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1 **The effect of presentation level and stimulation rate on speech perception**
2 **and modulation detection for cochlear implant users**

3

4 **Running head:**

5 **Cochlear implant speech perception: rate effects**

6

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21 In order to improve speech understanding for cochlear implant (CI) users, it is important to
22 maximize the transmission of temporal information. The combined effects of stimulation rate
23 and presentation level on temporal information transfer and speech understanding remain
24 unclear. The present study systematically varied presentation level (60, 50, and 40 dBA) and
25 stimulation rate (500 and 2400 pulses per second per electrode (pps)) in order to observe how
26 the effect of rate on speech understanding changes for different presentation levels. Speech
27 recognition in quiet and noise, and acoustic amplitude modulation detection thresholds
28 (AMDTs) were measured with acoustic stimuli presented to speech processors via direct
29 audio input (DAI). With the 500 pps processor, results showed significantly better
30 performance for CNC words in quiet, and a reduced effect of noise on sentence recognition.
31 However, no rate or level effect was found for AMDTs, perhaps partly because of amplitude
32 compression in the sound processor. AMDTs were found to be strongly correlated with the
33 effect of noise on sentence perception at low levels. These results indicate that AMDTs, at
34 least when measured with the CP910 Freedom speech processor via DAI, explain between-
35 subject variance of speech understanding, but do not explain within-subject variance for
36 different rates and levels.

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42 I. INTRODUCTION

43 The perception of temporal amplitude modulations is critical for speech understanding.
44 Cochlear implant users are especially reliant upon temporal information in speech, due to the
45 limited spectral resolution of the cochlear implant. In order to transmit temporal information,
46 cochlear implant processors separate the incoming acoustic signal into several frequency
47 bands, each allocated to a specific electrode in the implanted array. In the most common
48 signal processing strategies, the electrodes are activated with fixed-rate biphasic pulse trains,
49 which are amplitude-modulated by the temporal envelope of their respective frequency band.
50 The aim of the present study was to examine the effect of stimulation rate on speech
51 perception for cochlear implant users, and to determine how the effect of rate on speech
52 understanding changes for different presentation levels.

53 In the following, the stimulation rate is stated as the rate programmed in the sound processor,
54 corresponding to the maximum rate on each active electrode, with units of pulses per second
55 (pps). There is a wide range of available stimulation rates in current processors, from rates as
56 low as 200 pps, to rates as high as 5000 pps. Assuming equal sampling rates of the
57 microphone signal (typically around 16 kHz) and similar envelope extraction methods, high
58 stimulation rates provide more detailed sampling of the temporal envelope than low
59 stimulation rates. High rates also promote stochastic responses in auditory neurons
60 (Rubinstein *et al.*, 1999), reducing unnatural phase locking observed in rates below 800 pps
61 (Dynes and Delgutte, 1992). However, the presumed advantages of high stimulation rates
62 may be offset by increased channel interaction (McKay *et al.*, 2005; Middlebrooks, 2004) or
63 higher variation in perceived loudness cues (Azadpour *et al.*, 2015).

64 Many studies have examined the effect of stimulation rate on speech perception for cochlear
65 implant users, with variable results. Using the CIS stimulation strategy, both Kiefer *et al.*

66 (2000) and Loizou *et al.* (2000) observed improved word and consonant recognition as pulse
67 rates increased from 250 – 2000 pps. However, using the same strategy, Lawson *et al.* (1996)
68 showed no effect of pulse rate for rates from 250 – 2525 pps, and Fu and Shannon (2000)
69 only showed improved speech performance up to 150 pps, with insignificant differences for
70 pulse rates from 150 - 500 pps.

71 Using the ACE stimulation strategy, Holden *et al.* (2002) tested rates between 720 and 1800
72 pps at presentation levels of 50, 60, and 70 dB SPL. While better group mean scores were
73 measured for sentence recognition at 50 dB SPL using the high rate of 1800 pps compared to
74 the low rate of 720 pps, all other presentation levels and speech tests (phonemes, words)
75 showed no significant rate effects. Friesen *et al.* (2005) measured phoneme, word, and
76 sentence recognition with the Clarion, Clarion II, and Nucleus 24, all using the CIS strategy.
77 Rates from 200 – 5000 pps were compared and no significant differences were found
78 between rates. Similarly, Weber *et al.* (2007) found that rates between 500 and 2500 pps had
79 no influence on speech recognition scores for monosyllables or sentences, when using the
80 ACE strategy on the Nucleus Freedom processor.

