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Effect of embedded optical fibres on the mechanical properties of cochlear electrode arrays

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Abstract

Incorporating optical fibres in cochlear electrode arrays has been proposed to provide sensors to help minimise insertion trauma and also for the delivery of light in optical nerve stimulation applications. However, embedding an optical fibre into an electrode array may change its stiffness properties, which can affect the level of trauma during insertion. This report uses measurements of buckling and deflection force to compare the stiffness properties of a range of cochlear electrode arrays (Nucleus straight array, rat array, cat array and guinea pig array) with custom arrays containing an embedded optical fibre. The cladding diameters of the optical fibres tested were 125 μm , 80 μm and 50 μm . The results show that the stiffness of the optical-fibre-embedded arrays is related to the diameter of the optical fibre. Comparison with wired arrays suggests optical fibres with a diameter of 50 μm could be embedded into an electrode array without significantly changing the stiffness properties of the array.

1. Introduction

Over the past 30 years, cochlear implants have helped many recipients who have severe to profound deafness to hear [1, 2]. The cochlear implant system consists of a microphone, speech processor, transmission system, stimulator and an electrode array that is implanted into the cochlea [1]. The electrode array plays a crucial role in activating the auditory neurons [3].

The cochlea is an extremely delicate structure. Therefore, while cochlear implants have proven to be an effective intervention, there has been an ongoing effort to identify ways of minimising any potential trauma to the cochlea due to the electrode array insertion process [4-9]. The interested reader is directed to references [1] and [9] for a more detailed description of the cochlear anatomy. Damage to the basilar membrane and to the spiral ligament at the outer wall of the scala tympani are some negative outcomes potentially associated with the insertion of cochlear implant electrode arrays [3, 9, 10]. Injury to the functional peripheral dendrites or spiral ganglion cells during insertion can lead to reduced efficiency of the cochlear implant system [11]. Insertion trauma can also effect the positioning of the cochlear electrode [12] which may impair performance. Recently there has been a growing trend of cochlear electrode implantation in partially deaf patients [13]. These patients commonly have good low frequency hearing [14]. The importance of atraumatic implant insertion is increased

40 due to the desire to preserve this hearing as it has been shown to improve speech recognition in noisy
41 environments and the appreciation of music [13-18].

42 Improved implant designs and insertion techniques have significantly reduced the potential for insertion trauma
43 [19]. In order to further reduce the instances and/or extent of insertion trauma there has been interest in
44 including sensors in the electrode array to provide real-time feedback to the surgeon, or as part of a robotic
45 insertion tool [20, 21]. Feedback could include information on the force, position and/or curvature of the
46 electrode array during the insertion process [9, 22-27]. Optical fibre sensors embedded into the electrode array
47 may be able to provide this feedback and therefore help to reduce insertion trauma [9].

48 Optical fibres are thin light guiding structures typically made from silica glass. The most well-known use of
49 optical fibres is in telecommunication applications, however they are also widely utilised in sensing devices
50 [28]. Common single mode optical fibre has three layers: the innermost light guiding layer is the glass core,
51 which is surrounded by a glass cladding and an outer protective acrylate coating, as shown in the insert of Fig. 1.

52 In general optical fibre sensors have advantages of being safe, flexible, small, and reliable [28]. Optical fibre
53 sensors can be used to sense a variety of parameters including strain/force, temperature and bending [28]. For
54 example, an optical fibre Bragg grating sensor embedded in a cochlear electrode array has been used to monitor
55 the force acting on the tip of the array [9]. Optical fibre has also been suggested as an acoustic sensor within the
56 cochlear implant allowing for a completely implantable system [27] and has been proposed to deliver light for
57 optical stimulation of the cochlea [29-31]. Recently Balster and co-workers investigated the insertion forces of
58 optical fibres in a cochlear model and also human temporal bones in relation to optical stimulation [32]. They
59 found that the insertion forces in the model and trauma in temporal bones both reduced with smaller fibre
60 diameters.

61 The stiffness of cochlear electrode arrays have been shown to be related to the amount of subsequent insertion
62 trauma [3, 4, 6, 7, 11, 33]. The stiffness of an electrode array affects its ability to withstand deformation [34]
63 and takes into account the Young's modulus, shape and boundary conditions of the material(s) with which the
64 array is made. For example, finite element modelling has suggested that the Nucleus straight array design may
65 be less likely to create insertion trauma compared to the Nucleus contour array design (both by Cochlear Ltd)
66 [3]. The Nucleus straight array has a graded stiffness [33], which reduces the contact pressure exerted by the tip
67 of the electrode array [4].

