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ACCEPTED MANUSCRIPT

## Slim electrodes for improved targeting in deep brain stimulation

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# Slim electrodes for improved targeting in Deep Brain Stimulation

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## Abstract

**Objective:** The efficacy of deep brain stimulation can be limited by factors including poor selectivity of stimulation, targeting error, and complications related to implant reliability and stability. We aimed to improve surgical outcomes by evaluating electrode leads with smaller diameter electrode and microelectrodes incorporated which can be used for assisting targeting.

**Approach:** Electrode arrays were constructed with two different diameters of 0.65 mm and the standard 1.3 mm. Micro-electrodes were incorporated into the slim electrode arrays for recording spiking neural activity.

Arrays were bilaterally implanted into the medial geniculate body (MGB) in nine anaesthetised cats for 24–40 hours using stereotactic techniques. Recordings of auditory evoked field potentials and multi-unit activity

1  
2 were obtained at 1 mm intervals along the electrode insertion track. Insertion trauma was evaluated  
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4 histologically.  
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6  
7 Main results: Evoked auditory field potentials were recorded from ring and micro-electrodes in the vicinity  
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9 of the medial geniculate body. Spiking activity was recorded from 81% of the microelectrodes approaching  
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11 the MGB. Histological examination showed localized surgical trauma along the implant. The extent of  
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13 haemorrhage surrounding the track was measured and found to be significantly reduced with the miniature  
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15 slim electrodes ( $541\pm 455\ \mu\text{m}$  vs.  $827\pm 647\ \mu\text{m}$ ;  $P < 0.001$ ). Scoring of the trauma, focusing on tissue  
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17 disruption, haemorrhage, oedema of glial parenchyma and pyknosis, revealed a significantly lower trauma  
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19 score for the slim electrodes ( $P < 0.0001$ ).  
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23 Significance: The slim electrodes reduced the extent of acute trauma, while still providing adequate electrode  
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25 impedance for both stimulating and recording, and providing the option to target stimulate smaller volumes  
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27 of tissue. The incorporation of microelectrodes into the electrode array may allow for a simplified, single-  
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29 step surgical approach where confirmatory micro-targeting is done with the same lead used for permanent  
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31 implantation.  
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## 1. Introduction

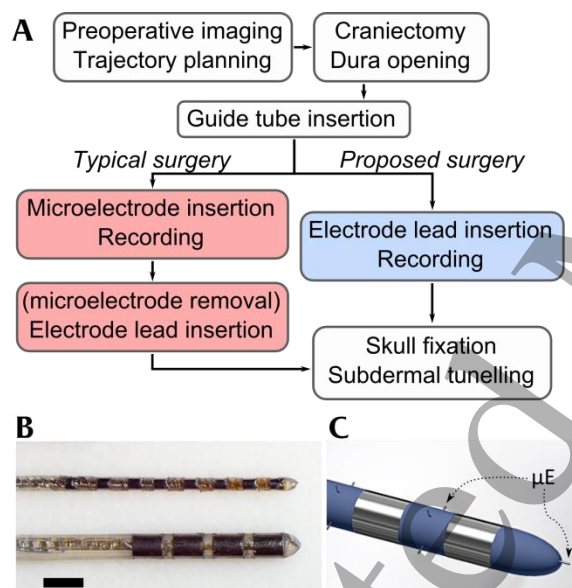
Deep Brain Stimulation (DBS) is a widely used therapy based on electrical stimulation of the central nervous system. It is indicated for treating symptoms of Parkinson's disease [1] and it has been used to treat a wide range of neurological conditions [2, 3]. More than 100,000 patients have been implanted with a DBS device, primarily people living with Parkinson's disease that have become less responsive to Levodopa over time [4]. However, there is growing evidence that DBS can be beneficial in earlier stages of the disease [5]. DBS therapy can alleviate the motor symptoms that most affect the quality of life [6], although efficacy is significantly affected by factors including poor selectivity of stimulation, targeting errors, and the natural variation of symptoms over time [7, 8].

The DBS implantation procedure is relatively safe. Hardware-related complications however are common and reported in 8–19% of cases [9-11]. These include lead fracture, infection, stimulator problems, extension cable faults, and lead migration. The most serious risk is intracranial haemorrhage, which has been reported with an occurrence of 1-4% [12-14]. The physical configuration of the DBS hardware has remained largely unchanged for over two decades. The brain-penetrating electrode lead, in particular, has generally maintained a consistent diameter of around 1.3 mm. Recent innovations on leads have focused on segmenting the ring electrodes to enable steering the stimulation current field [15-17] or recording neural potentials [18]. This constancy in lead diameter is surprising given that thinner electrode leads have been used for animal studies with diameters varying from 0.08–0.2 mm [19] to 1.1 mm [20].

It has been proposed that reducing the overall size of the DBS hardware would be beneficial and could decrease the rate of complications [21]. However, a reduction in lead diameter could adversely affect the accuracy of electrode placement, since current surgical techniques have targeting errors of only  $1.2 \pm 0.6$  mm using a full frame (or  $2.5 \pm 1.4$  mm for frameless procedures) [22] when targeting the basal ganglia. These errors are due to a mixture of inherent inaccuracies in the imaging techniques that fuse preoperative magnetic resonance imaging with x-ray computed tomography, as well as brain shift during surgery (from gravity, brain deformation, and cerebrospinal fluid loss) [23, 24]. Accuracy is often improved by a separate step of intraoperative targeting verification, typically through microelectrode recordings [25, 26], although implantable radiopaque guide tubes [27] for imaging guidance (in two different surgery stages) have been proposed as an alternative.