81 In a longitudinal study, Plant *et al.* (2007) tested the Nucleus 24 processor with the ACE
82 strategy, and found that rate preference was highly subject dependent. Subjects chose a
83 medium stimulation rate between 1200 and 1400 pps, and a high stimulation rate between
84 2400 and 3200 pps, and then completed speech perception tests and reported their
85 preferences. Of 15 subjects, 5 preferred the medium rate, 8 preferred the high rate, and 2 were
86 undecided. Only 2 subjects performed better in tests of both CNC words and CUNY
87 sentences with the higher rate. Arora *et al.* (2009) tested the CI24 Contour implant with the
88 ESPirit 3G Processor, and also found results were subject dependent. Group mean scores
89 were significantly better for medium rates of 500 pps and 900 pps than for the low rate of 275
90 pps. Of 8 subjects, 3 were best at 500 pps, 3 at 900 pps, and 2 showed no significant

91 difference between 500 and 900 pps. Shannon *et al.* (2011) came to similar conclusions,
92 showing that for pulse rates from 600 – 5000 pps, CNC words and IEEE sentences in quiet
93 and in noise had similar results across rates. They used the Advanced Bionics CII Processor
94 with the CIS strategy. Park *et al.* (2012) showed significant rate effects with Korean
95 sentences, with subjects performing better at the low-mid rate of 900 pps than the high rate of
96 2400 pps using the Nucleus 24 processor and the ACE strategy.

97 Nearly all of the above studies used presentation levels between 60 dB SPL and 70 dB SPL,
98 which output currents in the upper half of the electrical dynamic range. At these high levels,
99 there has been little rate effect shown in either speech, or in psychophysical correlates of
100 speech, such as modulation detection thresholds and temporal modulation transfer functions.
101 However, at lower levels (below 50% of the dynamic range), in studies using direct electrical
102 stimulation, low rates consistently lead to better modulation detection thresholds than high
103 rates (Fraser and McKay, 2012; Galvin III and Fu, 2005; Galvin and Fu, 2009; Green *et al.*,
104 2012; Pfungst *et al.*, 2007). Since modulation detection thresholds have been shown to
105 correlate with speech perception ability (De Ruiter *et al.*, 2015; Fu, 2002; Gnansia *et al.*,
106 2014; Luo *et al.*, 2008; Won *et al.*, 2011), similar rate effects for speech at low levels could
107 be hypothesized. That is, since modulation detection improves with low rates compared to
108 high rates at low levels, it is of interest whether speech perception also improves for low rates
109 compared to high rates at low levels.

110 Park (2012) and Holden (2002) are the only researchers to perform speech recognition tests at
111 levels below 60 dB SPL, and have obtained conflicting results. Holden did not observe
112 consistent differences in speech understanding between the high rate of 1800 pps and the low
113 rate of 720 pps, but some subjects had better speech perception in noise at the higher rate for
114 the 50 dB SPL stimulus. Park (2012), however, used presentation levels of 45 dB SPL, and

115 found that subjects consistently performed better on Korean sentences and phonemes with the
116 lower rate of 900 pps compared to the higher rate of 2400 pps.

117 The present study systematically varied presentation level and stimulation rate in order to
118 observe how the effect of rate on speech understanding changes for different presentation
119 levels. Word recognition in quiet, the effect of noise on sentence perception, and acoustic
120 amplitude modulation detection thresholds (AMDTs) were measured. All measurements were
121 performed using novel speech processor MAPs with rates of 500 pps and 2400 pps, at
122 presentation levels of 40 dBA, 50 dBA, and 60 dBA. A two-way repeated measures analysis
123 of variance (ANOVA) was used to test whether there was an interaction effect between
124 stimulation rate and presentation level. It was hypothesized that speech understanding would
125 be poor at the high rate compared to the low rate, only for stimuli at the lower level.

126 **II. METHODS**

127 **A. Participants**

128 Nine postlingually deafened adult CI users completed the study. Participants were recruited
129 from the clinical population of the Royal Victorian Eye and Ear Hospital. Permission to
130 conduct the studies was obtained from the Human Research and Ethics Committee of the
131 Royal Victorian Eye and Ear Hospital, and each participant provided written informed
132 consent. Participants were tested over the course of four to five sessions of 1-2 hours. Details
133 about the participants are described in *Table I*.

134 **B. Equipment**

135 Participants were fit with the same CP910 Freedom Speech Processor. Two MAPs were
136 created: Experimental MAP 1 with a rate of 500 pps, and Experimental MAP 2 with a rate of
137 2400 pps. The experimental MAPs used the ACE strategy with 6 maxima, pulse width of 25

138 μs , and interphase gap of 8.4 μs . The number of maxima was reduced from the clinical
139 standard of 8 in order to keep the pulse width and interphase gap constant between the
140 experimental MAPs (a pulse width of 25 μs with 8 maxima is unavailable for the rate of 2400
141 pps in the cochlear implant fitting software).