68 Embedding an optical fibre into an electrode array may change its stiffness properties. Therefore this work aims
69 to compare the stiffness properties of optical-fibre-embedded electrode arrays with conventional cochlear
70 electrode arrays and also with a number of custom arrays used in animal trials. In the embedded optical fibre
71 arrays, the electrode wires were replaced with optical fibres with diameters in the range of 50 – 125 μm . This
72 study aims to clarify what changes to mechanical properties result from incorporating an optical fibre into an
73 electrode array.

74 **2. Theory**

75 The stiffness properties of cochlear electrode arrays can be investigated by looking at the deflection and
76 buckling forces. These forces represent the transverse and longitudinal forces acting on the electrode arrays
77 during insertion.

78 *2.1 Deflection Force*

79 The deflection force, P is the force required to deflect an object a certain distance, δ_{max} , as shown in Fig. 1. This
80 force is directly related to the stiffness of an object, as it is this force that overcomes the object's resistance to
81 deflection. Measurements of deflection force have previously been used to determine the stiffness of cochlear
82 electrode arrays [7, 11, 33]. For example, Kha *et al* [33] showed that the stiffness of the Nucleus straight array
83 (Cochlear Ltd) varies along the length of the array.

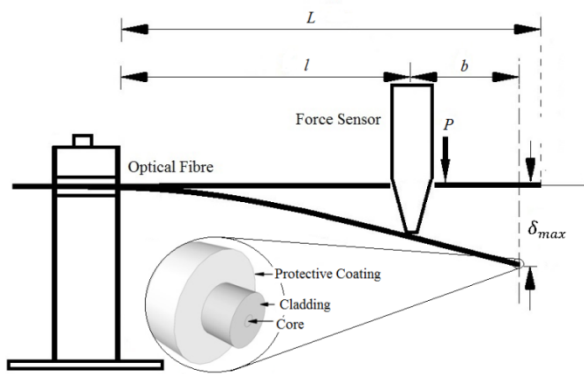
84 The deflection force of the electrodes can be modelled according to the cantilever beam theory [35] for a
 85 concentrated load, P , applied on the beam at any point. The experimental arrangement used for deflection force
 86 testing in this work is shown in Fig. 1. The deflection distance, δ_{max} , for a given force, P , applied at a location l
 87 along a beam of total length L , can be calculated using [35]:

$$88 \quad \delta_{max} = \frac{Pl^2}{6EI}(2l + 3b), \quad (1)$$

89 where E is Young's modulus (72.5 GPa for silica fibre [36]) and the moment of inertia, I , is given by

$$90 \quad I = \frac{\pi d^4}{64} \quad (2)$$

91 for a beam of diameter d . See Fig. 1 for further explanation of the relevant parameters.



92
 93 **Fig. 1.** Cantilever beam test configuration showing the parameters used in testing and calculating the theoretical
 94 stiffness of the beam. Insert shows the optical fibre structure (not to scale).

95 This theory is based on a small bending approximation which in reality is not completely appropriate for this
 96 work. In particular, assumed uniform beam stiffness and diameter, excludes the effects of friction (between
 97 array/fibre and force sensor) and assumes that the bending angle is very small, whereas the angle used is
 98 relatively large (up to 30 degrees). As such a more general theory was derived to better match the actual test
 99 case. This theory is referred to as the derived theory in this paper. For more information on the derived theory,
 100 please refer to the supplementary material, S1.

101 2.2 Buckling Force

102 The buckling force is the force required to buckle/bend a beam (e.g. the electrode array) when it is subjected to a
 103 compressive force in the longitudinal direction (see Fig. 2). Patrick and MacFarlane [7] found that the buckling
 104 force of the Nucleus array was 0.5 g (equivalent to 4.9 mN). They also found that this low buckling force for a
 105 tapered electrode array reduced the likelihood of injury to the cochlea during insertion compared to a solid wire
 106 electrode (buckling force 12.5 g or 122.5 mN). The buckling force can be modelled using the bifurcation
 107 buckling force theory [37], where the buckling force (F_B) is given by:

