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Brochier, T., C. McKay, and H. McDermott. 2018. Rate modulation detection thresholds for cochlear implant users. *The Journal of the Acoustical Society of America*. **143**(2): 1214 - 1222.

doi: [10.1121/1.5025048](https://doi.org/10.1121/1.5025048)

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1 **Rate modulation detection thresholds for cochlear implant users**

2 **Running Head: Rate modulation detection with cochlear implants**

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21 The perception of temporal amplitude modulations is critical for speech understanding by  
22 cochlear implant (CI) users. The present study compared the ability of CI users to detect  
23 sinusoidal modulations of the electrical stimulation rate and current level, at different  
24 presentation levels (80% and 40% of the dynamic range) and modulation frequencies (10 Hz  
25 and 100 Hz). Rate modulation detection thresholds (RMDTs) and amplitude modulation  
26 detection thresholds (AMDTs) were measured and compared to assess whether there was a  
27 perceptual advantage to either modulation method. Both RMDTs and AMDTs improved with  
28 increasing presentation level and decreasing modulation frequency. RMDTs and AMDTs  
29 were correlated, indicating that a common processing mechanism may underlie the  
30 perception of rate modulation and amplitude modulation, or that some subject-dependent  
31 factors affect both types of modulation detection.

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## 39 I. INTRODUCTION

40 It is important to maximize the transmission of temporal information to improve  
41 speech outcomes for cochlear implant (CI) users. In most CI speech processors, the implanted  
42 electrodes are activated with a fixed-rate biphasic pulse train whose current level is  
43 modulated by the temporal envelope of the assigned acoustic frequency band. In this study,  
44 we examined the potential for using rate modulation (RM) to transmit temporal envelope  
45 information. The primary aim of the study was to measure how well RM is perceived by CI  
46 users, and how the perception of RM changes with presentation level and modulation  
47 frequency. Further, we compared the patterns of results between amplitude modulation (AM)  
48 detection and RM detection.

49 A number of studies have shown that the ability to detect amplitude modulations in  
50 temporal envelopes is correlated with speech recognition among CI users (Brochier *et al.*,  
51 2017; De Ruiter *et al.*, 2015; Fu, 2002; Gnansia *et al.*, 2014; Luo *et al.*, 2008; Won *et al.*,  
52 2011). Researchers have investigated the effects of many stimulation parameters on AM  
53 detection threshold (AMDT) performance, including current level, stimulation rate, and  
54 modulation frequency. All studies agree that AMDTs are best at high current levels and low  
55 modulation frequencies (Busby *et al.*, 1993; Chatterjee and Oba, 2005; Chatterjee and  
56 Oberzut, 2011; Fraser and McKay, 2012; Fu, 2002; Galvin III and Fu, 2005; Galvin III *et al.*,  
57 2014; Galvin and Fu, 2009; Galvin *et al.*, 2014; Pfingst *et al.*, 2007; Pfingst *et al.*, 2008;  
58 Shannon, 1992; Shannon *et al.*, 1995; Zhou and Pfingst, 2014).

59 Typically, a CI encodes temporal amplitude modulations in each frequency band by  
60 varying the current level of a fixed-rate pulse train at the electrode assigned to that frequency  
61 band. An electrical hearing model has been developed to explain how central temporal  
62 integration can account for many temporal processing phenomena, including AM detection

63 (McDermott *et al.*, 2003; McKay and McDermott, 1998; McKay *et al.*, 2001; McKay *et al.*,  
64 2013) . In the model, the neural excitation elicited by each pulse is summed in a sliding  
65 integration window that weights the activity occurring at different times. The characteristics  
66 of the integration window are similar to those in normal acoustic hearing models (Oxenham  
67 and Moore, 1994; Oxenham, 2001; Plack *et al.*, 2002), with an equivalent rectangular  
68 duration of 7 ms, and exponential functions accounting for forward masking and backward  
69 masking.

70 An implication of this electrical hearing model is that temporal characteristics, such as  
71 variations in stimulation rate, could potentially be used to encode acoustic temporal  
72 envelopes. Since both RM and AM result in modulation at the output of the temporal  
73 integration window, both may produce similar auditory sensations for CI users. A study by  
74 Luo and Fu (2007) supported the idea that a temporal integration mechanism underlies RM  
75 and AM detection. Their experiment showed that applying AM at the same time as RM, but  
76 with different modulation frequencies, interfered with the RM detection. They suggested that  
77 RM and AM at least partly share a common coding mechanism in the central auditory system  
78 that involves temporal integration.

79 Other studies suggest the presence of an additional mechanism that is sensitive to  
80 interpulse interval information. A study by Fielden *et al.* (2014) found that the discrimination  
81 of interpulse intervals did not worsen at low levels compared to high levels. Interpulse  
82 interval discrimination refers to a CI user's ability to detect differences in temporal pulse  
83 patterns, and requires precise coding of neural spike intervals. In the reference stimulus, the  
84 interpulse intervals alternated between 1 ms and 9 ms. In the test stimulus, the interpulse  
85 intervals alternated between  $1 + \Delta t$  ms and  $9 - \Delta t$  ms. Subjects in the study of Fielden *et al.*  
86 (2014) were able to differentiate between loudness-balanced pulse trains containing different  
87 interpulse intervals equally well at a high presentation level (70% of the dynamic range) and

88 a low presentation level (30% of the dynamic range). The mean noticeable difference for  $\Delta t$   
89 at all levels was around 3 ms, corresponding to a pulse train whose interpulse intervals  
90 constantly alternated between 4 ms and 6 ms.