142 The standard clinical procedure at the Royal Victorian Eye and Ear Hospital was used to
143 create the experimental MAPs. Threshold (T) and Comfort (C) levels were found for each
144 electrode at both the 500 pps and 2400 pps rate. Loudness balancing was performed both at C
145 levels and at 70% of the dynamic range, using three-electrode sweeps across the array.
146 Participants were asked whether the loudness of the stimulation at each electrode was the
147 same, and T and C levels were adjusted accordingly. More specifically, C-levels were
148 adjusted during loudness balancing at C-level, and T-levels were adjusted during loudness
149 balancing at 70% of the dynamic range. Finally, to ensure that both experimental MAPs were
150 balanced in loudness, 50 dB SPL Bamford-Kowal-Bench (BKB) sentences (Bench *et al.*,
151 1979) were presented for both stimulation rates. C-levels were further adjusted to ensure that
152 both experimental programs were loudness balanced. In order to have more control over the
153 presentation level and processing of the stimuli, Adaptive Dynamic Range Optimization
154 (ADRO), Autosensitivity Control (ASC), SmartSound iQ, Background Noise Reduction,
155 Wind Noise Reduction, and Beamforming were all disabled.

156 The sensitivity was fixed at the default value of 12 for both experimental programs. The
157 sensitivity control determines the minimum acoustic level in each channel that is mapped to
158 the electrical T-level in that channel. The minimum acoustic level is both frequency-
159 dependent and signal-dependent. At a sensitivity of 12, the minimum acoustic level for pure
160 sine tones is 13 dB SPL for channels 1 through 8 (center frequencies 7438 down to 2875 Hz),
161 and rises to 30 dB SPL at a slope of approximately 6 dB/octave for channels 9 through 22
162 (center frequencies 2300 down to 250 Hz). At the 40 dBA presentation level, some low level

163 envelope cues were below the level that results in perceptible stimulation, which likely
164 reduced the listeners' ability to understand speech in this condition. The sensitivity control
165 also determines an upper acoustic threshold, above which all envelope levels are mapped to
166 electrical C-level. This upper threshold is 40 dB above the minimum acoustic level in each
167 channel. At the 60 dBA presentation level, the upper acoustic threshold would cause some
168 high level envelope cues to be compressed, possibly affecting speech perception and
169 modulation detection at this level.

170 The volume was fixed at 6 for the fitting and testing of both experimental MAPs. The volume
171 control raises or lowers the electric C-levels by a certain percentage of the dynamic range.
172 Fixing the volume at 6 for both fitting and testing ensured that the fitted C-levels for each
173 experimental MAP remained unaltered through the duration of the experiment.

174 The participants' clinical MAP was not tested, because there would be a clear preference for
175 the stimulation rate with which they are accustomed. The intent of this acute study was to
176 observe immediate effects of altering the stimulation rate. The high rate of 2400 pps and low
177 rate of 500 pps were chosen because they are above and below the usual rate of 900 pps for
178 all participants, with the exception of P5, who uses a 250 pps clinical MAP. Thus both
179 experimental MAPs were novel for all the participants.

180 **C. Stimuli and Procedure**

181 All speech and psychophysical stimuli were presented using the direct audio input of the
182 CP910 Freedom processor in order to prevent the use of residual hearing. The presentation
183 level to the direct audio input was calibrated to ensure that the output of the processor was
184 equivalent for the same acoustic stimulus through the microphone input and direct audio
185 input.

186 **1. Speech Perception**

187 Speech intelligibility was evaluated using Consonant-vowel Nucleus-Consonant (CNC)
188 words in quiet and BKB sentences in quiet and in competing multi-talker babble noise. The
189 CNC material comprised two lists, each containing 150 words recorded by a male Australian
190 speaker. Each list comprised 50 different words at 3 presentation levels: 40 dBA (low), 50
191 dBA (mid-low), and 60 dBA (mid-high). The order of the words and levels in each list was
192 randomized. For each test, the word list was selected at random, and no list was repeated for
193 any subject. The participants were given one short practice list (16 words) with their clinical
194 MAP before testing began. The participants were asked to repeat each word immediately
195 after it was presented, and they were scored on correct phonemes identified out of a total of
196 150 phonemes at each level. They were tested with the two experimental MAPs (500 pps and
197 2400 pps).