With the aim to simplify the surgical implantation, we proposed using thinner electrode leads with incorporated microelectrodes. This would achieve a single-step surgical insertion with targeting verification using the lead intended for permanent implantation (

Figure 1). This study evaluated the effect of using the slim electrode lead with microelectrodes, which is half the diameter of standard leads (e.g. Medtronic Lead 3387) and similar to targeting electrodes (e.g. FHC STarFix microelectrodes). Preliminary results of this comparison were presented previously [28]. In the report below we describe the trauma from acute *in vivo* implantation of the electrode leads, with a comparison of the accuracy of the insertion trajectory using a transparent gel model of brain tissue. The electrode leads incorporate microelectrodes to enable localised recording of high frequency brain potentials [29, 30], but instead of constructing the lead with a thin film substrate [31], a soft polymer substrate was used to maintain the flexibility of typical DBS leads.



**Figure 1.** (A) With incorporated microelectrodes in a DBS lead, the critical surgical insertion procedure can be simplified to a single step. (B) The slim electrode lead ( $\phi$  0.65  $\mu\text{m}$ ) is  $\frac{1}{4}$  of the volume of standard DBS leads ( $\phi$  1.3 mm). Scale bar is 2 mm. (C) The electrode lead incorporates microelectrodes ( $\mu\text{E}$ ) at the tip and sides to perform neural recordings.

## 2. Methods

### 2.1. Electrode Leads and Cannulas

The Deep Brain Stimulation electrodes were assembled in-house using platinum rings (Johnson Matthey Medical) in a silicone rubber substrate. There were two electrode lead diameters: 0.65 mm and 1.3 mm. The smaller electrode lead contained 8 electrodes of 0.65 mm diameter and 0.65 mm length, at a 1.5 mm pitch. Wires of 25 $\mu\text{m}$  diameter (Pt-Ir alloy) protruded from the substrate to become microelectrodes for neural

1  
2 recording. There were 2 microelectrodes at the tip, for redundancy, and up to three microelectrodes on the  
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4 side, interspaced with the rings (  
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7 Figure 1C). The larger lead had 4 electrodes of 1.3 mm diameter and 1.5 mm length, at a 3 mm pitch, to  
8  
9 mimic standard DBS electrodes. The substrate was injection-moulded with silicone rubber (MED4840; Nusil  
10  
11 Technology). The electrode lead was hollow and contained a stylet made with thin tungsten wire  
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13 (Goodfellow). Electrode impedances in vivo were measured with a Pt reference and large Pt counter-  
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15 electrode, using a potentiostat (Solartron).  
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18 DBS electrodes are normally implanted stereotaxically into the desired target using metal cannulas that stop  
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20 short (e.g. 10 mm) of the target. A custom cannula for the slim electrode lead was made using stainless steel  
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22 tube. The outer diameter of the cannula was 1.1 mm for the distal 80 mm that penetrated the brain, and 1.8  
23  
24 mm for the rest of the length to fit into standard stereotaxic guidance equipment. The cannula used for the 1.3  
25  
26 mm implant was from a microtargeting tube insertion set (FHC Inc). The cannulas had a solid stiffening rod  
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28 used for the initial insertion into the tissue, which was subsequently removed to insert the electrode lead.  
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## 32 2.2. *In vivo acute implantation*

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34 The DBS electrodes were implanted acutely under anaesthesia in 9 adult cats (3.1–6.2 kg) for a duration of  
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36 24 to 40 hours. Electrode leads of both dimensions were implanted into the thalamus in each hemisphere.  
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38 The protocols were approved by the Animal Research Ethics Committee of the Royal Victorian Eye and Ear  
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40 Hospital and were compliant with: the “Australian code of practice for the care and use of animals for  
41  
42 scientific purposes” (7<sup>th</sup> edition 2004), the Australian “Prevention of cruelty to animals act” (1986), and the  
43  
44 “principles of laboratory animal care” (NIH publication 85-23, revision 1985).  
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### 47 2.2.1. *Anaesthesia*

48 After the cats were premedicated with intramuscular medetomidine (0.015 mg/kg), ketamine (10 mg/kg) and  
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50 methadone (0.5 mg/kg), a 22 G catheter was placed in a cephalic vein. General anaesthesia was then induced  
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52 with intravenous administration of propofol to effect (0–2 mg/kg) and the trachea of the cats was intubated  
53  
54 with a cuffed endotracheal tube. Anaesthesia was maintained with methadone intravenous constant rate  
55  
56 infusion (0.05 mg/kg/h) and propofol intravenous infusion to effect (0.05–0.2 mg/kg). Throughout the  
57  
58 anaesthesia, 100% oxygen was provided and the cats were mechanically ventilated to maintain normocapnia.  
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60 A multi-parametric anaesthesia monitor was used to monitor electrocardiogram, heart rate, mean arterial

1  
2 blood pressures, respiratory rate, partial pressure of end-tidal carbon dioxide (PETCO<sub>2</sub>), oxygen  
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4 haemoglobin saturation (by pulse oximetry [SpO<sub>2</sub>]) and temperature.  
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### 7 2.2.2. *Surgery*

8 The 0.65 mm diameter electrode lead was stereotaxically inserted towards the medial geniculate body of the  
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10 thalamus, and the 1.3 mm diameter electrode lead was implanted in a parallel trajectory, 4 mm rostral. The  
11  
12 animal's head was mounted in the stereotaxic frame (David Kopf Instruments, Tujunga CA, USA) using  
13  
14 hollow ear-bars to permit auditory stimulation. The coordinates were calculated from a brain atlas [32], with  
15  
16 reference to the interaural line and midsagittal plane. A cannula was inserted to 6 mm above target (half of  
17  
18 insertion depth) and the electrode leads inserted through it and advanced slowly with a micromanipulator  
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20 (STar Drive; FHC Inc, Bowdoin ME, USA) mounted with a custom-built adaptor. The electrode lead was  
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22 fixed in place, typically at 2 mm past the calculated target, using bone cement to fill the 2–3 mm diameter  
23  
24 craniotomy.  
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### 28 2.2.3. *Neural recordings*