91 RM detection can theoretically be achieved via a central temporal integration  
92 mechanism as described above or via a mechanism sensitive to neural spike intervals.  
93 Sinusoidal RM detection was measured by Chen and Zeng (2004) in 3 CI users. RMDTs,  
94 which were measured as the difference between the peak rate and the central rate, were  
95 proportional to the central rate. They increased from 10 Hz at a central rate of 75 pps to 100  
96 Hz at a central rate of 1000 Hz. No effect of level on RMDTs was observed between levels of  
97 70% of the DR and 30% of the DR. Since AM detection greatly deteriorates at lower levels,  
98 these results suggest that the central auditory processing involved in the tasks in the Fielden  
99 *et al.* (2014) and the Chen and Zeng (2004) studies may not be the same as that involved in  
100 an AM detection task. Using RM rather than, or as well as, AM to encode temporal envelopes  
101 could be advantageous, because RM may be detectable by an additional or alternative  
102 mechanism that encodes neural spike intervals. This additional mechanism may provide a  
103 perceptual advantage, if it limits the deterioration of modulation detection with decreasing  
104 level.

105 In this study, RMDTs and AMDTs were measured for presentation levels of 80% DR  
106 and 40% DR and modulation frequencies of 10 Hz and 100 Hz. A two-way repeated  
107 measures analysis of variance (ANOVA) was used to test the effect of level and modulation  
108 frequency on RMDTs and AMDTs. A strong effect of level and modulation frequency was  
109 expected for AMDTs, based on results from past literature. It was hypothesized that RMDTs  
110 would show the same pattern of level and modulation frequency effects as AMDTs, if they  
111 share the same mechanisms. Alternatively, if the mechanism which detects RM utilizes an

112 additional interpulse interval cue, it was hypothesized that RMDTs would not show the same  
113 level effects as AMDTs.

## 114 II. METHODS

### 115 A. Participants

116 Seven postlingually deafened adult CI users completed the study. Participants were  
117 recruited from the clinical population of the Royal Victorian Eye and Ear Hospital.  
118 Permission to conduct the studies was obtained from the Human Research and Ethics  
119 Committee of the Royal Victorian Eye and Ear Hospital, and each participant provided  
120 written informed consent. Participants were tested over the course of three to four sessions of  
121 2 hours. Details about the participants are described in *Table I*.

122 **TABLE I. Relevant information about the cochlear implant users who participated in**  
123 **the study.**

	Gender	Age (years)	Duration of hearing loss before implantation (years)	Duration of implant use (years)	Implant Type	Etiology
P1	Male	78	23	15	CI24M	Genetic
P2	Male	75	42	7	CI24RE	Unknown, progressive hearing loss
P3	Male	57	23	7	CI24RE	Unknown, Genetic
P4	Female	65	16	5	CI24RE	Unknown, progressive hearing loss
P5	Male	44	5	5	CI512	Unknown, Genetic
P6	Male	70	13	7	CI24RE	Unknown, Genetic
P7	Female	70	60	3	CI24RE	Measles

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125

126 **B. Equipment**

127           Psychophysical procedures were performed and behavioural responses were recorded  
128 using ImpResS software. The software interfaced with the implant using a SPEAR research  
129 processor (Azadpour and McKay, 2012; Fraser and McKay, 2012). Pulse parameters were  
130 defined in the software and sent directly to the implant using a radio frequency link. The  
131 responses of each participant were collected using a response box.

132 **C. Stimuli and Procedure**

133           The stimuli consisted of biphasic pulse trains, each 500-ms in duration. Each biphasic  
134 pulse had a phase duration of 26  $\mu$ s and an interphase gap of 8.4  $\mu$ s. The mode of stimulation  
135 was monopolar (MP1 + 2), and the active electrode was electrode 14. A reference stimulation  
136 rate of 1200 pps was used for all stimuli. The electrical current values are reported in clinical  
137 current-level units (CL steps). For the CI24M and CI512 implants each CL step represents a  
138 0.176 dB change in current, and for the CI24RE implant each CL step represents a 0.157 dB  
139 change in current.

140           The maximum comfortable loudness (MCL) for the 1200 pps unmodulated stimulus  
141 was found by presenting the stimulus with an ascending sequence of single CL steps. The  
142 subject was instructed to indicate on a loudness category scale the point at which the stimulus  
143 was ‘too loud.’ The MCL was set as the level one CL step below the ‘too loud’ point. The  
144 threshold level (T-level) for the same stimulus was measured using an adaptive two-interval  
145 forced choice, two-down one-up procedure. In each trial, the participant was presented with  
146 two 500-ms intervals: one of silence, and one containing a 1200-pps pulse train. One of two  
147 buttons on the response box was lit during each time interval. The participant was asked to  
148 identify the interval that contained the sound by pressing the associated button, and to guess  
149 when they were unsure. This procedure was carried out until 8 CL reversals were obtained.



150 Until the first two reversals, a step size of 4 CL steps was used, and for the last six reversals,  
151 a step size of 2 CL steps was used. The threshold was calculated as the mean of the last six  
152 reversals.

### 153 **1. Reference Stimulus**

154 The level for the unmodulated, 1200 pps reference stimulus was set using the  
155 measurements of MCL and T-level. Dynamic range (DR) was calculated as the difference  
156 between MCL and T-level in CL units. For the ‘high level’ stimuli, the reference stimulus  
157 was set at a current level of 80% of the DR. For the ‘low level’ stimuli, the reference stimulus  
158 was set at a current level of 40% of the DR.

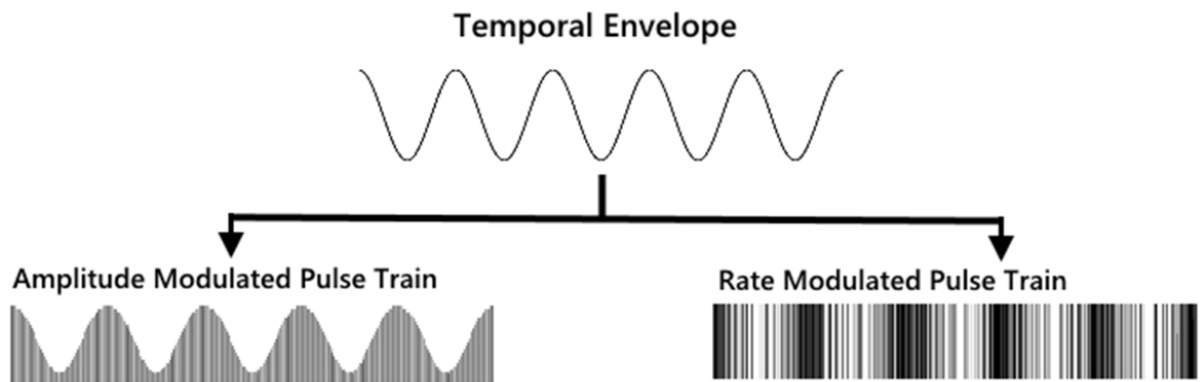
### 159 **2. Rate Modulated Stimuli**

160 For the rate modulated stimuli (example shown in Figure 1), the stimulation rate was  
161 modulated sinusoidally on a ratio scale around the central stimulation rate of 1200 pps. At  
162 maximum modulation depth used in this experiment the rate varied between 2400 pps  
163 (double the central rate) and 600 pps (half the central rate). At zero modulation depth, the rate  
164 stayed fixed at 1200 pps.