198 The BKB sentences comprised one list of 640 sentences, each sentence containing three key
199 words, recorded by an Australian male speaker. The participants were given one practice trial
200 of 16 sentences before testing began. Aside from the practice lists, no other training was
201 provided to participants for the novel MAPs. In order to minimize learning effects, the
202 comparisons made in this study only were between equally novel MAPs, and the order of the
203 testing was methodically varied among participants. Sentences were selected at random, and
204 no sentence was repeated during any test for any subject. Participants were first presented
205 with 32 sentences in quiet at each presentation level and each rate, in order to establish a
206 baseline score in each condition. The participants were asked to repeat as many words as they
207 could in each sentence. An adaptive procedure was used to assess the effect of competing
208 noise on each listener's ability to understand the sentences. The target SNR was the SNR at
209 which the participant correctly identified 70% of the words that they recognized in the
210 corresponding quiet conditions. SNR70% was measured (as in McKay and Henshall (2002)

211 and McDermott *et al.* (2005)), as opposed to speech reception threshold (SNR for 50%
 212 correct), so that the measure reflected largely the effect of noise rather than being highly
 213 influenced by the performance in quiet. Initially the SNR was set to +15 dB. The speech was
 214 fixed at the level used in the quiet condition, and the noise level was adapted to change the
 215 SNR. In each trial, the subject was given three sentences, with a total of 9 key words. If they
 216 received a score higher than 70% of their quiet condition score, the SNR was lowered;
 217 conversely, if they received a score lower than 70% of their quiet condition score, the SNR
 218 was raised. The SNR was changed in steps of 5 dB until two reversals were obtained, then
 219 steps of 3 dB until six more reversals were obtained. The final SNR to achieve 70% of the
 220 words in quiet condition ($SNR_{70\%}$) was the average of these last 6 reversals. $SNR_{70\%}$ was
 221 evaluated for 3 presentation levels (40, 50, and 60 dBA) and 2 rates (500 pps and 2400 pps).

222 **2. Acoustic Modulation Detection Threshold Estimation**

223 AMDTs were measured at presentation levels of 60 dBA and 40 dBA using the 500 pps and
 224 the 2400 pps MAP. Stimuli were presented through the direct audio input of the CP910.
 225 Sinusoidal modulation was applied using *Equation 1*:

$$226 \quad N_{Mod} = N_{Pink}(1 + M_{Depth} \cos(2\pi t f_{mod})) \quad (1)$$

227 N_{Mod} represents the modulated pink noise, and N_{Pink} represents the unmodulated pink noise.
 228 The M_{Depth} variable represents the modulation index, which was varied between 0.001
 229 (essentially no modulation, -60 dB relative to 100% modulation) and 1 (full modulation, 0 dB
 230 relative to 100% modulation). The f_{mod} variable represents the modulation frequency and t
 231 represents time. The MAPs used for measuring AMDTs were the same as the 500 pps and
 232 2400 pps experimental MAPs explained before, but with only the six lowest frequency
 233 channels activated (electrodes 17-22:). The CP910 requires at least 12 channels out of 22 to
 234 be enabled when programming a MAP, so the 6-channel MAPs were created by disabling

235 channels 7-16, and setting the T and C levels of channels 1-6 to zero. This process resulted in
236 an automatic reassignment of the frequency allocation in the processor. The bin widths for
237 channels 17-21 were expanded from 125 Hz to 250 Hz, while the bin width for channel 22
238 remained 125 Hz. The range of center frequencies for channels 17-22 was expanded from
239 150-875 Hz to 150-1500 Hz. The pink noise stimuli were lowpass filtered with a 4th order
240 Butterworth filter at 1500 Hz so that channels 1-6 were never selected as maxima in the ACE
241 processing scheme. Recordings of the pulse parameters at the output of the speech processor
242 verified that the stimulation rates for the 12-channel MAPs remained 500 pps and 2400 pps
243 on electrodes 17-22. In this way, only channels 17-22 were activated by the noise stimuli.
244 Using the 6-channel MAPs removed the effect of modulation on electrode selection, isolating
245 modulation sensitivity as the factor influencing the AMDT measurement.

246 Once the 6-channel MAPs were created, loudness balancing was performed to ensure that the
247 unmodulated stimuli were the same loudness as the modulated stimuli for each presentation
248 level and stimulation rate. McKay and Henshall (2010) showed that modulated stimuli are
249 perceived as louder by CI users than unmodulated stimuli with the same average current
250 level. Therefore loudness cues can be used when identifying the modulated stimulus among
251 unmodulated stimuli, rather than actual modulation detection. In the loudness balancing
252 procedure, we presented a reference (unmodulated) and a test (modulated) stimulus to the
253 participant, with controls to turn the level of the test stimulus up or down, in large steps of ± 2
254 dB and small steps of ± 0.5 dB.