29 Recordings of brain activity were obtained at every 1 mm of depth (or more frequently when spiking activity  
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31 was detected) using a multichannel recording system (Blackrock Microsystems, Salt Lake City UT, USA).  
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33 The signal from the microelectrode at the tip was played on a speaker as the implant was advanced, similar to  
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35 functional neurosurgery in DBS patients. Once the implant was fixed, recordings were repeated every few  
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37 hours for the course of the implantation period. The recordings were performed either in silence or with an  
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39 auditory stimulus intended to activate the medial geniculate body. The auditory stimuli consisted of trains of  
40  
41 2 ms noise bursts at 25 Hz presented for 2 seconds alternated with 2 seconds of silence. Evoked potentials  
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43 were analysed offline from both macro electrodes and microelectrodes. Spontaneous multi-unit activity in the  
44  
45 form of spikes was filtered (0.5-2 kHz) and obtained from the microelectrode recordings, using recordings  
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47 where no auditory stimuli were presented. Spikes events were defined as signal incursions beyond 4 times  
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49 the root-mean-square of voltage magnitude, which were counted and divided by the length of the recording  
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51 (typically 60 seconds) to obtain the spike per second rate.  
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55 The location of each electrode was measured from histological slides, where the track offset and the final  
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57 depth were measured from the centre of the MGB. To assess the spread of the evoked potential or spatial  
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2 decay of the signal, the slope of a linear fit was calculated using the evoked potential amplitude vs distance  
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4 to MGB in all the electrodes that had recordings on at least 3 depths.  
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#### 6 7 *2.2.4. Histology*

8 At the end of the experiment, the animals were euthanized with an overdose of sodium pentobarbitone (150  
9 mg/kg; Lethabarb) and perfused intracardially with warm saline (37 °C) followed by neutral buffered  
10 formalin (4 °C). The collected brains were postfixed in neutral buffered formalin for 24–48 h. The paraffin  
11 embedded tissue was sectioned (5 µm) and stained with haematoxylin and eosin (H&E). Selected slides  
12 containing the electrode tracks were stained with a terminal d-UTP nick-end labelling (TUNEL) assay using  
13 a green fluorescent protein marker and with 4',6-diamidino-2-phenylindole (DAPI) for background.  
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21 Representative sections were chosen from each implant track: the best section showing a centred region of  
22 the deep implant track with the least amount of histological artefact. Assessment of the surgical trauma to the  
23 brain tissue, related to the injury from electrode insertion, was carried out keeping in mind the extent of  
24 tissue disruption, haemorrhage, oedema of the glial parenchyma, and the extent of neuronal injury (pyknosis)  
25 in parenchyma close to the tract. A cumulative score ranging from 0-10 was given by the pathologist (V  
26 Rathi) and implantable device specialist (J Villalobos) based on the factors mentioned above; 0 being no  
27 damage and 10 being severe damage. The scores from the two assessors were averaged for each slide and the  
28 correlation checked for consistency. While the assessors were blinded during scoring of histological slides, it  
29 was evident when the track was from a standard or slim implant from anatomy and width of the track.  
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41 As an independent parallel assessment, a script programmed in ImageJ software (NIH) measured the area of  
42 haemorrhage adjacent to the track from the microphotographs where the track was visible. Haemorrhage was  
43 defined as the area where the image colour reached a 95% red threshold, after normalising the background to  
44 pure white. The measured area was divided by the length of visible track to give a measure of extent of  
45 haemorrhage (with linear units), similar to an even thickness around the track. The track haemorrhage extent  
46 was compared within hemispheres to account for differences in physiological response, duration of  
47 experiment and potential confounding with adjacent track.  
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56 Apoptotic cell counts on the representative slides with TUNEL staining were done from the digitized 20x  
57 microphotographs (Zeiss AxioImager) using custom ImageJ scripts. The scripts isolated a region of interest  
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2 extending 300  $\mu\text{m}$  from the electrode track, and counted the total cell nuclei in the blue DAPI channel and  
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4 the TUNEL positive nuclei in the green channel.  
5

### 6 7 *2.3. Lead deflection testing in gel model*

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9 The slim and standard size electrode leads were inserted into blocks of agarose gel to measure trajectory  
10 deviation and insertion force. This enabled direct observation of the trajectory which is concealed in vivo by  
11 the tissue. There were 6 electrode leads of each type. A 30 mm  $\times$  30 mm  $\times$  30 mm gel block with 0.6%  
12 agarose was used as a mechanical model of brain tissue [33]. Each insertion included inserting and fixing the  
13 cannula into a fresh area of agar gel and removing the cannula stiffening rod. Subsequently the implants were  
14 inserted through the cannula and advanced at a rate of 2 mm/s to project 10 mm from the cannula. The  
15 implant was pushed into the gel by a force gauge (M5-05; Mark 10) attached to a micromanipulator (MP-  
16 285; Sutter Instrument). The trajectory deviations were measured from images taken with close-up cameras  
17 (Digitech 5MP) on two orthogonal planes, which were analysed in ImageJ software (NIH).  
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### 29 *2.4. Statistical analysis*