165 Modulation frequencies of 10 Hz and 100 Hz were used. These modulation  
166 frequencies were chosen because they represent temporal envelope cues at 10 Hz, and  
167 periodicity cues at 100 Hz (Rosen, 1992). Since the stimulus duration was 500 ms, the 10 Hz  
168 modulated stimuli went through exactly 5 cycles between highest and lowest rate, and the  
169 100 Hz modulated stimuli went through exactly 50 cycles between highest and lowest rate.  
170 RM was applied using Equation 1:

$$Rate = Central\ Rate \times 2^{ModDepth \cos(2\pi t fMod)} \quad (1)$$

171 The *ModDepth* variable represents the modulation depth and the *fMod* variable represents  
 172 modulation frequency. At each time instant in the stimulus, the calculated rate was inverted to  
 173 find the period. The biphasic pulse duration (2\*pulse width + interphase gap) was subtracted  
 174 from the period, giving a calculated interpulse delay (silent delay between pulse offset and  
 175 next pulse onset). ImpResS software built the pulse trains pulse-by-pulse, so the same  
 176 biphasic pulses were used throughout the stimulus while varying the interpulse interval to  
 177 control the rate. A set of stimuli that differed in values of the *ModDepth* variable between 1  
 178 (leading to rate variation between double and half the reference rate) and 0 (no modulation)  
 179 were used to obtain psychometric functions for RMDTs for each participant and each  
 180 condition.



181  
 182 **Figure 1. Sinusoidal temporal envelopes were encoded using amplitude modulated pulse**  
 183 **trains (left) and rate modulated pulse trains (right).**

184 **3. Amplitude Modulated Stimuli**

185 For amplitude modulated stimuli (example shown in Figure 1) a fixed stimulation rate  
 186 of 1200 pps was used. The electrical current (in  $\mu\text{A}$ ) was modulated sinusoidally. Again,  
 187 modulation frequencies of 10 Hz and 100 Hz were used. Sinusoidal AM was applied using  
 188 the Equation 2:

$$\text{Current} = \text{Max Current} - \text{ModDepth} (1 - \cos(2 \pi t f\text{Mod})) \quad (2)$$

189 As with the rate modulated stimuli, the *ModDepth* variable represents the modulation depth  
190 and the *fMod* variable represents modulation frequency. The peak-to-peak modulation depth  
191 was first set in current level (CL) steps to avoid quantization of *ModDepth*, and then peak and  
192 valley CLs were converted to electrical current (in  $\mu\text{A}$ ) to calculate currents for all pulses.  
193 The peak-to-peak modulation depth was varied between 1 and 60 CL steps to obtain  
194 psychometric functions for AMDTs for each subject and each condition.

#### 195 **4. Loudness Balancing**

196 Modulated stimuli are perceived as louder by CI users than unmodulated stimuli with  
197 the same average current (Chatterjee and Oberzut, 2011; Galvin III *et al.*, 2014; McKay and  
198 Henshall, 2010). Therefore, it was necessary to loudness balance each modulated stimulus to  
199 the unmodulated reference stimulus for each condition. Each modulated stimulus of differing  
200 modulation depth was loudness balanced to the unmodulated reference stimulus. During the  
201 experiments, the reference stimulus was set to the level corresponding to either 80% or 40%  
202 of the DR, and the loudness-balanced level was applied to the modulated stimuli.

203 Each loudness-balanced level was measured using an adaptive two-interval forced  
204 choice, one-up one-down procedure. In each trial, the participant was presented with two  
205 500-ms intervals: one containing the modulated stimulus, and one containing the  
206 unmodulated reference stimulus. One of two buttons on the response box was lit during each  
207 time interval. The participant was asked to identify the interval that sounded louder by  
208 pressing the associated button, and to guess when they were unsure. Two rounds of loudness  
209 balancing were measured for each modulated stimulus. In the first round, the level of the  
210 reference stimulus was fixed at 80% or 40% DR. If the modulated stimulus was chosen as the  
211 louder sound, its level was reduced, and if it was not chosen as the louder sound, its level was  
212 increased. This process was continued until 8 reversals were obtained. The step size was 4

213 CL steps until the first two reversals, and 2 CL steps for the last six reversals. In the second  
214 round, the modulated stimulus was fixed at the measured loudness-balanced level from the  
215 first round, and the process was repeated with the reference stimulus being adjusted in each  
216 trial until 8 reversals were obtained. In each round, the difference between the reference  
217 level and modulated level was calculated. The final loudness-balanced level for the  
218 modulated stimulus was calculated from the average difference between modulated and  
219 unmodulated stimuli in the two rounds.

## 220 **5. Applying Level Jitter**

221 In addition to loudness balancing, level jitter was applied to remove the influence of  
222 any remaining loudness cues. The necessary current level jitter was calculated according to  
223 the standard error between the two rounds in the loudness balancing procedure, according to  
224 a method explained in Dai and Micheyl (2010) when using a three-interval oddity forced  
225 choice task. Separate jitter values were calculated for each presentation level, modulation  
226 frequency, modulation depth, and modulation method. The maximum jitter value across all  
227 modulation depths in each level/modulation frequency condition was used when obtaining  
228 the psychometric function. Across all subjects and conditions, the minimum jitter value used  
229 was  $\pm 1$  CL step, and the maximum jitter value used was  $\pm 4$  CL steps.