255 Two trials of loudness balancing were performed at each of the presentation levels of 60 dBA
256 and 40 dBA, stimulation rates of 500 pps and 2400 pps, and modulation depths of 0.05, 0.1,
257 0.2, and 0.4 (-26, -20, -14, and -8 dB relative to 100% modulation, respectively). The level of
258 the test stimulus started at a random value 3-7 dB below the reference for trial 1, and 3-7 dB
259 above the reference for trial 2. For trial 1, participants were asked to raise the level of the test

260 stimulus in 2 dB steps until it was louder than the reference stimulus, and then to lower the
261 level in 0.5 dB steps to make the stimuli match in loudness. For trial 2, participants were
262 asked to lower the level of the test stimulus in 2 dB steps until it was quieter than the
263 reference stimulus, and then to raise the level in 0.5 dB steps to make the stimuli match in
264 loudness. The average of the final levels in the two trials was used to determine the level at
265 which the stimuli were balanced. Interpolation was used in the adaptive AMDT procedure to
266 determine the amount of stimulus level adjustment required as a function of modulation
267 depth, similar to Galvin *et al.* (2014), to keep the modulated and unmodulated stimuli equal
268 in loudness.

269 For the AMDT measurement, a three interval forced choice, adaptive two-down one-up
270 procedure was used. Using this method, the modulation depth at which the participant
271 correctly identified the modulated stimulus 71% of the time (Levitt, 1971) was found. Stimuli
272 were all 500 ms bursts of modulated or unmodulated noise. The modulation frequency was
273 10 Hz, which is representative of temporal envelope cues in speech (Rosen, 1992). The 10 Hz
274 modulated stimulus went through exactly 5 cycles between highest and lowest levels over the
275 course of a 500 ms stimulus. During each trial, participants were presented with two
276 unmodulated stimuli and one modulated stimulus in a randomized order, separated by 500 ms
277 silence, with the task of identifying the modulated stimulus. If the subject correctly identified
278 two modulated stimuli in a row, the modulation depth was reduced. If the subject incorrectly
279 identified a modulated stimulus once, the modulation depth increased. For the first two
280 reversals, a step size of ± 6 dB re 100% modulation was used. For the next six reversals, a
281 step size of ± 2 dB re 100% modulation was used. The AMDT was determined by averaging
282 the last six reversals.

283 In addition to applying the interpolated loudness balance to the modulated stimulus in each
284 trial, level jitter in each interval was applied to remove the influence of any remaining

285 loudness cues. The amount of jitter was determined for each subject using the variance in
286 loudness balancing trials, and by the method explained in Dai and Micheyl (2010) when
287 using a three-interval oddity forced choice task. The maximum 95% confidence interval of
288 the standard error between the two loudness balancing trials across the different conditions
289 was used to determine jitter range. Across all subjects and conditions, the minimum jitter
290 range used was ± 0.25 dB and the maximum jitter range used was ± 2.2 dB.

291 III. RESULTS

292 Figure 1 shows CNC phoneme scores for different stimulation rates and presentation levels.
293 A two-way repeated measures analysis of variance (ANOVA) was performed to assess the
294 effect of stimulation rate and presentation level on speech perception. The ANOVA revealed
295 a significant effect of level ($F(2,8)=59.86$, $p < 0.001$), with participants achieving better
296 scores at louder levels. There was also a small but significant effect of rate ($F(1,8)=5.94$, $p =$
297 0.019), with speech understanding better at low rates. The rate effect was particularly evident
298 at the lower level, where 7 out of 9 participants achieved a higher score with the low rate than
299 with the high rate. However, no interaction effect between rate and level was found
300 ($F(2,8)=1.43$, $p=0.251$). While the low rate advantage is statistically significant, it is not
301 likely to be clinically significant. The maximal mean difference (across subjects) between
302 CNC phoneme scores for the high rate and low rate occurred at a presentation level of 40
303 dBA, and was only 6 percentage points. In addition, one participant (P6) used a very low
304 clinical stimulation rate of 250 pps in his usual processor, as opposed to the middle
305 stimulation rate of 900 pps for the other participants, and their results may have been better
306 for 500 pps simply because it was closer to what they were accustomed to. When the results
307 from this participant were removed, the repeated measures ANOVA revealed no significant
308 difference between rates ($F(1,7)=3.15$, $p = 0.085$).

