cross-channel discrimination is important for speech recognition. .

402 **DISCUSSION**

403 The results of this experiment have shown that speech recognition (phonemes in CNC words)
404 and the effect of noise on sentence recognition (SNR-H) are both significantly associated with
405 the ability to discriminate relative level changes (spectral tilt) across two electrode positions
406 (XIDL). Further, it can be deduced from a combination of two results (the high correlation of

407 IDL and XIDL, and the non-correlation of IDL with DR), that the differences among CI users of
408 IDL, and hence XIDL, are significantly influenced by differences in internal perceptual variance.

409 In this study several techniques aimed to separate out the influences of within- and across-
410 channel intensity processing on speech recognition. Our measure of XIDL-J was intended to
411 separate these two processes. However, the participants with poor speech recognition had very
412 large XIDLs that did not allow the use of a sufficient jitter range. Support for the suggestion that
413 participants were not using within-channel cues in the XIDL task comes from the facts that a)
414 there was no effect of electrode separation in the XIDL task and b) the XIDLs were nearly three
415 times the size of the IDLs. Furthermore, the ratio XIDL/IDL, which should limit the use of cues
416 common to both tasks (IDLs and perceptual variance) was also correlated with speech
417 recognition (phonemes in CNC words). The modest power of this analysis, and the reliance on
418 several assumptions, make unequivocal interpretations impossible. However, the overall pattern
419 of results lend support to the proposal of Anderson et al (2012) that spectral tilt difference limens
420 may rely on different processes than within-channel intensity difference limens, and that the
421 former is more important in speech recognition.

422 Provided that the subjects were actually doing across-channel processing in the XIDL task, the
423 proposition that perceptual variance was a limiting factor in both tasks could explain why XIDLs
424 were on average nearly three times larger than the single-channel IDLs. If the subjects were
425 judging the relative level of the two component channels in the XIDL task, as proposed, then the
426 variance in this judgement would be twice as large as the variance present in a single channel
427 task. On the other hand, if the subjects were doing the XIDL task by monitoring a single channel
428 or two separate single channels, the XIDLs would be predicted to be more similar to the IDLs.

429 In summary, the results are consistent with poor XIDLs being associated with poor recognition
430 of phonemes in CNC words, and with a large effect of noise on sentence recognition (SNR-H).
431 Furthermore, the results are consistent with poor XIDLs being associated with large internal
432 perceptual variance. It is not surprising that poor XIDLs are associated with poor speech
433 recognition, especially phoneme recognition, as being able to recognize spectral shape cues is
434 important for vowel recognition and for features of consonants such as place of articulation.
435 More surprising is the evidence that internal perceptual variance may have a significant influence
436 on differences in speech recognition among CI users. Although it has been noted before that
437 within-channel IDLs are not correlated with loudness growth slope, and hence must be largely
438 determined by perceptual variance, (e.g. Nelson et al. 1996), it can be deduced from the results
439 of this study that perceptual variance may also influence speech recognition.

440 It is indeed very plausible that perceptual variance could have an influence on speech
441 recognition, either by directly limiting the ability to recognize spectral shape, and/or less directly
442 by limiting the ability of the CI user to learn new phoneme representations after implantation.
443 The perceptual variance may alter the perceived spectral shape for different instances of the same
444 phoneme, making it harder to adapt to the new auditory input.

445 A psychophysical experiment such as the one in this study cannot differentiate the peripheral and
446 central sources of the perceptual variance. Poor cochlear health may be associated with high
447 variance in peripheral neural responses (Shepherd and Hardie 2001) or higher sensory noise
448 throughout the auditory pathways. On the other hand, cortical plastic changes due to auditory
449 deprivation have been strongly associated with speech recognition after cochlear implantation
450 (e.g. Lazard, et al. 2014; Lazard, et al. 2012), and deterioration in central decision mechanisms
451 may contribute to the perceptual variance or uncertainty.

452 Implications for psychophysical markers of poor speech perception

453 The proposal that perceptual variance is likely to be a factor underlying the relation between
454 speech recognition and intensity discrimination in CI users has important implications for
455 interpretation of the relation of speech with other psychophysical measures, as well as for the
456 aim of overcoming limitations in speech recognition. Every psychophysical measure that uses a
457 standard discrimination task of comparing a reference and target stimulus will be affected by
458 perceptual variance in a way similar to intensity discrimination. This is especially true for
459 absolute measures in spectral and temporal resolution tasks, as each relies to a large extent on
460 intensity discrimination, whether within or across-channels. It is noteworthy that the low-
461 frequency cut-off frequencies in temporal or spectral modulation transfer functions have *not* been
462 shown to be correlated with speech recognition (Fraser and McKay 2012; Saoji et al. 2009). This
463 lack of correlation would be expected if perceptual variance and not spectral or temporal
464 resolution *per se* was the factor affecting performance, particularly if the perceptual variance is
465 not dependent on spectral or amplitude modulation frequency.