## 230 **6. Determining Detection Thresholds**

231 The method of constant stimuli was used to obtain psychometric functions for each  
232 carrier level, modulation frequency, and modulation method condition. Initially, the largest  
233 modulation depth to be tested in each condition was determined by playing the reference and  
234 modulated stimuli continuously in alternation, and incrementally raising the modulation  
235 depth until the participant could easily hear the difference between the two sounds. Then  
236 three additional smaller modulation depths were chosen between that modulation depth and

237 zero modulation (for a total of four modulation depths), and each of the four modulated  
238 stimuli were then loudness balanced to the reference.

239 For each condition, two rounds of testing were completed. In each round, 10 trials at  
240 each of the four loudness-balanced modulation depths were completed, for a total of 40 trials.  
241 These 40 trials were randomized in order. Overall, 80 trials were completed for each  
242 condition (two rounds of 40 trials).

243 A three-interval forced choice task was used to assess modulation detection at the  
244 different modulation depths. Three stimuli in each trial were separated by 500-ms silent  
245 periods. One interval, randomly selected, contained the loudness-balanced modulated  
246 stimulus, while the other two intervals contained unmodulated reference stimuli. The  
247 participant was instructed to choose the interval which contained the different stimulus, and  
248 to guess if they could not easily differentiate between the three stimuli. For each modulation  
249 depth and each condition, a score of percent correct out of 20 trials was obtained. The  
250 modulation detection threshold was defined as the modulation depth that led to 70% correct  
251 discrimination, estimated by linear interpolation.

252 If the four chosen modulation depths did not complete the psychometric function after  
253 round 1 (i.e. there were not two modulation depths that led to 70% correct discrimination or  
254 greater, and two modulation depths that led to worse than 70% correct discrimination), a  
255 smaller (more difficult) or larger (less difficult) modulation depth was loudness balanced and  
256 added to round 2, as necessary. In that case, in the second round, 20 trials were completed for  
257 the new modulation depth, rather than 10 for the other modulation depths that had already  
258 been tested in the first round. When scores exceeded 70% correct at 1 CL modulation depth,  
259 the theoretical chance score of 33% at a modulation depth of 0 was used to interpolate a  
260 fraction of a CL for the 70% correct point.

261 **III. RESULTS**

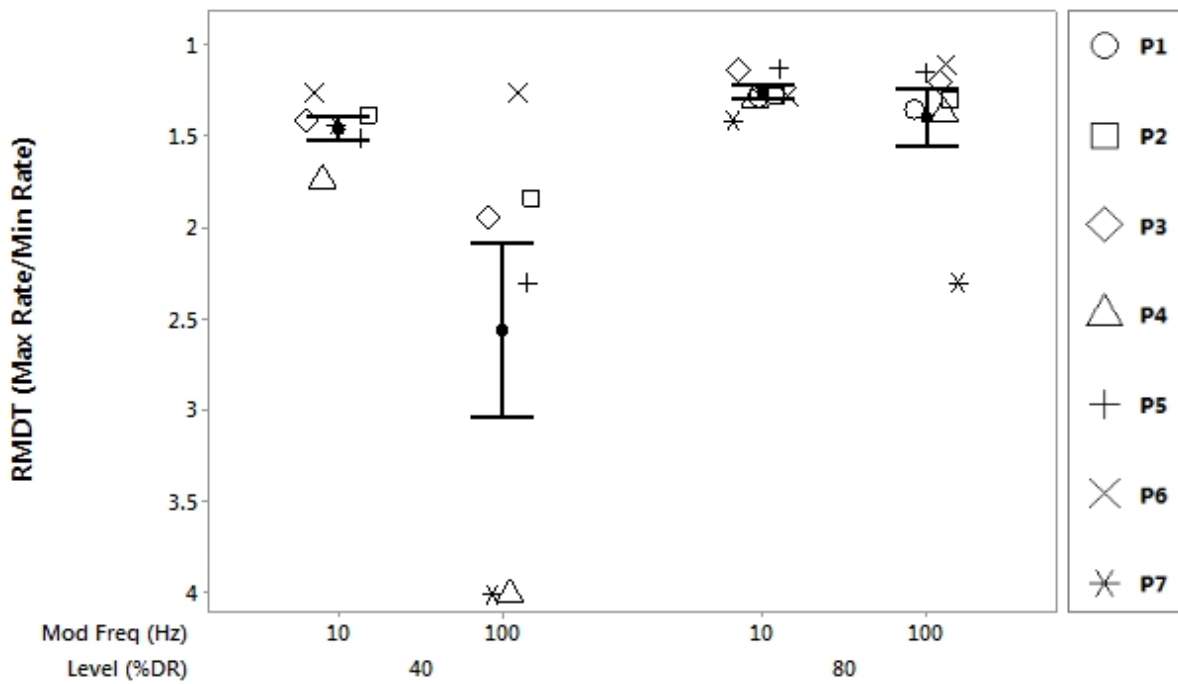
262 Psychometric functions for AM and RM detection for each participant in each condition are  
263 provided in the supplementary materials.

264 **A. Rate Modulation Detection Thresholds**

265 Figure 2 shows RMDTs for different presentation levels and modulation frequencies.  
266 The units refer to the ratio between the highest rate and lowest rate at the RMDT. At  
267 maximum modulation depth, the ratio was 4 (2400 pps/600 pps), and at the minimum  
268 modulation depth, the ratio was 1 (1200 pps/1200 pps). P1 found the task too difficult to  
269 complete for either modulation frequency or modulation method at 40% DR. These data were  
270 denoted as ‘missing values’ in the statistical analysis. At 40% DR for the 100 Hz modulation  
271 frequency, P4 achieved a score of 70% and P7 got a score of 65% at the highest modulation  
272 depth (ratio of 4). For the subsequent analysis, both were assigned an RMDT at the ratio of 4.  
273 A two-way repeated measures ANOVA, using a general linear model with subject as a  
274 random factor, was used to assess the effect of presentation level and modulation frequency  
275 on RMDTs. A significant effect of level ( $F(1,6) = 10.03$ ,  $p = 0.006$ ) was found, with higher  
276 levels leading to better RMDTs. The effect of modulation frequency was also significant  
277 ( $F(1,6)=9.00$ ,  $p = 0.008$ ), with better RMDTs at the lower modulation frequency of 10 Hz.