309 Table II shows the sentence in quiet scores for each participant in each condition. Figure 2
310 shows the $SNR_{70\%}$ for different stimulation rates and presentation levels. The repeated
311 measures ANOVA revealed a significant effect of rate for $SNR_{70\%}$ ($F(1,8)=11.56$, $p=0.002$),
312 with the lower rate of 500 pps consistently leading to better $SNR_{70\%}$ than the higher rate of
313 2400 pps. The effect remained significant ($F(1,7)=7.51$, $p = 0.007$) when the participant who
314 uses a 250 pps clinical pulse rate was removed. This rate effect became more pronounced as
315 the level was lowered from 60 dBA to 40 dBA, with the mean difference between $SNR_{70\%}$ for
316 the different rates increasing from 0.8 dB to 2.5 dB. However, the ANOVA showed no
317 interaction effect between rate and level ($F(2,8)=0.99$, $p=0.380$). No significant effect of level
318 was found ($F(2,8)=1.51$, $p=0.233$), which was expected; sentences in quiet scores were
319 worse at low levels compared to high levels, so the 70% target was lower for the low levels
320 than for the high levels. Figure 3 shows AMDTs for different stimulation rates and
321 presentation levels, with error bars representing ± 1 standard error of the mean for each
322 condition. Again, a two-way repeated measures ANOVA was used to assess the effect of
323 stimulation rate and presentation level on AMDT. For this task, no significant effect of rate
324 ($F(1,8)=0.12$, $p=0.729$), level ($F(1,8)=0.68$, $p=0.417$), or interaction between rate and level
325 ($F(1,8)=1.16$, $p=0.293$) was found.

326 Figure 4 shows the Pearson correlation analyses of AMDTs with CNC phoneme scores .
327 Only one significant correlation was found between AMDT and CNC phoneme score, at a
328 presentation level of 60 dBA and a pulse rate of 2400 pps ($R = -0.761$, $p = 0.018$). However,
329 this correlation is mainly driven by an outlier who found the modulation detection task
330 particularly difficult. When this outlier is removed, the correlation becomes insignificant for
331 all conditions.

332 Figure 5 shows the Pearson correlation analyses of AMDTs with $SNR_{70\%}$. Significant
333 correlations were found between AMDT and $SNR_{70\%}$ for all conditions. At the high levels,

334 the correlations were mainly driven by the same outlier mentioned above. When the outlier
335 was removed, the correlations at the high levels became insignificant. However, at the low
336 level, correlations remained significant for both the 500 pps and 2400 pps stimulation rate
337 ($R=0.86$ and $P=0.006$ for both rates), indicating a strong relationship between $SNR_{70\%}$ and
338 AMDTs.

339 **IV. DISCUSSION**

340 The results suggest that there was some advantage for low rates compared to high rates,
341 particularly in noisy conditions. There was a consistent and significant effect of rate for
342 $SNR_{70\%}$, in favor of the 500 pps rate. While there was a trend for the low-rate advantage to
343 increase at low levels, as we hypothesized, no significant interaction between rate and level
344 was found for any stimulus.

345 However, the low rate advantage of $SNR_{70\%}$ cannot be attributed to better detection of
346 modulations in the acoustic stimulus at low rates compared to high rates. Despite the
347 correlation between AMDTs and $SNR_{70\%}$ scores, there was no significant difference between
348 AMDTs at high and low rates at either level. The lack of degradation in AMDTs with
349 stimulus level (in contrast to MDTs measured with direct stimulation) was consistent with
350 Won *et al.* (2011), who found no significant difference between AMDTs at 75 dBA, 65 dBA,
351 and 50 dBA using acoustic stimulation through the processor. In contrast, when using direct
352 electrical stimulation for MDT measurement, lower levels have consistently led to worse
353 MDTs, with the low rate generally outperforming the high rate at low levels (Chatterjee and
354 Oba, 2005; Fraser and McKay, 2012; Fu, 2002; Galvin III and Fu, 2005; Galvin and Fu,
355 2009; Pfungst *et al.*, 2007; Pfungst *et al.*, 2008; Zhou and Pfungst, 2014).

356 One reason for AMDTs not getting worse at low levels was that there were two stages of
357 compression in the CI signal processor that potentially influenced temporal modulations at

358 high input levels. Each of these compression stages was analyzed to assess their influence on
359 the results. In the Freedom speech processor, the first stage of compression was an automatic
360 gain control (AGC) which operated across all channels. However, it was found that the AGC
361 was not active for any of the modulated noise stimuli. A test stimulus was created by adding a
362 constant low-level 7063 Hz sinusoid (center frequency of channel 1) to a modulated noise
363 stimulus with a depth of -6 dB re 100% modulation. The 7063 Hz probe sine tone caused an
364 approximately constant level pulse train at channel 1 of the processor output when the AGC
365 was not active. When the AGC was active, the gain control reduced the level of the probe
366 sine tone during peaks in the modulation cycle. The upper panel of figure 6 shows the output
367 of channel 19, which was activated by the modulated noise stimulus (at a modulation depth of
368 -6 dB re 100% modulation), for different presentation levels. The lower panel of the same
369 figure shows the output of channel 1, which was activated by the 7063 Hz probe sine tone.
370 Even at a modulation depth of -6 dB re 100% modulation, which was well above the
371 modulation detection threshold for 8 of the 9 participants, the AGC only began to activate
372 when the noise was centered at 68 dBA. Therefore, for our high level stimuli centered at 60
373 dBA, the AGC did not influence the results.