466 A corollary of the proposal that perceptual variance is a dominant factor limiting speech
467 recognition, is that efforts to optimize outcomes for those with poor speech recognition would
468 more be more effective if focused towards understanding the sources of this variance so that they
469 can be limited, rather than focusing on methods to improve frequency or temporal resolution.

470 Future research efforts should focus on methods to differentiate the effects of perceptual variance
471 from other perceptual processes. For example, Azadpour and McKay (2012) developed a novel
472 method to measure spectral resolution that limited the influence of overall loudness differences
473 or spectral shift. Using loudness models and comparisons with single-electrode IDLs, they
474 showed that the ability of subjects to discriminate spectrally rippled and flat stimuli was highly

475 influenced by perceptual variance, and that the large deterioration of performance with reduction
476 in overall level was likely to be due to increased variance at lower stimulus levels.

477 **CONCLUSIONS**

478 The overall pattern of results of this study is consistent with poorer spectral tilt discrimination
479 being associated with poorer speech recognition and with a larger effect of noise on sentence
480 recognition. Furthermore the results are consistent with poorer spectral tilt discrimination being
481 associated with larger internal perceptual variance.

482 It was concluded that perceptual variance is an important factor that limits both within- and
483 across-channel intensity discrimination and therefore may be a common factor, at least in CI
484 users, underlying the relation between spectral tilt discrimination and speech recognition.

485 Evidence that cross-channel discrimination is more important for speech recognition than within-
486 channel discrimination in CI users was not unequivocally shown in this study. However, the
487 results were not inconsistent with this proposition, supported by the facts that XIDLs were more
488 correlated with speech performance than IDLs, and that the ratio XIDL/IDL was also correlated
489 with speech recognition.

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495 Victorian Government through its Operational Infrastructure Support program.

496 **CONFLICT OF INTEREST**

497 The authors declare that they have no conflict of interest.

498

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583

584

585 **FIGURE LEGENDS**

586 Figure 1. A schematic diagram illustrating the reference and test stimuli for the spectral tilt
587 discrimination task. A and B represent the two component electrodes of the dual-electrode
588 stimulus, with the horizontal line representing equal loudness for the reference stimulus. The test
589 stimulus has electrode A current levels increased by X CL, and electrode B has currents reduced
590 by X CL.

591
592 Figure 2. Example psychometric functions to derive XIDL (closed circles) and XIDL-J (open
593 circles) for S2 with dual-electrode stimulation on E10 and E13. The x-axis refers to the increase
594 or decrease of CL on E10 relative to the that in the reference stimulus: E13 always had the
595 opposite adjustment to E10. For example the data point at $x = 2$ is that for E13 increased by 2 CL
596 and E10 decreased by 2 CL. XIDL and XIDL-J were defined as the mean of the absolute
597 intercepts of the interpolated functions with the 71% correct (dotted) line, then expressed as
598 %DR.

599
600 Figure 3. Regression between across-electrode intensity difference limens (XIDLs) and the mean
601 single-electrode difference limens (IDLs) using the same pair of electrodes.

602
603 Figure 4. Correlation of XIDLs with speech recognition: upper panel phonemes in CNC words,
604 lower panel SNR-H.

605
606 Figure 5. Correlation of the ratio of XIDL/IDL with speech recognition: upper panel phonemes
607 in CNC words, lower panel SNR-H.

Table 1. Details of participants' implants, speech processors, etiology, age, and implant experience.

Subject	Etiology	Implant type	Speech processor	Implant experience (years)
S1	Progressive/genetic	CI24RE	CP810	7
S2	Menieres	CI24RE	CP910	6
S3	Otosclerosis	CI24RE	CP810	5
S4	Otosclerosis	CI22	Freedom	22
S5-L	Progressive/genetic	CI24RE	CP810	7
S6	Progressive/genetic	CI24RE	Freedom	6
S5-R	Progressive/genetic	CI512	CP810	4
S7-R	Progressive unknown	CI24M	CP810	15
S8	Progressive/genetic	CI24R	CP810	15
S9	Progressive unknown	CI512	CP900	1
S7-L	Progressive unknown	CI512	CP900	5

Table 2. Details of the clinical map parameters of each participant (Strategy, maxima, rate (per-electrode), phase duration, interphase gap).