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31 The main results are reported below as means  $\pm$  standard deviation. Comparisons between the slim and  
32 standard sized implant for histological trauma quantification were done using paired t-tests, or non-  
33 parametric (Mann-Whitney) tests for subjective trauma scoring. In the case of implant insertions into gel,  
34 where multiple insertions were done with each implant, comparisons were made with a General Linear  
35 Model using the implant diameter as fixed factor and specific implant as random factor.  
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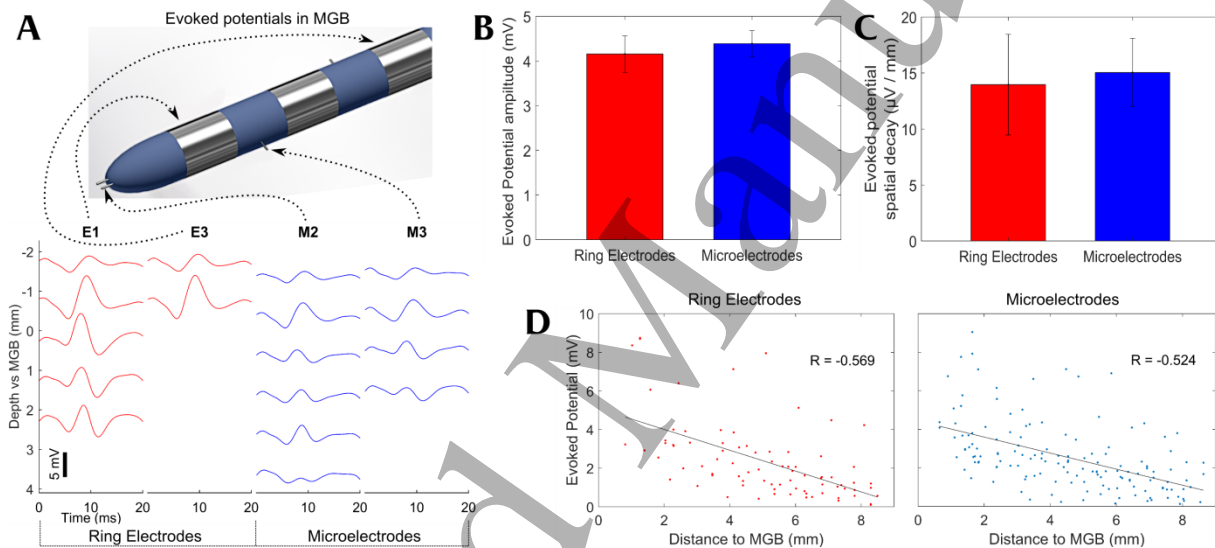
## 44 **3. Results**

### 45 46 *3.1. In vivo electrode impedance*

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48 Electrode impedance was measured in a subset of electrodes after full insertion into brain tissue. The in vivo  
49 impedance magnitude of the standard sized DBS electrodes (n = 10) was  $1.2 \pm 0.3$  k $\Omega$  (at 1 kHz). The  
50 impedance of the slim stimulating electrodes (n = 20) was higher at  $2.0 \pm 0.6$  k $\Omega$ , corresponding to a decrease  
51 in geometric surface area from 7.1 mm<sup>2</sup> to 1.3 mm<sup>2</sup>. The microelectrodes (n = 29), made of Pt|Ir wire  
52 protruding from the substrate, had an impedance magnitude in vivo of  $294 \pm 174$  k $\Omega$ .  
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### *3.2. Neural Recordings*

Brain activity was recorded using the ring electrodes and microelectrodes from the slim electrode leads. These were inserted towards the MGB while recordings were made at 1 mm steps. Typically, in the subset of electrodes that approached the MGB, the amplitude of the auditory evoked potential increased with advancing depth, to reach a maximum of  $4.1 \pm 2.3$  mV for the ring electrodes (20 out of 32) and  $4.4 \pm 1.9$  mV for the microelectrodes (30 of 41) (Figure 2). Figure 2A shows the increase in evoked potential amplitude with proximity to the MGB observed in both ring and microelectrodes. The peak amplitude of the evoked potential was similar in microelectrodes and the ring electrodes (Figure 2B), as well as the decay rate (slope of linear fit) of the signal with distance away from the MGB (Figure 2C), indicating an alignment in the volumetric spread of the signal which was not affected by electrode size. The evoked potential observed in both types of electrodes had a strong correlation to the distance from MGB (Figure 2D).