$$108 \quad F_B = \frac{EI\pi^2}{l_e^2}, \quad (3)$$

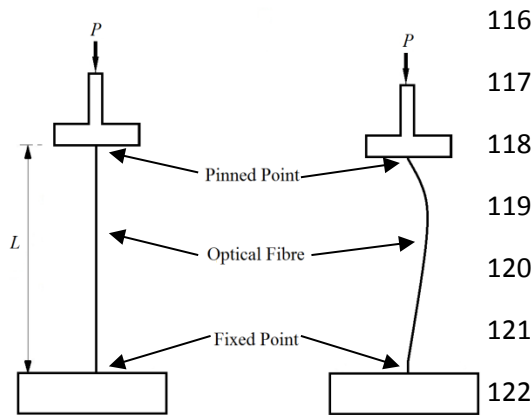
109 where E is the Young's modulus as in equation (1), I was defined in equation (2) and

$$110 \quad l_e = kL \quad (4)$$

111 is the effective length, which depends on the way that the object is held. The condition that best represents a
 112 cochlear electrode array as it is inserted into the cochlea is the fixed-pinned condition, i.e. rotation- and

113 translation-fixed at one end, but rotation-free and translation-fixed at the other, as shown in Fig. 2. For this
114 situation $k=0.7$.

115



123 **Fig. 2.** The fixed-pinned test configuration in which the optical fibre was held for buckling tests.

124 3. Experimental Method

125 Stiffness testing of electrode arrays and optical fibres was completed in two sections: deflection force testing
126 and buckling force testing. All testing was performed using three different diameters of optical fibre, namely 50
127 μm diameter (Fibercore, SM1500), 80 μm diameter (Newport Corporation, F-SBD) and 125 μm diameter
128 (Corning, SMF-28). For most of the testing procedure the protective acrylate coating was left on the optical
129 fibres, except at the tip where it was removed using a mechanical stripping tool for the 125 and 80 μm diameter
130 fibre and paint stripper for the 50 μm diameter fibre. This section of the coating was removed in order to allow
131 the tip of the optical fibre to be cleaved at 90° (unless otherwise specified). After cleaving a length of
132 approximately 6 mm at the end of the fibre was left without the acrylate coating.

133 3.1 Deflection Force

134 The deflection force was measured for five different wired cochlear electrodes, including two Nucleus straight
135 arrays, a rat array, a cat array and a guinea pig array. The results were compared with measurements obtained
136 for plain optical fibres and for optical-fibre-embedded electrode arrays, which were specially manufactured for
137 this work by replacing the metal wires with a single optical fibre. The outer diameter of the rat array is 0.4 mm
138 at the tip and 0.8 mm at the base [38]. This array design has 3 platinum electrodes with platinum/iridium wires
139 at the tip of the array and two reference electrodes (without wires) 4 mm and 6 mm from the tip. The guinea pig
140 electrode array has three platinum electrodes connected to platinum/iridium wires at the tip of the array. A
141 reference electrode is also positioned 5 mm from the tip of the electrode. The diameter of the guinea pig
142 electrode array is 0.5 mm at the tip and 0.8 mm at the base [39, 40]. The cat electrode array has 8 platinum
143 electrodes along the tip of the array spaced 0.3 mm apart with platinum/iridium wires attached. The diameter of
144 the electrode array is 0.4 mm at the tip and 0.7 mm at the base [41, 42]. The Nucleus straight array (Cochlear
145 Ltd) has 32 platinum electrodes of 0.3 mm width positioned along the length of the array. Of these electrodes 22
146 are connected to Teflon coated electrode wires. The diameter of the electrode array is 0.45 mm at the tip and 0.7
147 mm at the base [4, 33, 43].

148 For the optical-fibre-embedded electrode arrays, samples of the optical fibres previously mentioned (50 μm ,
149 80 μm and 125 μm cladding diameter) were embedded in Silastic (MED1-4213, NuSil). The optical-fibre-
150 embedded electrode arrays were 0.6 mm at the tip and 0.9 mm at the base with three unwired platinum reference
151 ring electrodes (0.2 mm wide, 0.4 mm diameter, 1.2 mm spacing between the first and second electrode and 1.9
152 mm spacing between the second and third electrode) included at the tip of the array. This is a similar
153 configuration to the guinea pig arrays. The array was fabricated by injection moulding process, which helped to
154 ensure that the optical fibre was located close to the centre of the cylindrical array. To determine the effect of