Subject	Mode	Strategy	Rate (pps)	maxima	Phase duration (μ s)	Interphase gap (μ s)
S1	MP	ACE	900	2	200	8
S2	MP	ACE	900	8	37	8
S3	MP	ACE	500	8	25	8
S4	BP+1 (stim level)	SPEAK	250	8	variable	45
S5-L	MP	ACE	900	8	25	8
S6	MP	ACE	900	8	25	8
S5-R	MP	ACE	900	8	25	8
S7-R	MP	ACE	900	8	25	8
S8	MP	ACE	1200	8	25	8
S9	MP	ACE	900	8	25	8
S7-L	MP	ACE	900	8	25	8

Table 3. Results of speech tests and intensity difference limens for each subject. The first two columns are the words and sentence recognition in quiet. The third column is the SNR at which the sentence score was half that of the score in quiet. In the XIDL-J columns, the X denotes failure to reach 71% correct at adjustment level of 25%DR (at which the jitter level required was too high), and H denotes reaching 71% in one direction of adjustment only. S9 left the study before DLs on electrodes 3/20 were measured.

Subject	CNC %	CUNY %	SNR-H dB	E10 IDL %DR	E13 IDL %DR	E10/13 XIDL %DR	E10/13 XIDL-J %DR	E3 IDL %DR	E20 IDL %DR	E3/20 XIDL %DR	E3/E20 XIDL-J %DR
S1	84.7	100.0	8.4	1.3	1.5	2.4	2.5	1.1	2.5	3.8	7.1
S2	96.0	100.0	3.0	3.7	2.2	2.3	7.2	5.7	2.8	3.3	10.8
S3	91.3	99.5	6.7	5.4	5.4	11.1	27.8	4.0	4.6	5.0	22.4
S4	35.3	26.0	15.5	20.0	6.1	37.0	X	4.9	13.3	25.8	X
S5-L	24.0	14.0	17.5	13.4	17.3	56.9	X	21.8	30.6	81.6	X
S6	82.0	96.1	5.8	2.8	8.2	23.6	X	11.3	5.4	20.9	H
S5-R	31.3	23.0	17.0	10.8	18.9	60.1	X	5.5	1.7	24.5	X
S7-R	48.7	88.0	10.4	13.5	15.2	45.6	X	31.7	17.5	62.5	X
S8	52.7	82.4	8.6	4.6	3.2	18.6	X	3.6	4.5	6.1	H

S9	37.0	40.0	17.4	14.2	8.7	25.8	H	-	-	-	-
S7-L	75.3	100.0	12.4	13.9	18.9	45.1	X	13.0	37.6	51.5	X

Table 4. Linear regression of speech scores predicted by difference limen scores (n = 11).

	IDL	XIDL	XIDL/IDL
CNC words	R = - 0.52, p = 0.10	R = - 0.69, p = 0.019	R = -0.67, p = 0.024
SNR-H	R = 0.58, p = 0.059	R = 0.69, p = 0.019	R = 0.58, p = 0.064

Figure 1.