374 The next compression stage was the nonlinear mapping from acoustic level to electrical level
375 in each individual channel in the speech processor. The mapping was influential at high
376 levels, where all acoustic envelope levels above a certain upper threshold were mapped to C-
377 level. In order to measure the mapping from acoustic level to electrical level, a 625 Hz sine
378 tone (center frequency of channel 19) was delivered through the direct audio input at a range
379 of presentation levels from 20 - 75 dB SPL in steps of 2 dB. For each 625 Hz sine acoustic
380 input level, the electrical output of electrode 19 was recorded (figure 7).

381 The nonlinearity in the mapping meant that for the same modulation depth of an acoustic
382 modulated noise stimulus, the resulting electrical stimulation had different electrical

383 modulation depths at different levels. In order to quantify this effect, pulse trains at a
384 modulation depth of 0.2 (-14 dB re 100% modulation) were measured from the output of the
385 processor at presentation levels of 60 dBA and 40 dBA. This modulation depth was chosen
386 because it was around the average AMDT measured across conditions. The processor was
387 programmed with a test MAP with a stimulation rate of 500 pps and with all T-levels set to
388 150 CL steps and C-levels set to 200 CL steps. The average peak to valley difference in
389 current level steps was approximated by taking the difference between the 95th percentile and
390 the 5th percentile of current level step values during the stimulus. Values were expressed as
391 percentage of the dynamic range (%DR) in *Table III*. For acoustic stimuli of equal
392 modulation depth, the electrical modulation depths were greater at 40 dBA than at 60 dBA.
393 The increase in modulation depth at the output of the processor for low compared to high
394 input levels may have been offset by the decreased modulation sensitivity at low levels,
395 leading to no effect of level for equal modulation depth at the acoustic input. The
396 compression associated with CI signal processing explained the lack of level effect for
397 AMDTs, but did not explain the lack of effect of rate on AMDTs. One reason no effect of
398 rate was found may be that at higher rates, the electrical dynamic range was larger.
399 Consequently, an acoustic input with a particular modulation depth was mapped to a larger
400 electrical modulation depth for the high rate of 2400 pps than for the low rate of 500 pps.
401 This effect would counteract the increased modulation sensitivity at low rates compared to
402 high rates reported in direct electrical MDTs (Galvin III and Fu 2005, Pfingst, Xu et al. 2007,
403 Galvin and Fu 2009, Fraser and McKay 2012, Green, Faulkner et al. 2012)). Fraser and
404 McKay (2012) expressed direct electrical MDTs as a proportion of the dynamic range,
405 showing that the effect of stimulation rate was reduced, and that the higher rate of 2400 pps
406 only led to significantly poorer MDTs at the low level of 40% of the dynamic range and high
407 modulation frequency of 150 Hz.

408 Another key difference between AMDTs and direct electrical MDTs is the number of active
409 channels, which could also explain the lack of effect of rate on AMDTs measured through the
410 CI processor. Usually, measurements of direct electrical MDTs have only activated one
411 electrode at a time, while measurements of AMDTs in the present study activated six
412 adjacent channels at a time. The adjacent channels stimulated partly overlapping nerve fibre
413 populations. Since the electrodes were activated in an interleaved fashion, the overall pulse
414 rate on the overlapping nerve fibre population was higher than the low rate of 500 pps, and
415 even higher for the high rate of 2400 pps. In studies that compared direct electrical MDTs
416 with different stimulation rates, significant differences were only observed between low rates
417 (<800 pps) and high rates (>1000 pps), while there was generally no significant difference in
418 MDTs between high rates above 1000 pps (Galvin and Fu, 2009; Green *et al.*, 2012). The
419 increased number of active channels for AMDT measurement through the speech processor
420 could have reduced the advantage for low rates compared to high rates using direct electrical,
421 single-channel MDT measurement, because the stimulation of overlapping nerve fibre
422 populations by six adjacent channels effectively raised the stimulation rates being compared
423 in this study by up to six-fold.

424 Another noteworthy finding from the present study was the strong correlation between low
425 level AMDTs and SNR_{70%}. A reason for the strong correlation may have been the similarity
426 between identifying modulations in noise and perceiving speech in noise. When unmodulated
427 noise was passed through the speech processor, modulations inherent in the noise were
428 encoded. These inherent modulations made the modulation detection task more difficult.. In
429 order to identify modulation in a noise stimulus, the listener needed to be able to distinguish a
430 specific sinusoidal modulation among many random modulations on each active electrode.
431 Similarly, in order to perceive speech in noise, the listener needed to be able to differentiate
432 modulations in the target speech from modulations in the background noise. These temporal

433 abilities could have been particularly important at low levels, where the spectral
434 representation of speech was worse because low-amplitude speech cues were not encoded.