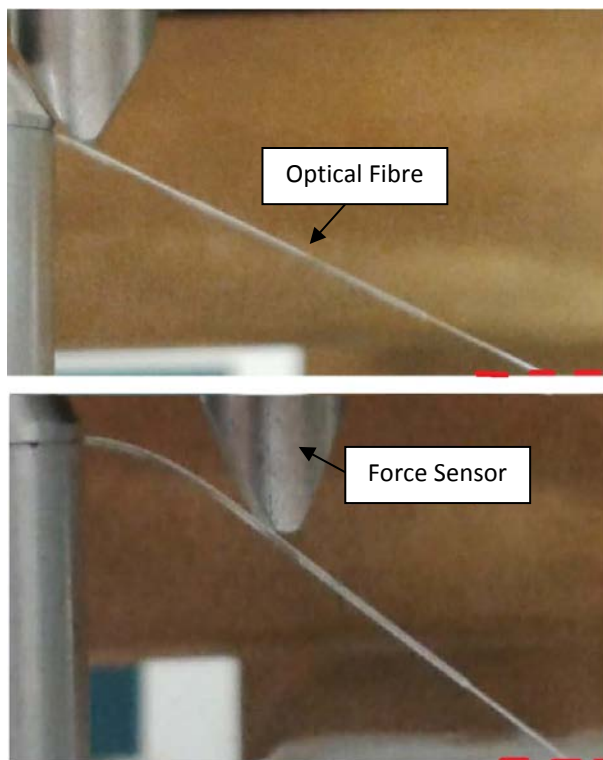
155 metal wires in the array, deflection tests were also performed on an 80 μm optical-fibre-embedded in Silastic
156 with the three electrodes welded to platinum/iridium wires (25 μm diameter). Experiments were also completed
157 on plain optical fibre (i.e. not embedded), and for one of the fibre types (125 μm diameter) with the acrylate
158 coating removed from the complete length. Some of the electrode arrays used in this study are shown in Fig. 3.



159
160 **Fig. 3.** Photos of electrode array examples. Top: Nucleus straight array. Bottom: 125 μm optical-fibre-
161 embedded electrode array.

162 Deflection force tests were conducted based on the theory of a cantilever beam with a load concentrated at
163 varying points along the beam (i.e. electrode array) [35]. The electrode array was secured horizontally at the
164 base using a custom fixture as shown in Figs. 1 and 4. A vertical force was applied to the array at varying
165 distances, l , from the fixed point using a force gauge (Mark-10, 5i) with a force resolution of 0.1 mN. For each
166 load point the magnitude of load applied was such that there was a constant overall deflection distance, δ_{max}
167 at the end of the beam/electrode. This corresponded to a deflection angle of 30° as $l \rightarrow 0$, which is the same angle
168 of deflection used by Rebscher *et al.* in their study of the stiffness properties of wired electrode arrays [11]. The
169 force required to deflect the electrode to this position at different values of l was recorded. The deflection force
170 was recorded four times at each point l .

171



172
173 **Fig. 4.** Two examples of deflection tests on optical fibre. In these tests a wedge attachment on the force sensor
174 was used to deflect the fibre/electrode array by applying a load at different points. The dashed red line
175 represents the distance to which the optical fibre was deflected in each test example.

176 *3.2 Buckling Force*

177 Buckling force tests were performed using plain non-embedded optical fibres (50 μm , 80 μm and 125 μm
178 cladding diameter). Although the results of the deflection tests, which were performed first, showed that there
179 was a difference between the embedded and non-embedded optical fibres (in magnitude only) especially at the
180 lower diameters, it was decided that for simplicity the buckling force tests would only be completed on plain
181 optical fibre. The optical-fibre-embedded electrode arrays were not used in the buckling tests because of
182 difficulties in mounting them vertically: they tended to slide at the pinned point and bend due to their low
183 stiffness and the weight of the Silastic. There was also an issue with the end of the array not being flat, as this
184 can lead to variability in results. The buckling tests were based on the bifurcation buckling theory [37] and
185 followed the same basic experimental procedure as Patrick and MacFarlane [7]. The test set up utilised the
186 fixed-pinned configuration for bifurcation buckling as this is considered to be the condition that best represents
187 electrode arrays during insertion into the cochlea.

188 In order to perform the buckling force tests, a length of acrylate coating on the optical fibres was stripped as
189 described previously and the fibres were cleaved at 90° at the end to which the load was subsequently applied.
190 The fibres were then secured vertically in an optical fibre chuck which provided the “fixed” point. A Labview-
191 controlled Newport ILS 100 PP translation stage powered by a Newport EPS 300 driver was used to lower a
192 force sensor (Mark-10 5i, 0.1 mN accuracy) with a flat plate attachment onto the “pinned” tip of the optical fibre
193 until it buckled. To ensure reproducible results, the force plate of the force sensor was polished to a mirror finish
194 (final polish with a colloidal silica suspension of 0.05 μm). During the buckling tests data from the force sensor
195 was gathered at a sampling interval of 12 ms by a USB oscilloscope (Stingray, DS1M12).