278 The ANOVA revealed a significant interaction effect between presentation level and  
279 modulation frequency ( $F(1,6) = 5.38$ ,  $p = 0.034$ ). The interaction was further analyzed by  
280 investigating the effect of modulation frequency separately for levels of 80% and 40% DR,  
281 using a post-hoc paired t-test. At 80% DR, there was no significant effect of modulation  
282 frequency on RMDTs ( $t = 1.10$ ,  $p = 0.314$ ), and at 40% DR, the effect of modulation  
283 frequency was modestly significant ( $t = 2.55$ ,  $p = 0.051$ ). The effect of level was also  
284 analyzed separately for each modulation frequency using a post-hoc paired t-test. A

285 significant effect of level was found at both modulation frequencies of 10 Hz ( $t = 2.61$ ,  $p =$   
 286  $0.048$ ) and 100 Hz ( $t = 3.15$ ,  $p = 0.025$ ). Overall, the interaction was due to the effect of level  
 287 being greater at the higher modulation frequency and the effect of frequency being greater at  
 288 the lower level.



289

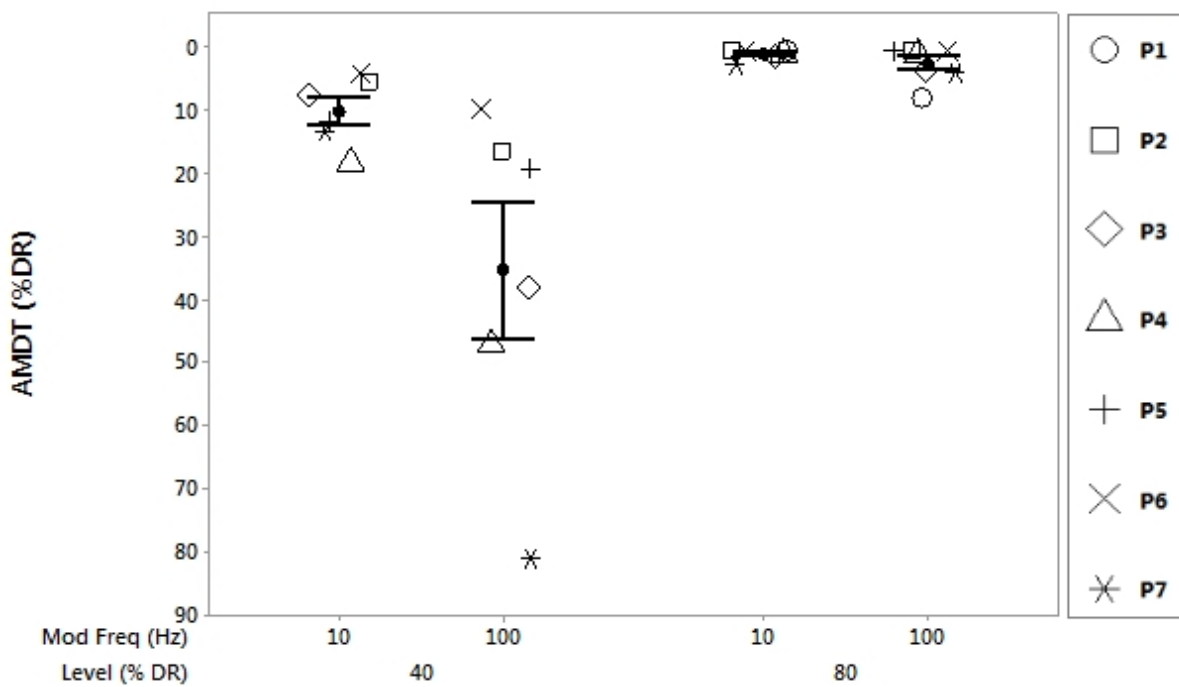
290 **Figure 2. Rate modulation detection thresholds for different modulation frequencies**  
 291 **and presentation levels. Individual subject data is shown along with the means in each**  
 292 **condition (including P1 at 80% DR). Error bars represent  $\pm 1$  standard error of the**  
 293 **mean for each condition.**

294 **B. Amplitude Modulation Detection Thresholds**

295 Figure 3 shows AMDTs for different presentation levels and modulation frequencies.  
 296 Each participant's AMDT was calculated as the modulation depth at the detection threshold  
 297 (in peak-to-peak current level steps), divided by their dynamic range, giving units in  
 298 percentage of the dynamic range (%DR). A two-way repeated measures ANOVA, using a  
 299 general linear model with subject as a random factor, was used to analyze the effect of

300 presentation level and modulation frequency on AMDTs. Significant effects of presentation  
 301 level ( $F(1,6) = 18.31, p = 0.001$ ) and modulation frequency ( $F(1,6) = 7.68, p = 0.014$ ) were  
 302 found, with better AMDTs at the higher presentation level and lower modulation frequency.

303 Ceiling effects were encountered for AMDTs at the high presentation level of 80%  
 304 DR, due to the quantization of CL steps. The majority of subjects obtained a score greater  
 305 than 70% discrimination at the lowest modulation depth (1 CL step) for modulation  
 306 frequencies of 10 Hz (5 out of 7 subjects) and 100 Hz (4 out of 7 subjects) at 80% DR, and  
 307 thus were assigned MDTs of less than 1 CL step. Therefore, the effect of modulation  
 308 frequency at the higher level could not be assessed separately from the effect of modulation  
 309 frequency at the lower level, making interaction effects difficult to assess.