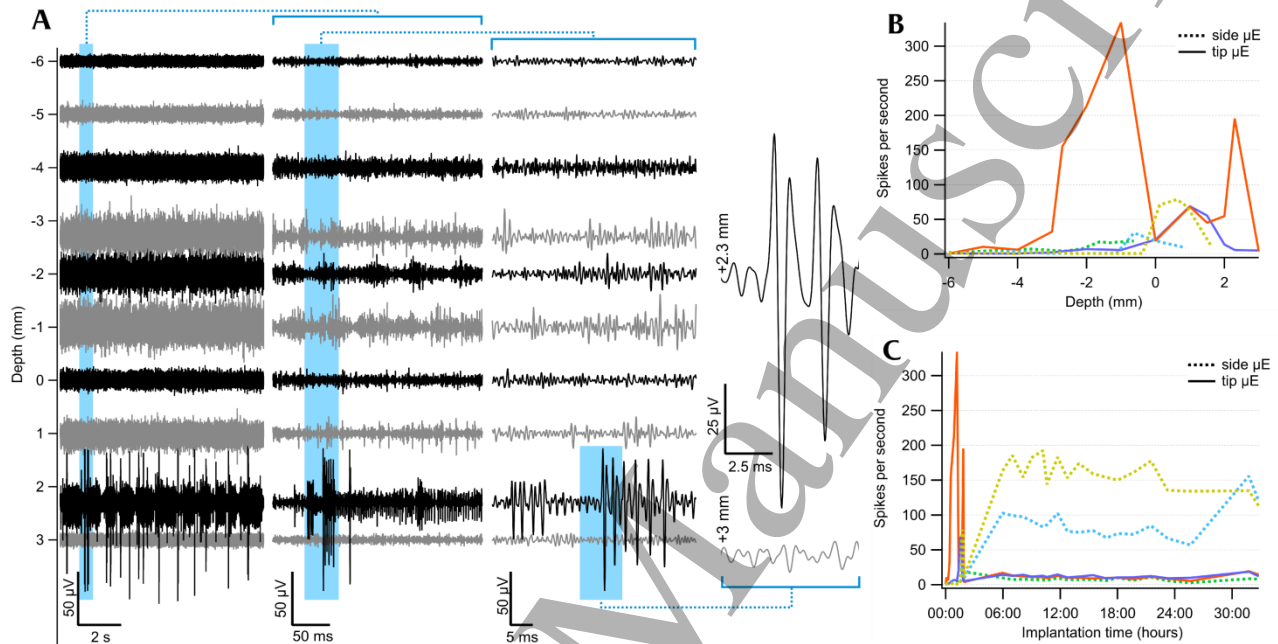


**Figure 2.** Auditory evoked potentials recorded from electrodes at (A) advancing depths towards cat medial geniculate body (auditory thalamus) showed a change in signal amplitude with proximity to the MGB (signals shown up to maximum depth for each electrode). Red traces recorded from rings and blue traces from microelectrodes (B) The maximum evoked potential signal amplitude was similar for ring macroelectrodes ( $n = 20$ ) and microelectrodes ( $n = 30$ ), and (C) both showed a similar spatial attenuation pattern. (D) The amplitude of the evoked potential correlated with the histologically-measured distance to MGB.

The microelectrodes were used to record and listen for high frequency spiking activity at advancing depths.

Figure 3 shows an example of spike rates changes over penetration distance. The amplified signals from microelectrodes at the tip were played through a speaker during insertion, without auditory stimuli, in a

similar fashion to microtargeting during DBS surgery for movement disorders albeit on a different target. Changes in spiking activity with depth were identified in 17 of the 30 microelectrodes which were close to the MGB. After histological tracing of the electrode track to identify electrodes reaching the MGB, it was confirmed that 5 of the 7 microelectrodes at the tip and 8 of the 9 microelectrodes on the implant side showed increased spiking activity with proximity to the MGB. The spiking activity observed in microelectrodes on the side of the implant, usually increased hours after implantation (Figure 3C).



**Figure 3.** Spiking activity in cat thalamus using microelectrodes incorporated in the slim electrode lead. (A) Left plot shows filtered recordings from one tip microelectrode at increasing depth. The second, third and fourth panels show increased time magnification (corresponding to blue areas). (B) Spike rate of 5 different microelectrodes vs. implant depth, where solid lines were the tip electrodes and dotted lines were electrodes on the side. (C) Spiking activity evolved hours after implantation. Microelectrodes on the side of the implant (green and blue dotted lines) reliably recorded multiunit activity after recovery from initial surgical insult.

### 3.3. Surgical Trauma

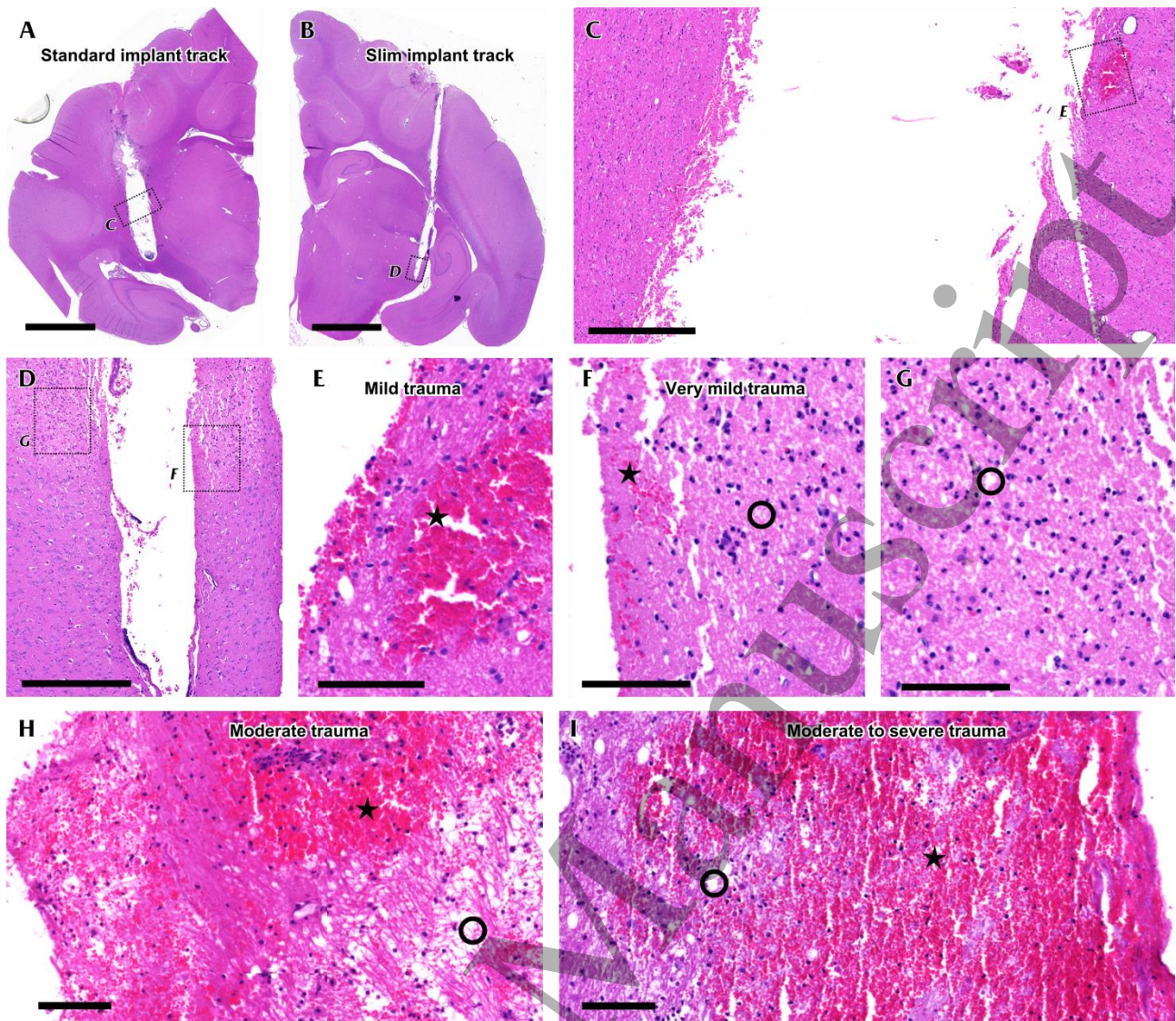
There were 37 implant tracks from 9 brains. Examination of histological slides of the cerebral tissue surrounding the implantation track showed generally mild penetrating trauma, with focal haemorrhage and oedema extending from the track (Figure 4).