196 The force sensor was moved onto the tip of the optical fibre at a rate of 0.2 mm/s. This speed was a compromise
197 between completing the testing in a timely manner while ensuring adequate sampling resolution at the time
198 when buckling occurs. Data were collected for different optical fibre lengths (length of optical fibre exposed
199 from the top of the fibre chuck). An example of the raw data from which the buckling force was determined can
200 be found in the supplementary material, S2.

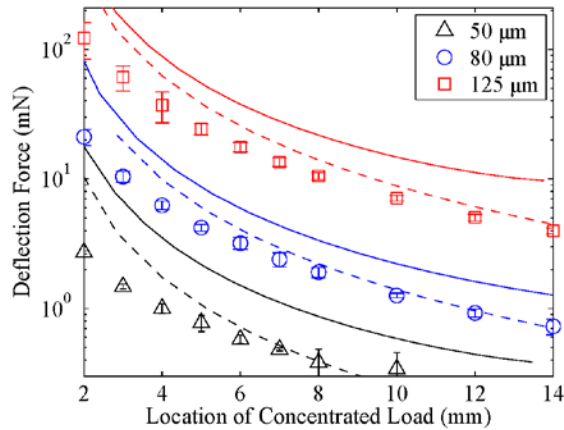
201 **Results**

202 *4.1 Deflection Force*

203 The deflection testing results for optical-fibre-embedded electrode arrays are shown in Fig. 5, with each point
204 representing the mean of at least four recordings. The error bars indicate the spread of the measured data
205 ($\pm(\text{max}-\text{min})/2$). For comparison purposes the cantilever beam theory (Eq. 1) was plotted on the same graph as
206 the results (solid line) and a curve using the derived theory is also shown (dashed line). The results have a
207 relatively poor correspondence with the cantilever beam theory, but show a somewhat improved fit with the
208 derived theory. In general the shape of the curve of the experimental results is in agreement with the theory, but
209 the magnitude of the results is shifted from the predicted value. For most of the results the derived theory
210 appears to be closer to the recorded results. The only recorded exception is with the 50 μm results at higher load
211 location points (above 10 mm approx.). The stiffness properties of the optical-fibre-embedded electrode arrays
212 were primarily determined by the optical fibre diameter. The stiffness of the embedded electrode arrays
213 decreased with reduction in the diameter of the embedded optical fibre, for all load locations.

214

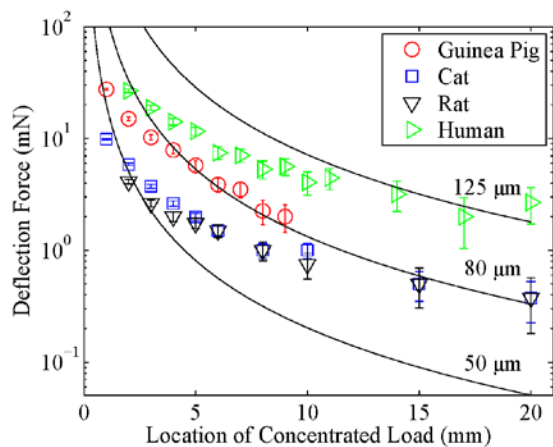
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216

217 **Fig. 5.** The results of 30° deflection testing of the optical-fibre-embedded electrode arrays, together with
 218 theoretical curves (cantilever beam theory = solid line, derived theory = dashed line).

219 Measurements of deflection force for the animal and human cochlear electrode arrays are shown in Fig. 6
 220 together with solid lines representing theoretical fits (cantilever beam theory, Eq 1). The results suggest that the
 221 electrode array embedded with a 125 μm diameter optical fibre would be stiffer than or comparable to any of the
 222 animal or human electrode arrays tested across the range of load location points tested. The stiffness of the
 223 Nucleus straight cochlear electrode (average of two Nucleus straight array electrodes tested) was found to be
 224 between the 125 μm and 80 μm embedded optical fibre electrode arrays. The 50 μm optical-fibre-embedded
 225 array was found to have the lowest stiffness overall of any of the electrode arrays tested. The guinea pig
 226 electrode array displayed similar stiffness properties to the 80 μm embedded optical fibre electrode array. The
 227 cat and rat electrode arrays had stiffness properties similar to the 50 μm embedded electrode array at small
 228 values of l , but had stiffness properties closer to the 80 μm embedded optical fibre electrode array at higher
 229 values of l .