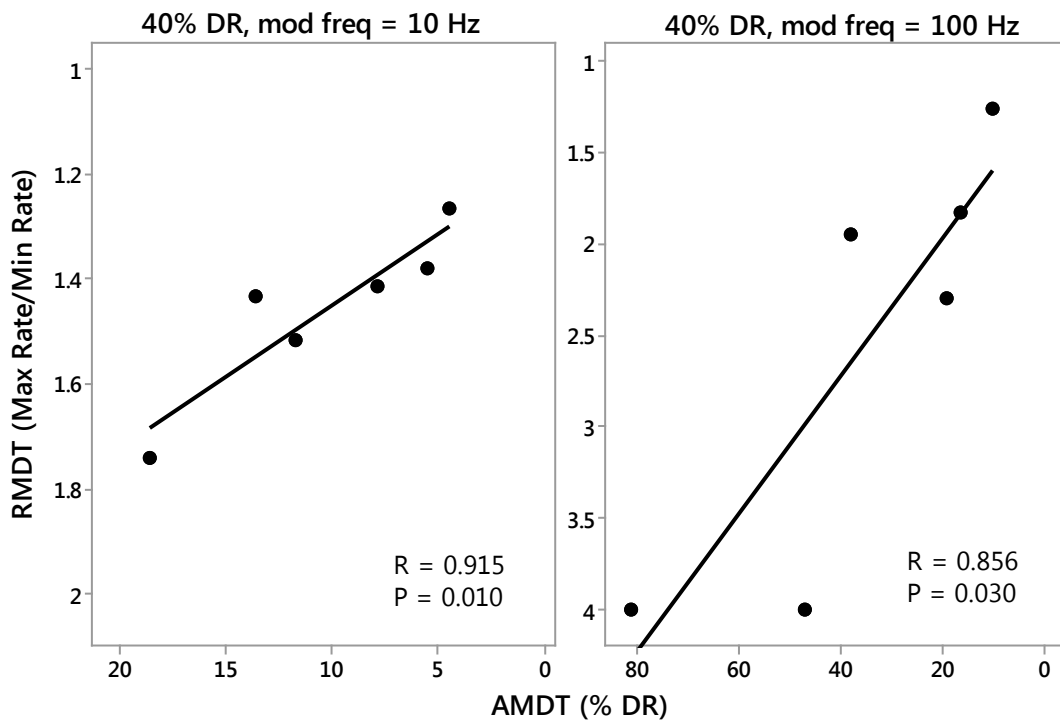
310

311 **Figure 3. Amplitude modulation detection thresholds for different modulation**  
 312 **frequencies and presentation levels. Individual subject data is shown along with the**  
 313 **means in each condition (including P1 at 80% DR). Error bars represent  $\pm 1$  standard**  
 314 **error of the mean for each condition.**



315 **C. Correlation between RMDTs and AMDTs**

316 Figure 4 shows the Pearson correlation analysis of RMDTs and AMDTs. Significant  
317 correlations were found between RMDTs and AMDTs at 40% DR and modulation  
318 frequencies of 10 Hz ( $R = 0.915$ ,  $P = 0.010$ ) and 100 Hz ( $R = 0.856$ ,  $P = 0.030$ ). For the data  
319 at 80% DR, no correlations were found, possibly due to ceiling effects for the AM stimuli.  
320 The strong relationship between RMDTs and AMDTs suggests that a common mechanism  
321 might be used to perceive RM and AM, or alternatively, that other subject-dependent factors  
322 influence both types of MDT.



323

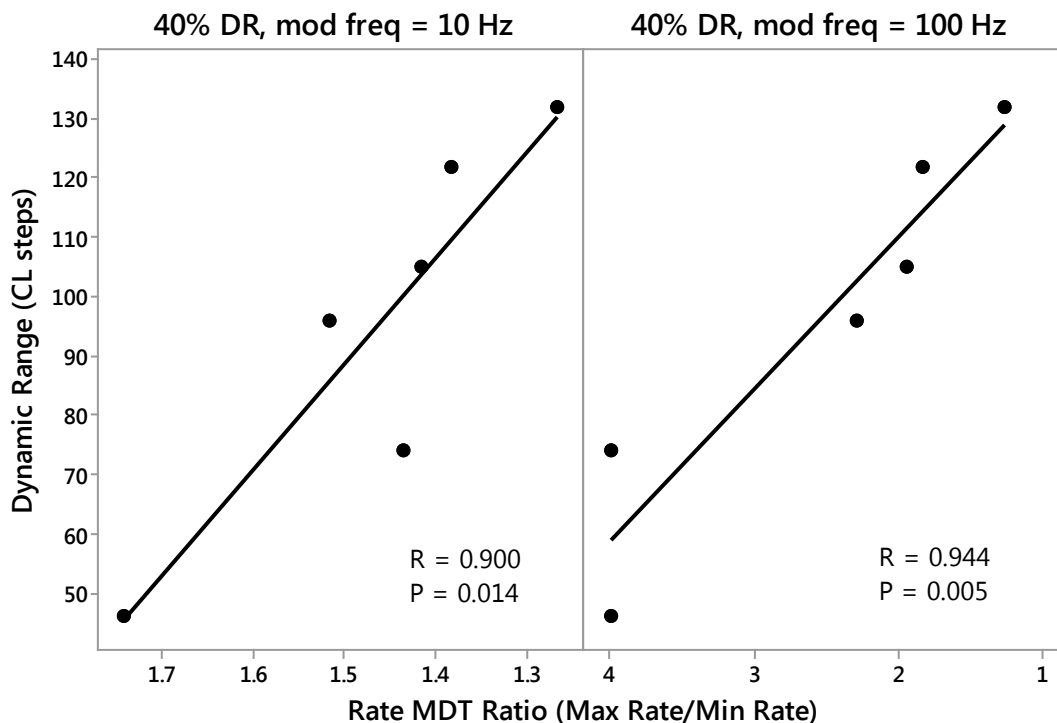
324 **Figure 4. Pearson correlation analysis between RMDTs and AMDTs at 40% DR and**  
325 **modulation frequencies of 10 Hz (left panel) and 100 Hz (right panel).**

326

327

328 **D. Correlation between Dynamic Range and MDTs**

329 Significant correlations were found between the electrical dynamic range and the  
330 RMDT at 40% DR with a modulation frequency of 10 Hz ( $R = 0.900$ ,  $P = 0.014$ ) and 100 Hz  
331 ( $R = 0.944$ ,  $P = 0.005$ ). The Pearson correlation analysis is shown in Figure 5. Participants  
332 with a higher dynamic range had better RM sensitivity at low levels. However, no significant  
333 correlations were found between RMDTs and DR at higher levels for modulation frequencies  
334 of 10 Hz ( $p = 0.471$ ) or 100 Hz ( $p = 0.291$ ).