435 **V. CONCLUSION**

436 The effect of noise on speech understanding was greater at high rates compared to low rates.
437 Despite the correlation between AMDTs and $SNR_{70\%}$, better $SNR_{70\%}$ with the lower rate
438 cannot be attributed to better detection of modulations in the acoustic stimulus at the lower
439 rate. This result would indicate that while detection of modulations in the acoustic stimulus
440 can be used to explain differences between subjects, it cannot be used to explain differences
441 within the same subject for different rate and level conditions. If the correlation between
442 AMDTs and speech perception represents a causal relationship, speech processing strategies
443 aimed toward improving the detection of temporal modulations could improve speech
444 perception for cochlear implant users. However, this study suggests that the adjustment of
445 stimulation rate, regardless of level, does not improve the detection of modulations in the
446 acoustic stimulus presented through the speech processor.

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573 **TABLE I. Relevant information about the cochlear implant users who participated in the study.**

	Gender	Age (years)	Duration of hearing loss before implantation (years)	Duration of implant use (years)	Etiology	Usual Stimulation Rate (pps/electrode)
P1	Male	44	5	5	Unknown, Genetic	900
P2	Male	57	23	7	Unknown, Genetic	900
P3	Male	70	13	7	Unknown, Genetic	900
P4	Female	64	10	6	Unknown	900
P5	Male	78	23	15	Genetic	250
P6	Male	73	14	1.5	Chronic ear infections	900
P7	Female	65	16	5	Unknown, progressive hearing loss	900
P8	Male	73	1	5	Partially due to noise exposure, the rest unknown	900
P9	Female	66	20	12	Genetic	900

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582 **TABLE II. Sentences in quiet score for each participant and each condition. Scores are the**
 583 **number of words correct out of 100.**

	60 dBA, 500 pps	60 dBA, 2400 pps	50 dBA, 500 pps	50 dBA, 2400 pps	40 dBA, 500 pps	40 dBA, 2400 pps
P1	100	100	100	100	100	98
P2	100	99	100	99	97	98
P3	91	89	98	93	97	74
P4	80	78	81	79	63	76
P5	98	94	99	91	78	81
P6	100	98	98	96	95	91
P7	100	99	100	97	100	99
P8	84	86	93	87	88	67
P9	46	53	48	36	64	47

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593 **TABLE III. Average peak to valley current level step differences, measured as the**
 594 **difference between the 95th percentile and 5th percentile of current level steps in the**
 595 **modulated noise pulse train. Values are expressed as a percentage of the dynamic range,**
 596 **and in current level steps for a test MAP with all T-levels set to 150 CL steps and all C-**
 597 **levels set to 200 CL steps.**

Stimulus	Electrode 17	Electrode 19	Electrode 21
60 dBA, mod depth 0.2	24.0% DR 12.0 CL steps	28.0% DR 14.0 CL steps	35.2% DR 17.6 CL steps
40 dBA, mod depth 0.2	37.2% DR 18.6 CL steps	35.6% DR 17.8 CL steps	38.0% DR 19.0 CL steps

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609 **FIG. 1. Mean CNC phoneme scores compared to stimulation rate and presentation**
610 **level for 9 participants. The error bars represent ± 1 standard error of the mean.**

611 **FIG. 2. Mean Signal to noise ratio (SNR) required to achieve 70% of the sentences in**
612 **quiet score, versus stimulation rate and presentation level for 9 participants. The error**
613 **bars represent ± 1 standard error of the mean.**

614 **FIG. 3. Mean acoustic modulation detection thresholds, versus stimulation rate and**
615 **presentation level for 9 participants. The error bars represent ± 1 standard error of the**
616 **mean.**

617 **FIG. 4. Pearson correlation analyses between CNC phoneme scores and AMDTs for 9**
618 **participants, at different stimulation rates and presentation levels.**

619 **FIG. 5. Pearson correlation analyses between SNR_{70%} and AMDTs for 9 participants, at**
620 **different stimulation rates and presentation levels.**

621 **FIG. 6. Speech processor outputs at channel 19 (625 Hz center frequency, upper panel)**
622 **and channel 1 (7063 Hz center frequency, lower panel), for a 500 ms test signal of 10 Hz**
623 **modulated noise, lowpass filtered at 1500 Hz, plus a constant 7063 Hz sine tone. The**
624 **modulation depth of the noise was -6 dB re 100% modulation.**

625 **FIG. 7. Electrical output level at electrode 19 (625 Hz center frequency) for different**
626 **acoustic input levels of a 625 Hz sine tone.**

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