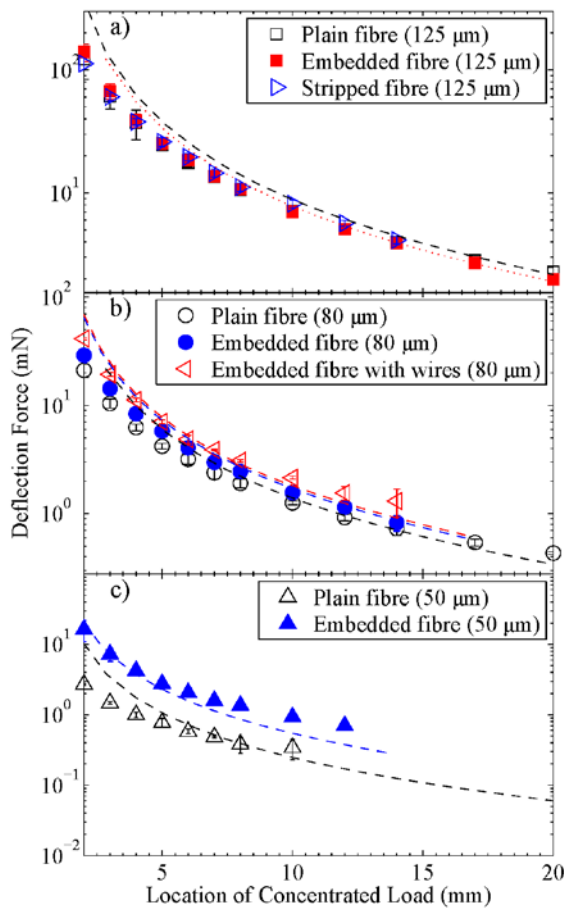


230

231 **Fig. 6.** Experimental results of 30° deflection force for a range of wired cochlear electrode arrays compared with
 232 theoretical curves (cantilever beam theory) for embedded optical fibres.

233 The measurements for the wired electrodes shown in Fig. 6 have a relatively poor fit to the cantilever beam
 234 theory (Eq. 1). It can be seen in Fig. 6 that the experimental curves for the electrode arrays are flatter than the
 235 general form of the theory curves. The standard cantilever beam theory (i.e. Eq. 1) assumes a constant stiffness
 236 along the beam length, which is not consistent for example with the stiffness profile of the Nucleus straight
 237 array (Cochlear Ltd) [33]. The data for the animal electrode arrays exhibit a similar trend to the Nucleus straight
 238 array, suggesting that their stiffness profile is also graded. This may be the reason for the relatively poor fit of
 239 the cantilever theory in this case.

240 The results in Fig. 7a show that similar deflection measurements were obtained for an optical-fibre-embedded
 241 electrode array (125 μm), stripped optical fibre (125 μm) and plain (acrylate coated) 125 μm diameter fibre.
 242 This result may be due to the fact that the increased stiffness and diameter of the 125 μm diameter optical fibre
 243 mean that the result is less affected by other factors such as the Silastic coating. It is interesting to note that the
 244 theory curves shown in Fig. 7a predict a small difference in the magnitude of the deflection force when
 245 changing the fibre conditions as mentioned. The theory predicts that the embedded fibre would be the stiffest
 246 followed by the plain fibre and then the stripped fibre. These differences however are not predicted to be large
 247 and may be hidden in the error of the measured values. Fig. 7a also shows that the theory is slightly higher in
 248 magnitude than the data for small values of l , but is closer to the recorded values at larger values.