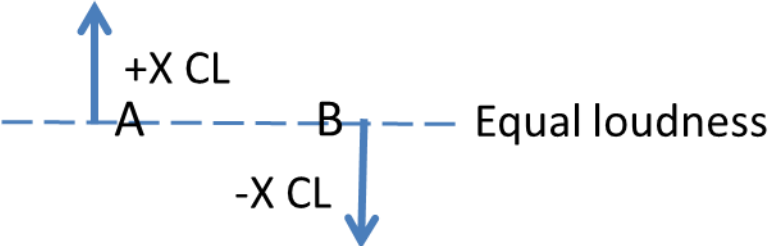


Figure 2

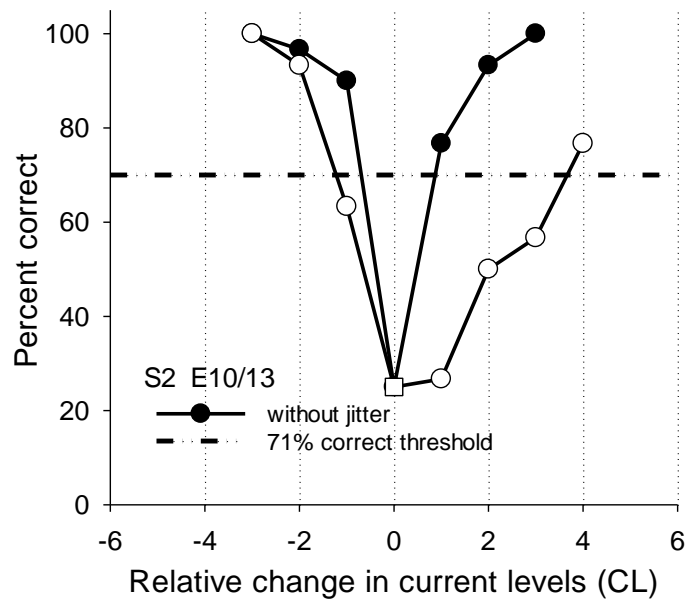


Figure 3

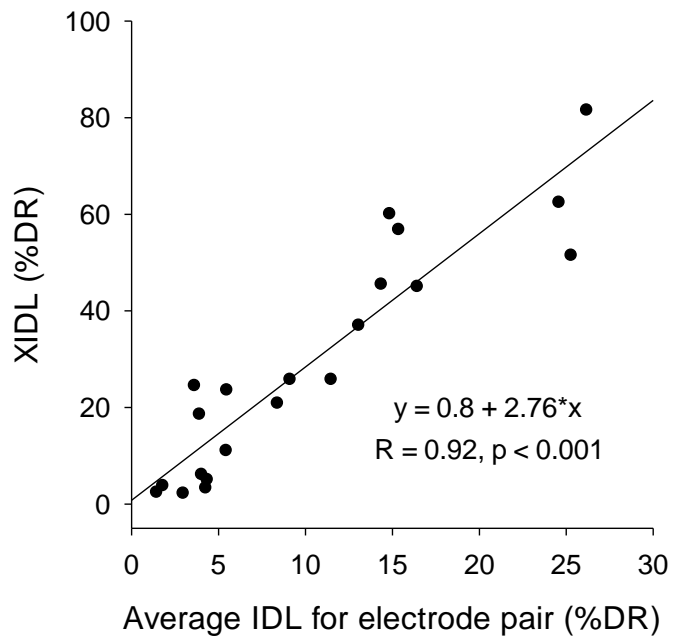


Figure 4

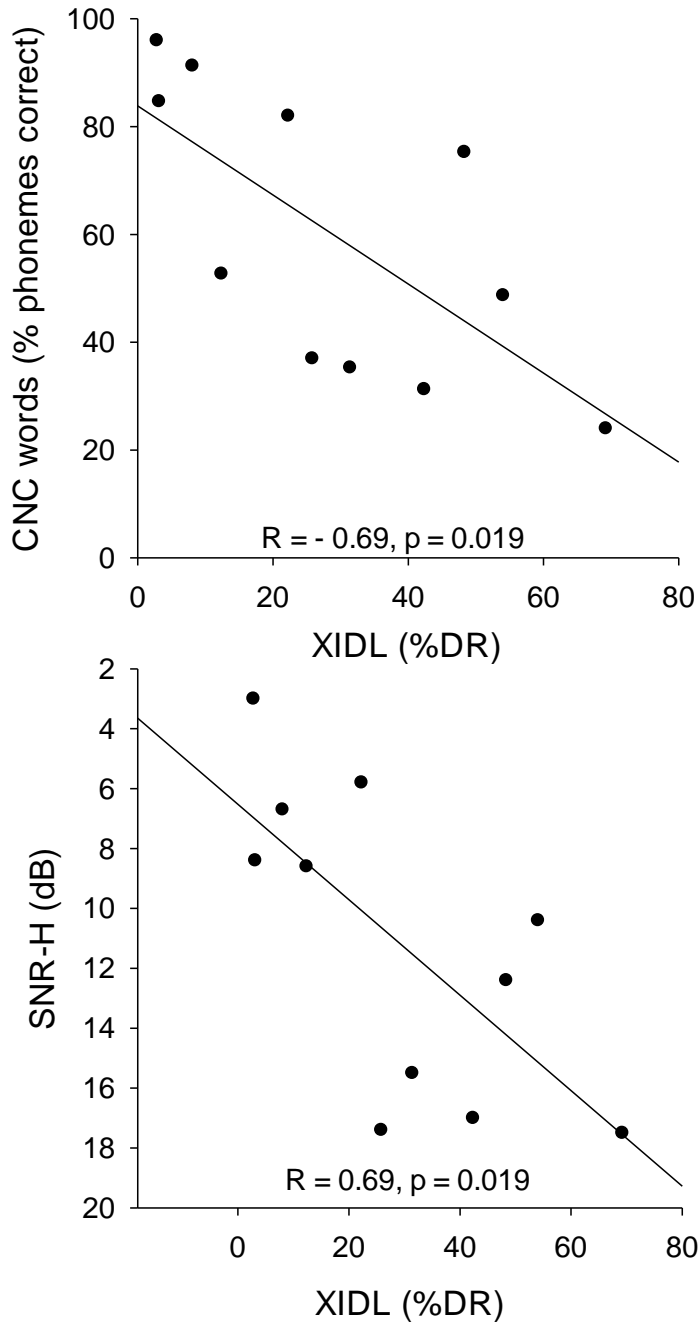


Figure 5