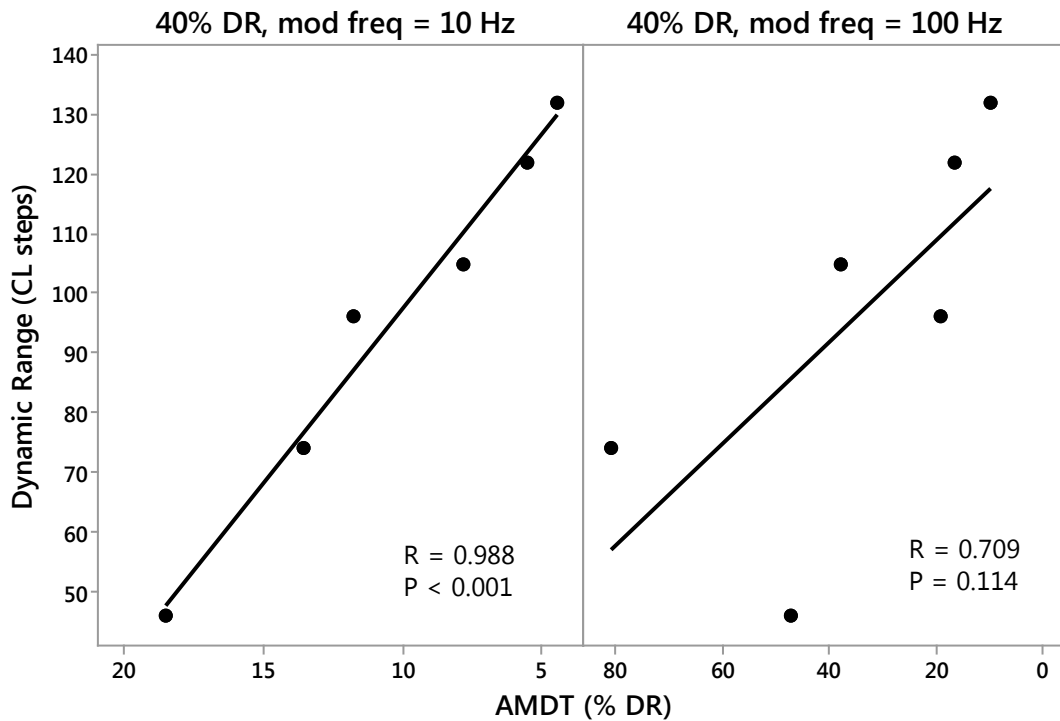


335

336 **Figure 5. Pearson correlation analysis between electrical dynamic range and RMDTs at**  
337 **40% DR and modulation frequencies of 10 Hz (left panel) and 100 Hz (right panel).**

338 Similarly, a strong correlation was found between the electrical dynamic range and  
339 the AMDT at 40% DR with a modulation frequency of 10 Hz ( $R = 0.988$ ,  $P < 0.001$ ). At a  
340 modulation frequency of 100 Hz, there was a trend towards a correlation, but it did not reach

341 significance ( $R = 0.709$ ,  $P = 0.114$ ). The Pearson correlation analysis is shown in Figure 6.  
342 Due to ceiling effects, the correlations were not analyzed at 80% DR.



343

344 **Figure 6. Pearson correlation analysis between electrical dynamic range and AMDTs at**  
345 **40% DR and modulation frequencies of 10 Hz (left panel) and 100 Hz (right panel).**

346 When Bonferonni corrections were applied to account for six multiple comparisons,  
347 only the correlation between DR and RMDT at 40% DR and 10 Hz became non-significant.

#### 348 IV. DISCUSSION

349 The results showed that temporal envelopes can be transmitted through RM.  
350 Participants were able to differentiate between loudness-balanced fixed-rate pulse trains and  
351 rate modulated pulse trains. RMDTs exhibit similar rate and level effects to AMDTs, with  
352 modulation sensitivity increasing significantly at high presentation levels and low modulation  
353 rates.

354           It was not possible to directly compare RMDTs and AMDTs in absolute terms, due to  
355 the fundamental differences between the units in which the two measures were defined.  
356 However, the similar effects of level and modulation frequency between the two modulation  
357 methods suggests that a common mechanism might be used by the central auditory system to  
358 perceive AM and RM, consistent with the conclusions of Luo and Fu (2007). Furthermore,  
359 RMDTs were strongly correlated with AMDTs, demonstrating that participants who were  
360 most sensitive to RM were also most sensitive to AM.

361           There was not a clear advantage for either modulation method over the other for any  
362 specific conditions, as MDTs for both methods were similarly affected by level and  
363 modulation frequency. The similarities between AM and RM detection indicate that the main  
364 factor when perceiving temporal envelopes with CIs is the time-varying charge being  
365 delivered by the electrode, more so than the method by which the charge delivery is  
366 temporally modulated (by varying the current amplitude or the pulse rate). If the mechanism  
367 that detects RM utilizes an additional interpulse interval cue, it was hypothesized that the  
368 effect of level on RMDTs and AMDTs would be different. However, both RM and AM were  
369 affected similarly by presentation level. This result is consistent with a common mechanism  
370 that involves central temporal integration.