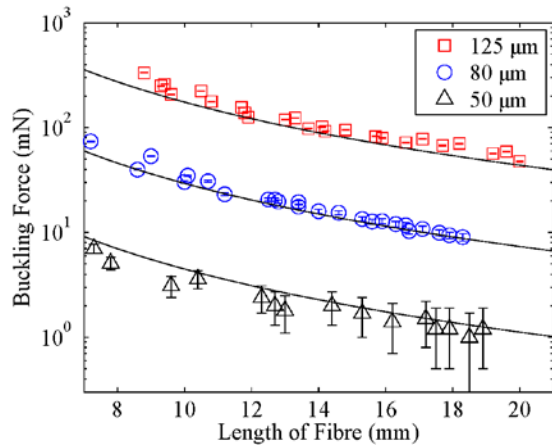


249 **Fig. 7.** Results of 30° deflection tests for: **a)** 125 μm optical-fibre-embedded electrode arrays, plain optical fibre
 250 and stripped optical fibre compared to the derived theory (dashed lines); **b)** 80 μm optical-fibre-embedded
 251 electrode arrays with and without wires and plain optical fibre compared to the derived theory (dashed line); and
 252 **c)** 50 μm optical-fibre-embedded electrode arrays and plain optical fibre compared to the derived theory (dashed
 253 line). Note that data was not taken at longer lengths for the 50 μm fibre as the forces were too low to measure
 254 with the force sensor used in the experiment.
 255

256 For the 80 μm optical fibre, the plain fibre was found to be slightly less stiff than the fibre embedded in the
 257 array, which in turn was slightly less stiff than the fibre embedded in the array with wires. These results are
 258 plotted together with the derived theory (dashed lines) in Fig 7b. The differences are small but consistent. This
 259 suggests that in this case the stiffness properties of the electrodes arrays are still largely dominated by the 80 μm
 260 optical fibre. The measurements of deflection force for optical-fibre-embedded arrays with and without wires
 261 gave an increase in deflection force that was comparable to the effect of the embedding.

262 The results in Fig. 7c show the effect of embedding on the deflection force for the 50 μm diameter optical fibre.
 263 As can be seen from the results, embedding a 50 μm optical fibre into an electrode array increases the deflection
 264 force of the optical fibre compared to plain fibre alone. This supports the theory that embedding a 50 μm optical
 265 fibre into an electrode array will have little effect on the overall stiffness of the array as the Silastic component
 266 of the array itself contributes the majority of the stiffness. The increase due to embedding in Fig. 7c is more
 267 significant than the corresponding increase in Fig. 7b. In general the derived theory supports the measured
 268 differences. However, the level of agreement seen drops off for smaller values of load location on the plain fibre
 269 and for larger values of load location on the embedded fibre.

270 **4.2 Buckling Force**



271
 272 **Fig. 8.** Results of the buckling testing of the optical fibres, together with curves calculated from the theory. Note
 273 that smaller lengths could not be measured as the forces for the 125 μm fibre were above the range of the force
 274 sensor.

275 Measurements of buckling force for optical fibres with 90° cleaved tips are shown in Fig. 8, for fibre lengths
 276 between 9 mm and 20 mm (125 μm fibre) and 7 mm and 18 mm (80 μm and 50 μm fibre). As expected the
 277 force required to buckle the fibre was found to decrease as the fibre diameter decreased. Also shown in Fig. 8
 278 are theoretical curves (Eq. 3). Good agreement was obtained between the recorded data and the theory for the
 279 125 μm , 80 μm and 50 μm optical fibres, with R^2 values of 0.799, 0.928 and 0.717 respectively.

280 **4. Discussion**

281 Measurements of deflection force were performed on human, animal and custom cochlear electrode arrays
 282 containing optical fibres. The aim of the work was to evaluate the forces required to bend the arrays, as bending
 283 is required during insertion in the cochlea. Deflection and buckling tests provide a measure of loads applied in
 284 the transverse and longitudinal directions respectively. During electrode array insertion the interaction and
 285 resulting forces between the array and the delicate cochlear structure are critical to determining whether trauma
 286 will ensue. As electrode arrays containing embedded optical fibre(s) may offer additional functionality, it is
 287 important to understand the resulting mechanical properties in order to help evaluate their potential to cause
 288 harm.

289 The deflection forces of the electrode arrays with embedded optical fibres followed the expected trend, with
 290 greater force required to bend the array as the diameter of the embedded optical fibre increased. The results
 291 suggest that the stiffness properties of the optical-fibre-embedded electrode arrays were dominated by the
 292 properties of the optical fibre. However the measured results are affected by a number of factors, including the
 293 position of the embedded components, the presence of wires and friction with the force sensor. For the custom
 294 optical fibre electrode arrays tested, the effect of Silastic embedding on the optical fibre deflection force reduced
 295 as the diameter of the fibre increased. The addition of wires and the Silastic embedding material appeared to
 296 have a similar effect on the resulting deflection forces. The recorded data showed reasonable agreement with

297 both the cantilever beam theory and the derived theory. In general the derived theory provided better agreement
298 with the recorded results, but is still not perfect. More detailed modelling is required to better improve the fit.
299 Such modelling is outside the scope of the paper.