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2 The trauma was scored by an experienced anatomical pathologist (V Rathi) and a researcher experienced  
3 with surgical trauma (J Villalobos), with respect to tissue disruption, haemorrhage (Figure 4E), oedema  
4 (Figure 4G), and the extent of neuronal injury. Overall, the standard size implant tracks showed a larger  
5 extent of trauma, albeit some standard size tracks had only mild haemorrhage and inflammation. The mean  
6 trauma score for the slim implant tracks of  $2.3 \pm 1.0$  was significantly lower than the score for the standard  
7 implant track  $4.7 \pm 1.6$  (Mann-Whitney  $W = 184$ ,  $P < 0.0001$ ) (Figure 5B). The scores from the two appraisers  
8 were highly consistent (mean difference of  $0.9 \pm 0.8$ ) and correlated (Pearson  $R = 0.81$ ,  $P < 0.001$ ). The range  
9 of tissue response was scored in a range from 1 for very mild trauma, 2 (Figure 4F), 4 (Figure 4E), 6 (Figure  
10 4H) or up to 8 for extensive trauma (Figure 4I).

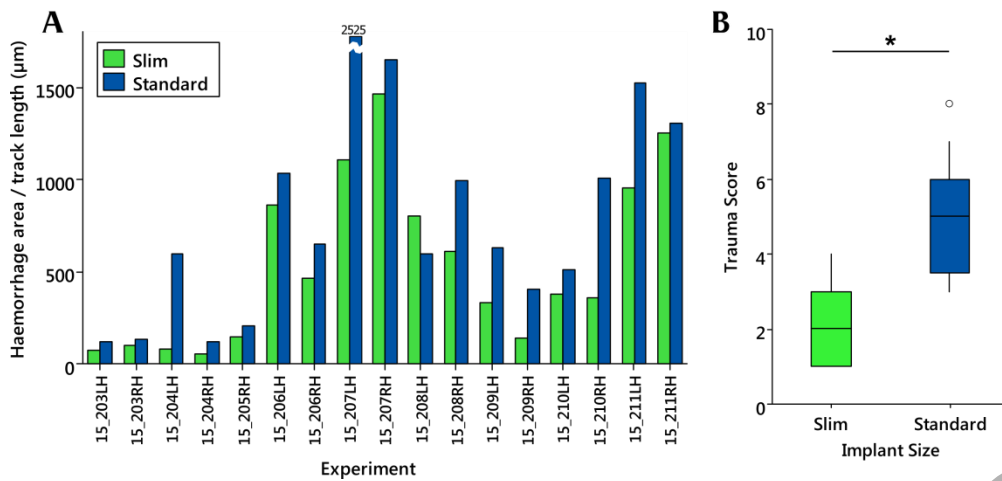
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22 Measurement of the extent of haemorrhage using computer software also showed a higher level of trauma for  
23 the standard sized vs. slim electrode tracks. The mean haemorrhage extent for the slim implant tracks was  
24 calculated at  $541 \pm 455$   $\mu\text{m}$ , while for the standard tracks it was  $827 \pm 647$   $\mu\text{m}$  (paired t-test:  $T = -3.23$ ;  $P =$   
25  $0.005$ ). In each implanted brain hemisphere, the standard sized track had between 1.2 and 2.8 times (95%  
26 confidence interval) the extent of haemorrhage of the slim track.

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33 The proportion of apoptotic cells surrounding the slim electrode track was significantly lower than for the  
34 standard tracks (t-test  $T = 3.73$ ,  $P = 0.001$ ). The TUNEL positive cells around the slim implant tracks ( $n =$   
35 17) (Figure 6) were  $14 \pm 12\%$  of the total cells whereas around the tracks for standard electrodes ( $n = 17$ )  
36 these were  $31 \pm 14\%$ . The range was large and there were tracks of both slim and standard electrodes with  
37 minimal TUNEL staining, below 3% (Figure 6C).