371           Fielden *et al.* (2014) showed that the perception of interpulse intervals did not worsen  
372 at low levels compared to high levels. However, the data from the present study show that  
373 RMDTs do worsen with lower levels. One main factor that may explain this discrepancy was  
374 the difference in methodology between the two experiments. As mentioned in the  
375 introduction, Fielden *et al.* (2014) found that subjects could distinguish between pulse trains  
376 which alternated interpulse intervals between 1 ms and 9 ms from pulse trains which  
377 alternated interpulse intervals between 4 ms and 6 ms. This task is roughly similar to  
378 distinguishing a pulse train of 100 pps (constant interpulse interval of 10 ms) from a pulse

379 train of 200 pps (constant interpulse interval of 5 ms). Therefore, the results of Fielden *et al.*  
380 (2014) may be due to the relatively good rate discrimination at low rates. Since the present  
381 study uses a much higher central stimulation rate of 1200 pps, with rates never dropping  
382 below 600 pps, it is less likely that subjects could utilize the changing interpulse intervals.

383         Chen and Zeng (2004) observed a significant effect of modulation frequency on  
384 RMDTs at central rates of 500 pps and 1000 pps, consistent with the results of this study at a  
385 central rate of 1200 pps. However, they found no significant effect of level on RMDTs, in  
386 contrast to the results of the present study. A potential explanation for this difference could be  
387 that Chen and Zeng (2004) used mostly much lower central stimulation rates (75, 125, 250,  
388 500, and 1000 pps) than the present study. Therefore, if subjects were able to utilize cues  
389 from the longer interpulse intervals (consistent with the use of the cues in the Fielden *et al.*  
390 (2014) study) and those cues did not deteriorate with level, as proposed by Fielden *et al.*  
391 (2014), then an overall lack of effect of level may have been found in that study because of  
392 the low rates used.

393         Considering that the results of Chen and Zeng (2004) and Fielden *et al.* (2014) may  
394 have been due to low central stimulation rates, the present study provides evidence that RM  
395 and AM utilize a common temporal integration mechanism at higher central rates (>1000  
396 pps), and that the additional interpulse interval cue in RM stimuli may only be useful at lower  
397 central rates.

398         The electrical dynamic range was correlated with both RMDTs and AMDTs at low  
399 levels, demonstrating another similarity between RM and AM. When AMDTs were  
400 expressed in CL steps, without normalizing with respect to DR, they were not correlated with  
401 DR. This non-correlation suggests that loudness growth slope is not an important determinant  
402 of AMDTs (in CL) at the low modulation frequencies that were tested in this study, a result

403 analogous to the non-correlation of intensity difference limens with DR (Kreft *et al.*, 2004).  
404 The significant correlation of AMDTs (in %DR) found in this study could therefore be due to  
405 the normalization to the %DR itself. The correlation of RMDTs with DR is of greater interest  
406 since there was no normalization with respect to DR. The fact that both RMDTs and AMDTs  
407 (in %DR) are correlated with DR may explain why the two detection thresholds are highly  
408 correlated with each other.

409         Several factors could influence the relationship between RM sensitivity and DR,  
410 including spiral ganglion nerve (SGN) density, the temporal properties of the surviving  
411 SGNs, or the quality of the electrode-neuron interface. Previous research has suggested that  
412 higher DRs are associated with higher SGN cell counts. In two experiments relating  
413 psychophysical data to histopathology in monkeys implanted with CIs, it was shown that DR  
414 and SGN count were correlated (Pfungst *et al.*, 1981; Pfungst and Sutton, 1983). In post-  
415 mortem histopathological examinations of five implanted human cochleas, Kawano *et al.*  
416 (1998) found that subjects with higher DRs also had higher SGN survival. However, Khan *et*  
417 *al.* (2005), also using post-mortem histopathological examinations, found a negative  
418 correlation between SGN counts and DR in two of five subjects, and no relation between  
419 SGN and DR in the other three. It is likely that the relation between SGN survival and  
420 psychophysical measures, such as DR and RMDT, extends beyond the number of SGNs, and  
421 also relies upon the temporal and intensity coding properties of those neurons (Pfungst *et al.*,  
422 2015). Kawano *et al.* (1998) also showed that the quality of the electrode-neural interface  
423 influenced DR, observing higher dynamic ranges as the distance to the modiolus was  
424 reduced. These results are consistent with those of (Cohen *et al.* (2001)), who used plain film  
425 radiographs to estimate the electrode positions in three CI recipients, and then performed  
426 psychophysical measurements on those electrodes. It was found that electrodes closer to the  
427 modiolus had higher DRs, and that people had better current level discrimination at these

428 electrodes. If these relations between DR, SGN survival, and electrode-neural interface apply  
429 for the subjects in our experiment, then a combination of these factors could contribute to  
430 better RMDTs and AMDTs for subjects with higher DRs. For example, a higher density of  
431 SGNs with good temporal coding properties could lead to better RMDTs because there would  
432 be more neurons available to respond to each individual pulse in the RM stimulus. Therefore,  
433 the modulations would be more accurately represented by the auditory system.

## 434 **V. CONCLUSIONS**

435 RM was used to encode sinusoidal temporal envelopes on single-electrode pulse  
436 trains. CI users were able to perceive RM at modulation frequencies of 10 Hz and 100 Hz at  
437 presentation levels of 80% and 40% DR. Both RMDTs and AMDTs improved with  
438 increasing presentation level and decreasing modulation frequency. The similar pattern of  
439 results, along with the strong correlation between RMDTs and AMDTs, indicates that a  
440 common temporal integration mechanism underlies the perception of RM and AM. RMDTs  
441 and AMDTs were both correlated with DR, suggesting that some subject-dependent factors,  
442 related to DR, might influence the correlation between RMDTs and AMDTs.

## 443 **VI. ACKNOWLEDGEMENTS**

444 We are grateful to our dedicated participants, who graciously volunteered time and energy in  
445 many test sessions. TB is sponsored by the Melbourne International Research Scholarship  
446 and the Melbourne International Fee Remission Scholarship. The research was supported by  
447 a Veski Fellowship to CMM. The Bionics Institute acknowledges support it receives from the  
448 Victorian Government through its Operational Infrastructure Support Program.

449 See supplementary material at [URL will be inserted by AIP] for psychometric functions for  
450 AM and RM detection for each participant in each condition.

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