300 Despite playing a key role in cochlear electrode research and development, the mechanical properties of animal
301 electrode arrays have not been reported previously. The deflection force data for the various electrode arrays
302 tested (human, animal and custom) highlighted some key differences in response. For each of the animal array
303 types tested, the forces required to deflect the arrays were lower than the human straight array tested. This is
304 most likely due to the smaller number of wires contained in the animal electrode arrays.

305 When comparing the deflection forces for the different electrode array types, the custom optical fibre electrode
306 arrays containing 125 μm and 50 μm diameter fibre bracketed the measurements for the human and animal
307 electrode arrays and the 80 μm diameter fibre custom array was in the middle of the range. This suggests that
308 optical fibre diameters of 50 μm or less can be used in a cochlear implant without affecting the stiffness
309 properties of the array. With additional testing it may be possible to identify other fibre diameters between 50
310 μm and 80 μm that have a negligible effect on the arrays stiffness.

311 Unlike the optical-fibre-embedded electrode arrays, the deflection force results for animal and human wired
312 electrode arrays without embedded optical fibre do not follow the curve shape of the cantilever theory. This is
313 believed to arise from the non-uniform stiffness of the electrode arrays due to the changing number of wires
314 along the array length and other mechanical design properties aimed at the minimisation of insertion trauma
315 [33]. While it may be possible to model the non-uniform structure more accurately, for example by using the
316 rule of mixtures [44] or finite element analysis [4], the distinction is not critical for the main conclusions
317 presented here. Given that the overall stiffness of the electrode array appears to be dominated by the stiffest
318 component, further work may be required to understand how the introduction of an optical fibre with uniform
319 stiffness affects the properties of more complex array structures.

320 The results of buckling force tests on optical fibres followed a similar trend to the deflection force tests in which
321 the force required to bend the optical fibre decreased as the diameter of the embedded optical fibre got smaller.
322 Indeed the experimental data was found to agree well with the results predicted from theory. Difficulties were
323 encountered when attempting to measure the buckling force of the human and animal electrode arrays as their
324 low stiffness and natural tendency to curve made the testing procedure invalid. This may also explain why there
325 is a lack of published work looking at the buckling force of cochlear electrode arrays.

326 It is useful to briefly discuss the results obtained in the context of the level of force applied during insertion that
327 might lead to trauma. This is a complicated issue as a combination of different forces can act during insertion,
328 including transverse, longitudinal and frictional forces. Mechanical measurements of rupture force on the human
329 basilar membrane were found to be 26-35 mN [45], while forces greater than ~50 mN measured at the tip of a
330 custom guinea pig electrode tended to result in trauma [9]. In another study [8], insertion tests on human
331 temporal bones showed trauma when multiple 55 μm diameter optical fibres were inserted at the same time
332 (corresponding to measured insertion forces ≥ 50 mN). Using Figs. 5 and 8 it is relatively easy to match the
333 combinations of optical fibre diameter and corresponding effective length of fibre for which forces lower than
334 say ~50 mN cause bending to occur, which may result in trauma being avoided.

335 In this work the human array used for comparison was a common straight array design. It is worth noting that
336 there are a range of other cochlear array designs (e.g. Contour Advance Electrode, Cochlear Ltd [43]) which
337 have different stiffness properties [33]. Therefore caution must be exercised when attempting to draw general
338 conclusions about the results obtained here and cochlear electrode arrays in general.

339 Finally it is worth mentioning that the measurements obtained for the deflection and buckling forces of optical
340 fibres have broader implications than just the application to cochlear implants. There are, for example, a wide
341 range of optical fibre sensors which are potentially subject to transverse and compressive forces which, if they
342 cause the fibre to buckle, may affect the sensor measurement [46]. The data provided in this work provides

343 indications of the maximum force that can be exerted on an optical fibre sensor for a given length and fibre
344 diameter before potentially detrimental bending occurs.

345 **5. Conclusion**

346 The results obtained in this work suggest that an optical fibre with a diameter of approximately 50 µm or less
347 embedded into a fully wired cochlear electrode array should not greatly affect the stiffness profile of the
348 electrode array. This suggests that the incorporation of optical fibre into cochlear electrode arrays, for use in
349 sensing or optical stimulation applications for example, should be feasible. However, further studies using
350 optical-fibre-embedded arrays are required to determine if there are any differences in insertion trauma
351 compared to standard electrode arrays.

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