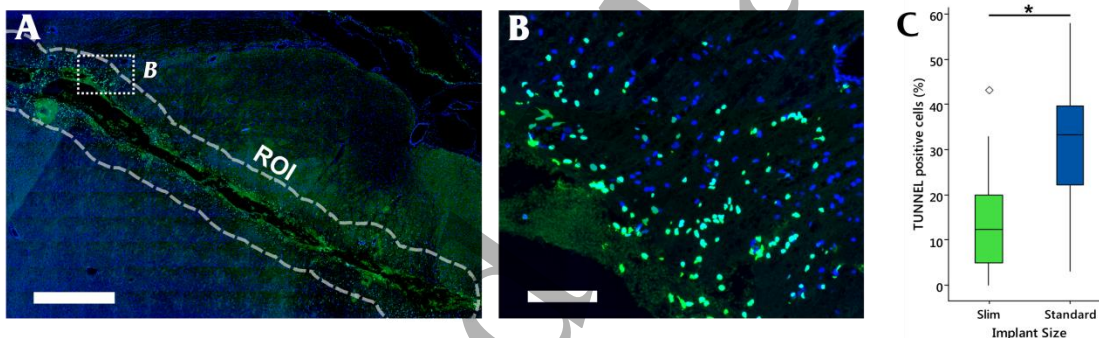
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44 There were 2 (out of 18) brain hemispheres where there was an overt intracerebral haemorrhage adjacent to  
45 the standard size implant track. These were the only situations where the trauma extended further than 1 mm  
46 from the implant track, although the haemorrhage did not join the nearby slim implant tracks. In all cases,  
47 there was brain tissue separating the slim track and the standard size track (4 mm distance) which was free  
48 from trauma. No overt intracerebral haemorrhages were seen in the region implanted with the slim electrode.  
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**Figure 4.** Histological images showing DBS implant tracks of (A, C) standard sized implant, and (B, D) slim implant. There was mild haemorrhage (star) in both standard (E) and slim implant tracks (F) with a small degree of oedema (circle) and inflammation (G). In 5 implant tracks, moderate to severe trauma was observed with either distinct areas of haemorrhage (H) or more extensive regions (I). Trauma scores were 2 for F,G; 4 for E, 6 for H, and 8 for I. H&E stain. Scale bar is 5 mm for A, B; 1 mm for C, D; 100  $\mu$ m for E, F, G, H, I.

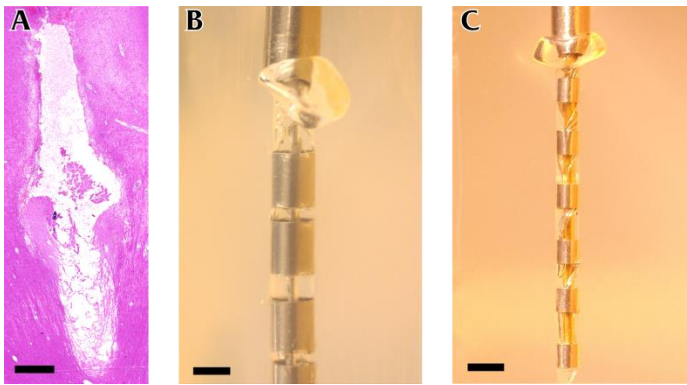


**Figure 5.** (A) The extent of haemorrhage was generally higher for the standard size implant in each brain hemisphere (paired t-test  $P = 0.005$ ). The left (LH) and right (RH) hemisphere per experiment were treated separately. (B) The qualitative trauma scores were significantly higher for the standard size implants when also considering parenchymal oedema, tissue disruption, and neuronal injury (star shows:  $P < 0.001$ ) (boxes show interquartile range with median line and whiskers show full range).



**Figure 6.** TUNEL staining was used to quantify apoptotic cells. (A, B) The 300 µm of tissue around the electrode track was processed to count the proportion of TUNEL positive cells (green) vs total cells (blue) in the region of interest (ROI). (C) The slim implant produced significantly lower proportion of TUNEL positive cells ( $n = 17$ ; star shows:  $P = 0.001$ ; boxes show interquartile range with median line and whiskers show full range). Scale bar is 1 mm for A; 100 µm for B.

In 6 of 17 standard sized implant tracks and 3 of 18 slim implant tracks, a region with more extensive trauma was noticeable halfway through the track depth. This depth corresponded with the transition between the cannula and the implant. The location of the damage resembled the bubbles observed during gel insertion tests [28]. These air bubbles formed in gel after withdrawing the filling rod and inserting the electrode lead.

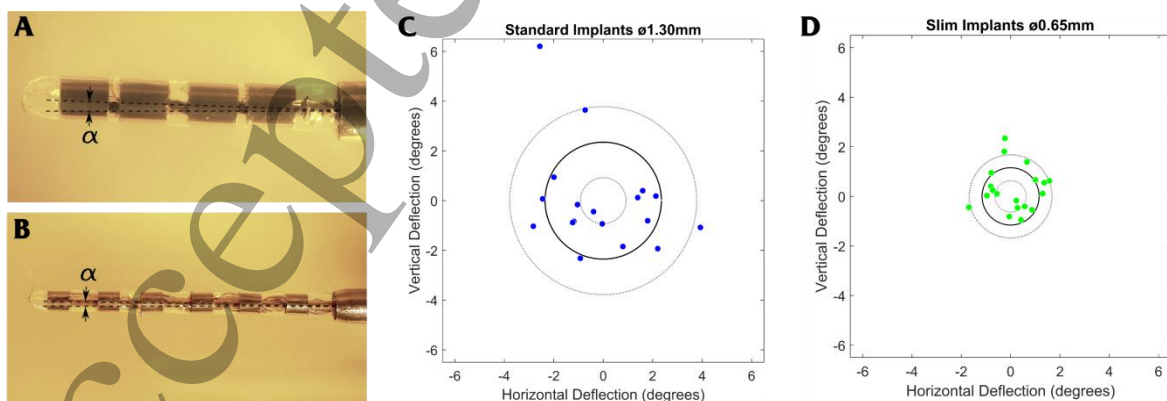


**Figure 7.** Occasionally, insertion trauma was wider at the transition between cannula and implant. The wide shape and the location of the specific trauma (A) coincides with those of bubble formation observed during insertion of the standard (B) and slim (C) implants into agar gel. Scale bar is 1 mm.

### 3.4. Implant deflection and insertion forces

The insertion force and trajectory deviation were measured using gel blocks as a model of brain tissue. Using 6 implants of each diameter (slim vs. standard), each implant was inserted 3 times into a fresh portion of gel block through its cannula. As expected, the smaller diameter implants needed lower force for driving into the gel with  $43 \pm 25$  mN, compared with the standard diameter implants at  $84 \pm 28$  mN (ANOVA,  $F = 11.10$ ,  $P < 0.001$ ).

The slim implants were found to have improved targeting accuracy. The absolute deviation from the cannula trajectory was calculated from measurements in two orthogonal images at the full travel of 10 mm. Figure 8 shows that the slim implants had smaller mean deflection at  $1.2 \pm 0.5^\circ$  compared to the standard sized implants at  $2.4 \pm 1.4^\circ$  (ANOVA,  $F = 6.42$ ,  $P = 0.03$ ).



**Figure 8.** The standard sized (A) implants showed more deviation (C) than the slim implants (B; D) when inserted into 0.6% agarose gel at 2 mm/s. With cameras from the top (A, B) and side, the vertical and

1  
2 horizontal deflection angles ( $\alpha$ ) were measured as the implants projected 10 mm from the cannula. Solid  
3 lines in plot represent the mean deflection and dotted lines the standard deviation.  
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#### 6 7 **4. Discussion**

8  
9 Electrode leads for DBS have a well-established safety record and the technology is considered mature after  
10 decades of development on the electrode, stimulator and surgical devices [21]. The findings of this study,  
11 however, indicate that there is merit in shrinking the size of the electrode lead since it leads to a significant  
12 reduction in trauma and, possibly, an improvement in lead insertion accuracy. Incorporating microelectrodes  
13 offers a further improvement to enable a single-insertion-step surgery where the target verification is done  
14 with the electrode intended for permanent implantation. Moreover, increasing the density of electrodes [17]  
15 facilitates the development of the next generation of DBS systems using closed-loop stimulation.  
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19 The result of improved insertion accuracy seen in agar gel insertions was largely unexpected. The deflection  
20 from straight lead trajectory must be related to the stiffness of the stylet and the ratio of outer lead diameter  
21 versus to internal cannula diameter. The larger leads will always need more slack in this diameter ratio to  
22 minimise friction from a larger surface area. But most importantly, we expect that the slim electrode leads  
23 will perform with no worse accuracy than the current standard DBS electrodes.  
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27 The electrode size used in this study produced a less than 2-fold increase of the in vivo electrochemical  
28 impedance. This suggests that providing electrical stimulation at similar charge levels as typical DBS, could  
29 increase power requirements and therefore shorten the battery life. However, the smaller electrodes may  
30 enable stimulation of smaller volumes of tissue when placement is optimal and consequently the charge  
31 required to achieve therapeutic outcomes could be decreased. If larger stimulation volumes are required, to  
32 mimic typical DBS stimulation, then pairing two adjacent electrodes would yield similar reach and  
33 impedance as a standard sized ring electrode.  
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36  
37 The incorporation of microelectrodes into the DBS electrode lead adds significant value to the device by  
38 eliminating the need for a two-step surgery, where a first pass uses a microtargeting electrode and a second  
39 the DBS electrode lead. The depth patterns of microelectrodes recordings on the implant's tip (Figure 3)  
40 resembled those reported in subthalamic targeting during DBS surgery [25], albeit activity spontaneous  
41 activity patterns will clearly be different in the MGB. The slim electrode lead presented here is smaller than  
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2 the outer diameter of microtargeting electrodes (e.g. FHC), resulting in no extra tissue displacement relative  
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4 to the microelectrodes used for surgical planning. A one-step surgery where the electrode lead is left in situ  
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6 when the optimal electrode position has been located, would eliminate the risks of error when positioning the  
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8 stimulating DBS lead at the same depth as the targeting microelectrode.  
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11 A higher density of electrodes on the lead is an active pursuit by DBS electrode manufacturers [2, 17] since  
12  
13 the dimensions of typical electrodes (e.g. Medtronic Lead 3387) allow only up to two contacts in small  
14  
15 targets like the subthalamic nucleus. The ability to record local field potentials from microelectrodes (as  
16  
17 shown in Figure 2) enables placing extra recording channels within the desired target, which could be used  
18  
19 for recording biomarkers of interest like beta band activity [34], evoked resonant activity [35] or high  
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21 frequency oscillations [29].  
22

23  
24 In this study, the trauma caused specifically by the protruding microelectrodes is confounded with that of the  
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26 surgical insertion of the slim electrode lead. However, the trauma was significantly lower for the slim  
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28 electrodes compared with a standard lead. While microelectrodes on the lead have Further investigation is  
29  
30 necessary to evaluate if the protruding microelectrodes contribute to the undesired tissue damage.  
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33  
34 It should be noted that the slim and standard electrode leads were inserted into different brain regions, since  
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36 the slim leads with microelectrodes were inserted towards the MGB. The different disposition of brain tissue  
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38 could have a confounding effect on the amount of haemorrhage quantified, but the quantification of  
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40 proportion of apoptotic cells labelled with TUNEL would be more robust to the anatomical differences. The  
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42 overt haemorrhage observed adjacent to 2 standard sized electrode tracks also has to be considered in this  
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44 context, especially given the stereotaxic targeting was not based on brain imaging which would have allowed  
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46 trajectory planning to avoid large vessels, as done in human DBS surgery.  
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49 This acute histological assessment of the surgical trauma is expected to be more extensive than the chronic  
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51 foreign body reaction. It is known that standard DBS implants are associated with only a thin glial capsule,  
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53 as observed postmortem in humans [36, 37] and in minipigs [38]. This comparison of slim electrodes should  
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55 therefore be extended to chronically implanted animals to verify if the slim leads incorporating  
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57 microelectrodes are safe for long term use.  
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Overall, the slim electrode leads provide a safe alternative to the current standard electrode leads and the slim cannula hardware can be assembled to fit on standard DBS surgery equipment. The reduction to a single insertion step by incorporating microelectrodes in the same lead, provides an opportunity to improve current surgical practice without adversely affecting targeting accuracy. Increasing the density of electrodes at the target tissue, with a combination of micro- and ring stimulation electrodes, could result in better control of the tissue targeted by DBS and enable developing technologies for closed-loop DBS in the near future.

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